# Semantic Representation of Modal Subordination Using Type Theory

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# Outline

About Modal Subordination

2 A Montagovian Treatment

3 Discussion and Alternative Proposals



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• A wolf might walk in. It would growl.

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Modal Subordination: Some Examples

- A wolf might walk in. It would growl.
- A wolf might walk in. \*It will growl.

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Modal Subordination: Some Examples

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- A wolf might walk in. \*It will growl.
- A wolf walks in. It would growl.

#### References: DRT and Dynamic Frameworks

- Accommodation of DRSs [Roberts(1989)]
- Modals presuppose their domain [Geurts(1999)]
- Anaphoric context references and graded modality [Frank and Kamp(1997)]
- Compositional DRT extension [Stone and Hardt(1997)]
- Two-dimensionsal approach, accessibility relation and world ordering [van Rooij(2005)]
- DPL and sets of epistemic possibilities [Asher and McCready(2007)]

# DRT Based Account

### Example

A wolf might walk in.



A wolf might walk in.



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A wolf might walk in.It would growl.



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# DRT Based Account

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#### Note:

Accessibility conditions

# DRT Based Account

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Accessibility conditions

# DRT Based Account

### Example

A wolf might walk in.It would growl.



### Note:

- Accessibility conditions
- Modal base and accommodation

### Our Aim

To consider modal subordination in [de Groote(2006)]'s framework:

- Taking advantages of this framework
- Implementing MS principles in lexical entries
- Without any change to the formal framwork

#### The Steps

- Intepretation of (the syntactic type of) the sentences
- Combination rules
- The lexical semantics of MS

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 $[\mathsf{de Groote(2006)}]: \quad \llbracket s \rrbracket = \gamma \to (\gamma \to t) \to t$ 

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# $\begin{array}{ll} [\mathsf{de} \; \mathsf{Groote}(2006)] \colon & \llbracket s \rrbracket = \gamma \to (\gamma \to t) \to t \\ \mathsf{Here:} & \llbracket s \rrbracket = \gamma \to \gamma \to (\gamma \to \gamma \to t) \to (\gamma \to \gamma \to t) \to (t \to t \to t) \to t \end{array}$

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• A modal environment and a factual environment

 $\begin{array}{ll} [\text{de Groote(2006)}]: & \llbracket s \rrbracket = \gamma \to (\gamma \to t) \to t \\ \text{Here:} & \llbracket s \rrbracket = \gamma \to \gamma \to (\gamma \to \gamma \to t) \to (\gamma \to \gamma \to t) \to (t \to t \to t) \to t \end{array}$ 

- A modal environment and a factual environment
- A modal contribution and a factual continuation (or a modal contribution and a factual contribution of the continuation)

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### Note on pairs: (t,t) as (t ightarrow t ightarrow t) ightarrow t

- A pair (a, b) is interpreted as  $\lambda f. f. a. b$  (selecting two-place functions and applying them to the 1st and the 2nd component)
- An additional parameter:
  - How should the modal and the factual part be combined? Typically  $\lambda b_1 b_2 . b_1 \wedge b_2$
  - When should they be combined? Possibility of a Reset operator that close the modal contribution.

 $\begin{array}{ll} [\text{de Groote(2006)}]: & \llbracket s \rrbracket = \gamma \to (\gamma \to t) \to t \\ \text{Here:} & \llbracket s \rrbracket = \gamma \to \gamma \to (\gamma \to \gamma \to t) \to (\gamma \to \gamma \to t) \to (t \to t \to t) \to t \end{array}$ 

- A modal environment and a factual environment
- A modal contribution and a factual contribution (or a modal contribution and a factual contribution of the continuation)
- a modal part and a factual part
- $\llbracket np \rrbracket = (e \rightarrow \llbracket s \rrbracket) \rightarrow \llbracket s \rrbracket$ ,  $\llbracket n \rrbracket = e \rightarrow \llbracket s \rrbracket$ , etc.

