

# Structures Informatiques et Logiques pour la Modélisation Linguistique (MPRI 2.27.1 - second part)

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# Discourse Analysis

- 1 Introduction
- 2 Discourse representation theory
  - Discourse representation structures
  - Interpretation
  - Merging
- 3 Anaphora resolution
- 4 Revisiting DRT
  - Left and right contexts
  - Semantic interpretation of the sentences
  - Semantic interpretation of the syntactic categories
- 5 Type-theoretic dynamic logic
  - Aim
  - Connectives
  - Embedding of first order logic

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# Examples

A man entered the room. He switched on the light.

I am the only one in this group who dares to say that I am wrong.

Every farmer who owns a donkey beats it.

A wolf might come in. It would eat you first.

John does not have a car. He would not know where to park it.

Either there is no bathroom in this apartment or it is in a funny place.

The man who gives his paycheck to his wife is wiser than the man who gives it to his mistress.

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# Definition

## Terms

$$t ::= v \mid c$$

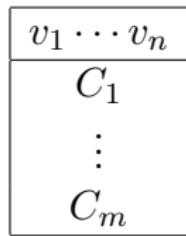
## Conditions

$$C ::= \top \mid Pt_1 \dots t_n \mid v \doteq t \mid v \neq t \mid \neg D$$

## Structures

$$D ::= (\{v_1, \dots, v_n\}, \{C_1, \dots, C_m\})$$

## Box notation



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# Interpretation

|                 |
|-----------------|
| $v_1 \dots v_n$ |
| $C_1$           |
| $\vdots$        |
| $C_m$           |

is interpreted as  $\exists v_1 \dots v_n. C_1 \wedge \dots \wedge C_m$

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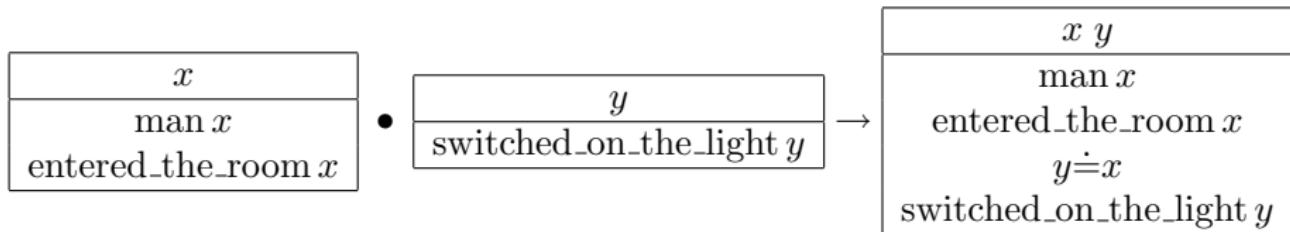
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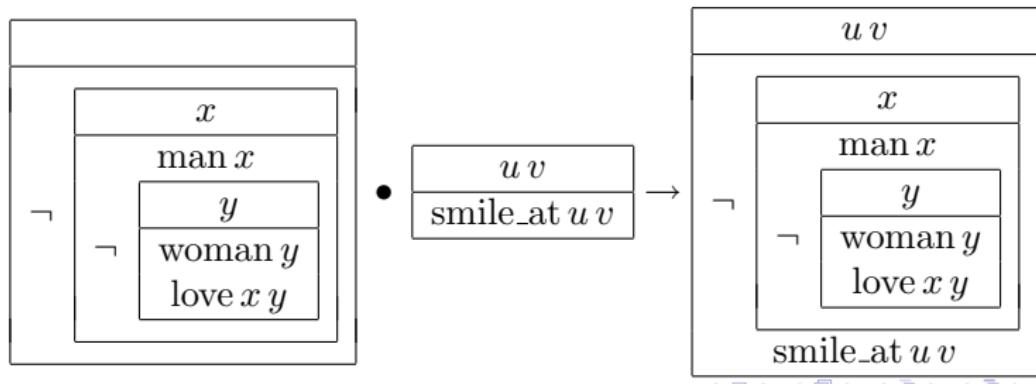
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# Merging

*A man entered the room. He switched on the light*



*Every man loves a woman. ?He smiles at her*



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# Knowledge-poor approach

*Peter loves Mary. But she does not love him. It is John whom she loves. He is a nicer guy.*

Based on:

- morphological features,
- grammatical roles,
- discourse functions: theme (topic), rheme, ...