### Note on pairs: (t,t) as (t ightarrow t ightarrow t) ightarrow t

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# Combinations

## $S_1.S_2$ when $S_2$ has a factual mood

 $[\![S_1.S_2]\!] = \lambda i_1 i_2 k_1 k_2 f . [\![S_1]\!] i_1 i_2 k_1 (\lambda i'_1 i'_2 . [\![S_2]\!] i'_1 i'_2 k_1 k_2 \Pi_2) f$ 

(with  $\Pi_2 = \lambda a b. b$  the second projection)

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(with  $\Pi_2 = \lambda ab.b$  the second projection)

### $S_1.S_2$ when $S_2$ has a nonfactual mood

$$\llbracket S_1 . S_2 \rrbracket = \lambda i_1 i_2 k_1 k_2 f . \llbracket S_1 \rrbracket i_1 i_2 \left( \lambda i'_1 i'_2 . \llbracket S_2 \rrbracket i'_1 i'_2 k_1 k_2 \Pi_1 \right) k_2 f$$

(with  $\Pi_1 = \lambda ab.a$  the first projection)

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$$[\![S_1.S_2]\!] = \lambda i_1 i_2 k_1 k_2 f . [\![S_1]\!] i_1 i_2 k_1 (\lambda i'_1 i'_2 . [\![S_2]\!] i'_1 i'_2 k_1 k_2 \Pi_2) f$$

Example		
[[A wolf might walk in]]	$=\lambda i_1i_2k_1k_2f.f$	$(\Diamond(\exists x.(wolfx) \land ((enterx) \land (k_1(x :: i_1)i_2)))) \\ (k_2i_1i_2)$

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$$[[S_1.S_2]] = \lambda i_1 i_2 k_1 k_2 f.[[S_1]] i_1 i_2 k_1 (\lambda i'_1 i'_2.[[S_2]] i'_1 i'_2 k_1 k_2 \Pi_2) f$$

Example		
[[A wolf might walk in]]	$=\lambda i_1i_2k_1k_2f.f$	$(\Diamond(\exists x.(wolf  x) \land ((enter  x) \land (k_1 (x :: i_1) i_2))))$
[[It would growl]]	$=\lambda i_1i_2k_1k_2f.f$	$(\Box((\operatorname{growl}(\operatorname{sel}(i_1\cup i_2)))\wedge(k_1i_1i_2)))  (k_2i_1i_2)$

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		$(k_2 i_1 i_2)$
[[It would growl]]	$= \lambda i_1 i_2 k_1 k_2 f.f$	$(\Box((growl(sel(i_1\cup i_2)))\wedge(k_1i_1i_2)))  (k_2i_1i_2)$
[[It will growl]]	$= \lambda i_1 i_2 k_1 k_2 f.f$	$(k_1i_1i_2)$ $((growl(seli_2)))$

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# Example

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[[A wolf might walk in]]	$= \lambda i_1 i_2 k_1 k_2 f. f$	$(\Diamond(\exists x.(wolf\ x) \land ((enter\ x) \land (k_1\ (x :: i_1)\ i_2))))$
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Let:

- Nil be the empty environment (sel Nil always fails)
- $\mathbb{T}$  be the trivial continuation  $(\lambda i_1 i_2. \top)$
- Conj be the conjunction  $(\lambda b_1 b_2. b_1 \wedge b_2)$

$$[\![S_1.S_2]\!] = \lambda i_1 i_2 k_1 k_2 f . [\![S_1]\!] i_1 i_2 k_1 (\lambda i'_1 i'_2 . [\![S_2]\!] i'_1 i'_2 k_1 k_2 \Pi_2) f$$

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		$(k_2 i_1 i_2)$
[[It would growl]]	$= \lambda i_1 i_2 k_1 k_2 f.f$	$(\Box((growl(\mathtt{sel}(i_1\cup i_2)))\wedge(k_1i_1i_2)))  (k_2i_1i_2)$
[[It will growl]]	$=\lambda i_1i_2k_1k_2f.f$	$(k_1i_1i_2)$ ((growl(seli_2)))

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- Nil be the empty environment (sel Nil always fails)
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- Conj be the conjunction  $(\lambda b_1 b_2 . b_1 \wedge b_2)$

We can then evaluate (NilNil $\mathbb{T}\mathbb{T}$ Conj parameters are omitted):

Example (A wolf might walk in. It would growl)

 $\llbracket S \rrbracket = (\Diamond (\exists x.(\mathsf{wolf}\ x) \land ((\mathsf{enter}\ x) \land (\Box((\mathsf{growl}\ (\mathsf{sel}(x :: \mathtt{Nil}) \cup \mathtt{Nil})) \land \top)))) \land \top$ 

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Example (A wolf might walk in. It will growl)

 $\llbracket S \rrbracket = (\Diamond (\exists x. (\mathsf{wolf} \ x) \land ((\mathsf{enter} \ x) \land \top))) \land (\mathsf{growl} (\mathsf{selNil}))$ 



# Example (cont'd)

Example (A wolf might walk in. It will growl)

 $\llbracket S \rrbracket = (\Diamond (\exists x. (\mathsf{wolf} \ x) \land ((\mathsf{enter} \ x) \land \top))) \land (\mathsf{growl} (\mathtt{selNil}))$ 

Example (A wolf walks in. It might growl)

 $\llbracket S \rrbracket = \exists x. (\Diamond ((\mathsf{howl}\,(\mathtt{sel}(\mathtt{Nil} \cup (x :: \mathtt{Nil})))) \land \top)) \land ((\mathsf{wolf}\, x) \land ((\mathsf{enter}\, x) \land \top))$ 

Example (A wolf might walk in. It will growl)

 $\llbracket S \rrbracket = (\Diamond (\exists x. (\mathsf{wolf} \ x) \land ((\mathsf{enter} \ x) \land \top))) \land (\mathsf{growl} \, (\mathtt{selNil}))$ 

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Lexical Semantics

 $[[might]] = \lambda vs.\lambda i_1 i_2 k_1 k_2 f.f(((v s i_1 i_2 k_1 k_2 \Pi_1))(k_2 i_1 i_2)))$ 

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Example (A wolf might walk in. It will growl)

 $\llbracket S \rrbracket = (\Diamond (\exists x. (\mathsf{wolf} \, x) \land ((\mathsf{enter} \, x) \land \top))) \land (\mathsf{growl} \, (\mathtt{selNil}))$ 

Example (A wolf walks in. It might growl)

 $\llbracket S \rrbracket = \exists x. (\Diamond ((\mathsf{howl}(\mathsf{sel}(\mathsf{Nil} \cup (x :: \mathsf{Nil})))) \land \top)) \land ((\mathsf{wolf} x) \land ((\mathsf{enter} x) \land \top))$ 

#### Lexical Semantics

$$\begin{split} \llbracket might \rrbracket &= \lambda vs. \lambda i_1 i_2 k_1 k_2 f. f\left( \Diamond (v \ s \ i_1 \ i_2 \ k_1 \ k_2 \Pi_1) \right) (k_2 \ i_1 \ i_2) \\ \llbracket a_{nf} \rrbracket &= \lambda PQ. \lambda i_1 i_2 k_1 k_2 f. \exists x. P \ x \ (x \ :: \ i_1) \ i_2 \ (\lambda i j. Q \ x \ i \ j \ k_1 \ k_2 \Pi_1) \ k_2 \ f \end{split}$$

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 $\llbracket S \rrbracket = (\Diamond (\exists x. (\mathsf{wolf} \ x) \land ((\mathsf{enter} \ x) \land \top))) \land (\mathsf{growl} (\mathtt{selNil}))$ 

Example (A wolf walks in. It might growl)

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#### Lexical Semantics

[[might]]	$= \lambda v s. \lambda i_1 i_2 k_1 k_2 f. f( ((v s i_1 i_2 k_1 k_2 \Pi_1))(k_2 i_1 i_2))$
[a <sub>nf</sub> ]	$= \lambda PQ.\lambda i_1 i_2 k_1 k_2 f. \exists x. P x (x :: i_1) i_2 (\lambda i j. Q x i j k_1 k_2 \Pi_1) k_2 i_1$
[[a <sub>f</sub> ]]	$= \lambda PQ.\lambda i_1 i_2 k_1 k_2 f. \exists x. P \times i_1 (x :: i_2) k_1 (\lambda i j. Q \times i j k_1 k_2 \Pi_2) $