Signaling the topic:

- stating it as the subject,
- using the passive voice — to turn an object into the subject,
- clefting (it is from Mary that I learned the news),
- periphrastic constructions (“as for”, “concerning”, “speaking of”, ...),
- dislocation, a.k.a. topicalization (Mary, I love her).

# Knowledge-poor approach + statistical training

*The utility (CDVU) shows you a LIST4250, LIST38PP, or LIST3820 file on your terminal for a format similar to that in which it will be printed*

# Knowledge based approach

*John hid Bill's keys. He was drunk.*

*John hid Bill's keys. He was playing a joke on him.*

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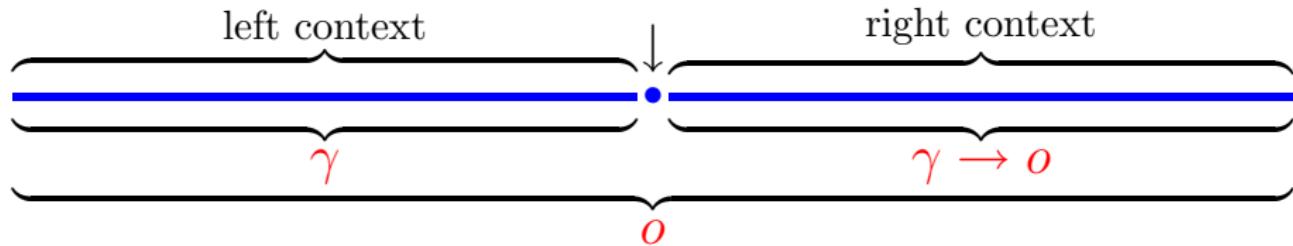
# Typing the left and right contexts

Montague semantics is based on Church's simple type theory, which provides a full hierarchy of functional types built upon two atomic types:

- $\iota$ , the type of individuals (a.k.a. entities).
- $\sigma$ , the type of propositions (a.k.a. truth values).

We add a third atomic type,  $\gamma$ , which stands for the type of the left contexts.

What about the type of the right contexts?



# Updating and accessing the context

nil :  $\gamma$   
 $\_ :: \_ : \iota \rightarrow \gamma \rightarrow \gamma$   
sel :  $\gamma \rightarrow \iota$

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# Semantic interpretation of the sentences

Let  $s$  be the syntactic category of sentences. Remember that we intend to abstract our notions of left and right contexts over the meaning of the sentences.

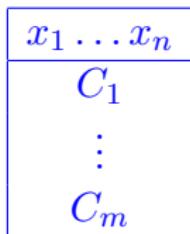
$$[\![s]\!] = \gamma \rightarrow (\gamma \rightarrow o) \rightarrow o$$

# Composition of two sentence interpretations

$$\llbracket S_1 \cdot S_2 \rrbracket = \lambda e\phi. \llbracket S_1 \rrbracket e (\lambda e'. \llbracket S_2 \rrbracket e' \phi)$$

# Back to DRT and DRSs

Consider a DRS:



To such a structure, corresponds the following  $\lambda$ -term of type  
 $\gamma \rightarrow \gamma \rightarrow o \rightarrow o$ :

$$\lambda e\phi. \exists x_1 \dots x_n. C_1 \wedge \dots \wedge C_m \wedge \phi e'$$

where  $e'$  is a context made of  $e$  and of the variables  $x_1, \dots, x_n$ .

# Example

*John loves Mary. He smiles at her.*

$$\llbracket \text{John loves Mary} \rrbracket = \lambda e \phi. \mathbf{love j m} \wedge \phi(\mathbf{m::j::e})$$

$$\llbracket \text{He smiles at her} \rrbracket = \lambda e \phi. \mathbf{smile}(\mathbf{sel}_{he} e)(\mathbf{sel}_{her} e) \wedge \phi e$$

$$\begin{aligned}
 & \lambda e\phi. [[\text{John loves Mary}]] e (\lambda e'. [[\text{He smiles at her}]] e' \phi) \\
 &= \lambda e\phi. (\lambda e. \mathbf{love j m} \wedge \phi(\mathbf{m:j:e})) e (\lambda e'. [[\text{He smiles at her}]] e' \phi) \\
 &\rightarrow_{\beta} \lambda e\phi. (\lambda \phi. \mathbf{love j m} \wedge \phi(\mathbf{m:j:e})) (\lambda e'. [[\text{He smiles at her}]] e' \phi) \\
 &\rightarrow_{\beta} \lambda e\phi. \mathbf{love j m} \wedge (\lambda e'. [[\text{He smiles at her}]] e' \phi) (\mathbf{m:j:e}) \\
 &\rightarrow_{\beta} \lambda e\phi. \mathbf{love j m} \wedge [[\text{He smiles at her}]] (\mathbf{m:j:e}) \phi \\
 &= \lambda e\phi. \mathbf{love j m} \wedge (\lambda e\phi. \mathbf{smile} (\mathbf{sel}_{he} e) (\mathbf{sel}_{her} e) \wedge \phi e) (\mathbf{m:j:e}) \phi \\
 &\rightarrow_{\beta} \lambda e\phi. \mathbf{love j m} \wedge \\
 &\quad (\lambda \phi. \mathbf{smile} (\mathbf{sel}_{he} (\mathbf{m:j:e})) (\mathbf{sel}_{her} (\mathbf{m:j:e})) \wedge \phi (\mathbf{m:j:e})) \phi \\
 &\rightarrow_{\beta} \lambda e\phi. \mathbf{love j m} \wedge \mathbf{smile} (\mathbf{sel}_{he} (\mathbf{m:j:e})) (\mathbf{sel}_{her} (\mathbf{m:j:e})) \wedge \phi (\mathbf{m:j:e}) \\
 &= \lambda e\phi. \mathbf{love j m} \wedge \mathbf{smile j} (\mathbf{sel}_{her} (\mathbf{m:j:e})) \wedge \phi (\mathbf{m:j:e}) \\
 &= \lambda e\phi. \mathbf{love j m} \wedge \mathbf{smile j m} \wedge \phi (\mathbf{m:j:e})
 \end{aligned}$$

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## Montague's interpretation

$$\begin{aligned} \llbracket s \rrbracket &= o \\ \llbracket n \rrbracket &= \iota \rightarrow o \\ \llbracket np \rrbracket &= (\iota \rightarrow o) \rightarrow o \end{aligned}$$

may be rephrased as follows:

$$\begin{aligned} \llbracket s \rrbracket &= o & (1) \\ \llbracket n \rrbracket &= \iota \rightarrow \llbracket s \rrbracket & (2) \\ \llbracket np \rrbracket &= (\iota \rightarrow \llbracket s \rrbracket) \rightarrow \llbracket s \rrbracket & (3) \end{aligned}$$

Replacing (1) with:

$$\llbracket s \rrbracket = \gamma \rightarrow (\gamma \rightarrow o) \rightarrow o$$

we obtain:

$$\begin{aligned} \llbracket n \rrbracket &= \iota \rightarrow \gamma \rightarrow (\gamma \rightarrow o) \rightarrow o \\ \llbracket np \rrbracket &= (\iota \rightarrow \gamma \rightarrow (\gamma \rightarrow o) \rightarrow o) \rightarrow \gamma \rightarrow (\gamma \rightarrow o) \rightarrow o \end{aligned}$$