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Example (A wolf might walk in. It will growl)

 $\llbracket S \rrbracket = (\Diamond (\exists x. (\mathsf{wolf} \ x) \land ((\mathsf{enter} \ x) \land \top))) \land (\mathsf{growl} (\mathtt{selNil}))$ 

Example (A wolf walks in. It might growl)

 $\llbracket S \rrbracket = \exists x. (\Diamond ((\mathsf{howl}\,(\mathtt{sel}(\mathtt{Nil} \cup (x :: \mathtt{Nil})))) \land \top)) \land ((\mathsf{wolf}\, x) \land ((\mathsf{enter}\, x) \land \top))$ 

#### Lexical Semantics

[[might]]	$= \lambda v s. \lambda i_1 i_2 k_1 k_2 f. f(\Diamond (v s i_1 i_2 k_1))$	$k_2\Pi_1))(k_2 i_1 i_2))$
[a <sub>nf</sub> ]	$= \lambda P Q. \lambda i_1 i_2 k_1 k_2 f. \exists x. P x (x :: i_1)$	$L ) i_2 (\lambda i j. Q \times i j k_1 k_2 \Pi_1) k_2 f$
[[a <sub>f</sub> ]]	$= \lambda P Q.\lambda i_1 i_2 k_1 k_2 f. \exists x. P \times i_1 (x ::$	$(i_2) k_1 (\lambda i j. Q \times i j k_1 k_2 \Pi_2) f$
Reset	$\stackrel{\Delta}{=} \lambda S.\lambda e_1 e_2 k_1 k_2 f.f(k_1 e_1 e_2)$	$(S e_1 e_2 \mathbb{T} k_2 \operatorname{Conj})$

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### Discussion

Example (A wolf might walk in. It would growl)

 $\llbracket S \rrbracket = (\Diamond (\exists x. (\mathsf{wolf} \ x) \land ((\mathsf{enter} \ x) \land (\Box ((\mathsf{growl} (\mathsf{sel}(x :: \mathtt{Nil}) \cup \mathtt{Nil})) \land \top)))) \land \top$ 

- $\Box$  under the scope of  $\Diamond$
- But what if in the accessed worlds, wolf x is false?

### Discussion

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 $\llbracket S \rrbracket = (\Diamond (\exists x. (\mathsf{wolf} x) \land ((\mathsf{enter} x) \land (\Box ((\mathsf{growl} (\mathsf{sel}(x :: \mathtt{Nil}) \cup \mathtt{Nil})) \land \top))))) \land \top$ 

- $\Box$  under the scope of  $\Diamond$
- But what if in the accessed worlds, wolf x is false?
- $\Rightarrow$  Modal base and local accommodation: we would like to have

$$\begin{split} \llbracket S \rrbracket &= (\Diamond (\exists x. (\texttt{wolf } x) \land ((\texttt{enter } x) \land \\ (\Box(((\texttt{wolf } x) \land (\texttt{enter } x)) \Rightarrow (\texttt{growl} (\texttt{sel}(x :: \texttt{Nil}) \cup \texttt{Nil})) \land \top))))) \land \top \end{split}$$

### Discussion

Example (A wolf might walk in. It would growl)

 $\llbracket S \rrbracket = (\Diamond (\exists x.(\mathsf{wolf}\ x) \land ((\mathsf{enter}\ x) \land (\Box((\mathsf{growl}\ (\mathsf{sel}(x :: \mathtt{Nil}) \cup \mathtt{Nil})) \land \top)))) \land \top$ 

- $\Box$  under the scope of  $\Diamond$
- But what if in the accessed worlds, wolf x is false?
- ⇒ Modal base and local accommodation: we would like to have

$$\begin{split} \llbracket S \rrbracket &= (\Diamond (\exists x. (\texttt{wolf } x) \land ((\texttt{enter } x) \land \\ (\Box(((\texttt{wolf } x) \land (\texttt{enter } x)) \Rightarrow (\texttt{growl} (\texttt{sel}(x :: \texttt{Nil}) \cup \texttt{Nil})) \land \top))))) \land \top \\ \end{split}$$