This interpretation results in handcrafted lexical semantics such as the following:

$$\begin{aligned}
 \llbracket \text{farmer} \rrbracket &= \lambda x e \phi. \text{farmer } x \wedge \phi e \\
 \llbracket \text{donkey} \rrbracket &= \lambda x e \phi. \text{donkey } x \wedge \phi e \\
 \llbracket \text{owns} \rrbracket &= \lambda o s. s (\lambda x. o (\lambda y e \phi. \text{own } x y \wedge \phi e)) \\
 \llbracket \text{beats} \rrbracket &= \lambda o s. s (\lambda x. o (\lambda y e \phi. \text{beat } x y \wedge \phi e)) \\
 \llbracket \text{who} \rrbracket &= \lambda r n x e \phi. n x e (\lambda e. r (\lambda \psi. \psi x) e \phi) \\
 \llbracket \text{a} \rrbracket &= \lambda n \psi e \phi. \exists x. n x e (\lambda e. \psi x (x::e) \phi) \\
 \llbracket \text{every} \rrbracket &= \lambda n \psi e \phi. (\forall x. \neg(n x e (\lambda e. \neg(\psi x (x::e) (\lambda e. \top))))) \wedge \phi e \\
 \llbracket \text{it} \rrbracket &= \lambda \psi e \phi. \psi (\text{sel } e) e \phi
 \end{aligned}$$

...which might seem a little bit involved.

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# Questions

- is there a systematic way of obtaining the new lexical semantics from Montague's?
- can we find any “modular” presentation of the approach?
- is there some dynamic logic hidden in the approach?

# Principles

Let  $\Omega \triangleq \gamma \rightarrow (\gamma \rightarrow o) \rightarrow o$ . We intend to design a logic acting on propositions of type  $\Omega$

We share with DRT the two following assumptions:

- discourse composition is mainly conjunctive (roughly speaking, a discourse consists in the conjunction of its sentences);
- the main form of quantification is existential (it introduces referential markers).

Consequently, our logic will be based on conjunction and existential quantification (defined as primitives). The other connectives will be obtained using negation (a third primitive) and de Morgan's laws.

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# Formal Framework

We consider a simply-typed  $\lambda$ -calculus, the terms of which are built upon a signature including the following constants:

## FIRST-ORDER LOGIC

|           |                                         |                                     |
|-----------|-----------------------------------------|-------------------------------------|
| $\top$    | $: o$                                   | <i>(truth)</i>                      |
| $\neg$    | $: o \rightarrow o$                     | <i>(negation)</i>                   |
| $\wedge$  | $: o \rightarrow o \rightarrow o$       | <i>(conjunction)</i>                |
| $\exists$ | $: (\iota \rightarrow o) \rightarrow o$ | <i>(existential quantification)</i> |

## DYNAMIC PRIMITIVES

|              |                                                 |                           |
|--------------|-------------------------------------------------|---------------------------|
| $::$         | $: \iota \rightarrow \gamma \rightarrow \gamma$ | <i>(context updating)</i> |
| $\text{sel}$ | $: \gamma \rightarrow \iota$                    | <i>(choice operator)</i>  |

# Conjunction

Conjunction is nothing but sentence composition. We therefore define:

$$A \wedge B \triangleq \lambda e\phi. A e (\lambda e. B e \phi)$$

# Existential quantification

Existential quantification introduces “reference markers”. It is therefore responsible for context updating:

$$\exists!x. P x \triangleq \lambda e \phi. \exists x. P x (x::e) \phi$$

# Negation

We do not want the continuation of the discourse to fall into the scope of the negation. Consequently, negation must be defined as follows:

$$\neg! A \triangleq \lambda e \phi. \neg(A e (\lambda e. \top)) \wedge \phi e$$

# Implication and Universal Quantification

These are defined using de Morgan's laws:

$$\begin{aligned} A \Rightarrow B &\triangleq \neg(A \wedge \neg B) \\ \forall x. P x &\triangleq \neg \exists x. \neg(P x) \end{aligned}$$