#### Alternative Proposal

$$\llbracket s \rrbracket = \gamma \rightarrow \gamma \rightarrow (\gamma \rightarrow \gamma \rightarrow t \rightarrow \kappa \rightarrow t) \rightarrow (\gamma \rightarrow \gamma \rightarrow t \rightarrow \kappa \rightarrow t) \rightarrow (t \rightarrow t \rightarrow t \rightarrow t) \rightarrow t$$

with  $\kappa \stackrel{\Delta}{=} t \rightarrow t \rightarrow t$  (typically  $\lambda b_1 b_2 . b_1 \land \Diamond (b_1 \Rightarrow b_2))$ 

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### Example (A wolf might enter. It would growl. It would eat you first)

$$\begin{array}{l} \Diamond \exists x.((\texttt{wolf } x) \land (\texttt{enter } x) \land \\ \Box(((\texttt{wolf } x) \land (\texttt{enter } x)) \Rightarrow ((\texttt{growl}(\texttt{sel}((x :: \texttt{Nil}) + \texttt{Nil}))) \land \\ \Box(((\texttt{wolf } x) \land (\texttt{enter } x)) \Rightarrow ((\texttt{eat } \texttt{you}(\texttt{sel}((x :: \texttt{Nil}) + \texttt{Nil}))))))) \end{array}$$

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# $\gamma$ as a Macro Definition

- $\bullet\,$  We used  $\gamma$  as a list of entities
- But we could introduce s the type of worlds and move to TY2
  - Sel function on worlds and explicit reference to worlds (context referents)

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  - Sel function on worlds and explicit reference to worlds (context referents)

Example (*a wolf might walk in*)

 $\lambda e_1 e_2 k w. \exists w'. (R w w') \land (\exists x. (\mathsf{wolf} \times w') \land ((\mathsf{enter} \times w') \land (k ((w', x) + e_1)(w' :: e_2) w)))$ 

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#### Example (a wolf might walk in)

 $\lambda e_1 e_2 k w . \exists w' . (R w w') \land (\exists x. (\mathsf{wolf} x w') \land ((\mathsf{enter} x w') \land (k ((w', x) + e_1)(w' :: e_2) w)))$ 

• Flexibility on factual and nonfactual world interaction

- $\bullet$  We used  $\gamma$  as a list of entities
- But we could introduce s the type of worlds and move to TY2
  - Sel function on worlds and explicit reference to worlds (context referents)

#### Example (*a wolf might walk in*)

 $\lambda e_1 e_2 k w . \exists w' . (R w w') \land (\exists x. (\mathsf{wolf} \times w') \land ((\mathsf{enter} \times w') \land (k ((w', x) + e_1)(w' :: e_2) w)))$ 

• Flexibility on factual and nonfactual world interaction

#### Example

John might buy a house<sub>x</sub>. He earns enough to get a mortage. He could rent it<sub>x</sub> out for the festival.

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- We used  $\gamma$  as a list of entities
- But we could introduce s the type of worlds and move to TY2
  - Sel function on worlds and explicit reference to worlds (context referents)

#### Example (*a wolf might walk in*)

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• Flexibility on factual and nonfactual world interaction

#### Example

John might buy a house<sub>x</sub>. He earns enough to get a mortage. He could rent it<sub>x</sub> out for the festival.

#### Example

If John's at home he'll be reading a book\_x. Actually he's still at the office.  $*It_x'II$  be *War* and *Peace*.

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# Conclusion

### Wrapping Up

- Modal subordination in [de Groote(2006)]'s framework
- Flexibility of the approach
- Role of the lexical semantics
- Modal and/or type theory

# Conclusion

### Wrapping Up

- Modal subordination in [de Groote(2006)]'s framework
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### Future Work

- Dynamic modal logic?
- Negation and counterfactuals
- [Veltman(1996)]'s testing and filtering
- Interaction with discourse structure (factual explanations of nonfactual possibilities)
- Hob and Nob sentences

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