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# Embedding and conservativity

$$\begin{aligned}
 \overline{R t_1 \dots t_n} &= \lambda e \phi. R t_1 \dots t_n \wedge \phi e \\
 \overline{\neg A} &= \neg \overline{A} \\
 \overline{A \wedge B} &= \overline{A} \mathbin{\textcolor{red}{\|}} \overline{B} \\
 \overline{\exists x. A} &= \exists \overline{x}. \overline{A}
 \end{aligned}$$

This embedding is such that, for every term  $e$  of type  $\gamma$ :

$$A \equiv \overline{A} e (\lambda e. \top)$$

# Donkey sentence revisited

Montague-like semantic interpretation:

|                                       |                                                              |
|---------------------------------------|--------------------------------------------------------------|
| $\llbracket \text{farmer} \rrbracket$ | $= \text{farmer}$                                            |
| $\llbracket \text{donkey} \rrbracket$ | $= \text{donkey}$                                            |
| $\llbracket \text{owns} \rrbracket$   | $= \lambda OS. S(\lambda x. O(\lambda y. \text{own } x y))$  |
| $\llbracket \text{beats} \rrbracket$  | $= \lambda OS. S(\lambda x. O(\lambda y. \text{beat } x y))$ |
| $\llbracket \text{who} \rrbracket$    | $= \lambda RQx. Qx \wedge R(\lambda P. Px)$                  |
| $\llbracket \text{a} \rrbracket$      | $= \lambda PQ. \exists x. Px \wedge Qx$                      |
| $\llbracket \text{every} \rrbracket$  | $= \lambda PQ. \forall x. Px \supset Qx$                     |
| $\llbracket \text{it} \rrbracket$     | $= ???$                                                      |

Dynamic interpretation:

$$\begin{aligned}
 \llbracket \text{farmer} \rrbracket &= \lambda x. \overline{\text{farmer } x} \\
 \llbracket \text{donkey} \rrbracket &= \lambda x. \overline{\text{donkey } x} \\
 \llbracket \text{owns} \rrbracket &= \lambda OS. S(\lambda x. O(\lambda y. \overline{\text{own } x \ y})) \\
 \llbracket \text{beats} \rrbracket &= \lambda OS. S(\lambda x. O(\lambda y. \overline{\text{beat } x \ y})) \\
 \llbracket \text{who} \rrbracket &= \lambda RQx. Qx \wedge R(\lambda P. Px) \\
 \llbracket \text{a} \rrbracket &= \lambda PQ. \exists x. Px \wedge Qx \\
 \llbracket \text{every} \rrbracket &= \lambda PQ. \forall x. Px \Rightarrow Qx \\
 \llbracket \text{it} \rrbracket &= \lambda Pe\phi. P(\text{sel } e) e \phi
 \end{aligned}$$

With the dynamic interpretation we have that:

`[[beats]] [[it]] ([[every]] ([[who]] ([[owns]] ([[a]] [[donkey]])) [[farmer]]))`

$\beta$ -reduces to the following term (modulo de Morgan's laws):

$$\lambda e \phi. (\forall x. \text{farmer } x \supset \\ (\forall y. \text{donkey } y \supset (\text{own } x y \supset \text{beat } x (\text{sel}(x::y::e)))) \wedge \phi e$$

that is, assuming that `sel` is a “perfect” anaphora resolution operator:

$$\lambda e \phi. (\forall x. \text{farmer } x \supset (\forall y. \text{donkey } y \supset (\text{own } x y \supset \text{beat } x y))) \wedge \phi e$$