

Modal Subordination and Continuation Semantics

(CAuLD project)

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Outline

- 1 Background
 - Modal Subordination
 - Principles
- 2 Continuation Semantics
 - Reminder
 - Veltman Modalities
 - Modal Subordination
- 3 Conclusion and Perspectives

Epistemic Modal Facts Asymmetrically Depend on Non Modal Facts

Example

Epistemic modal facts depend on non modal facts, but not vice versa [Veltman(1996)]:

- *It might be sunny. But it's not sunny.*
- *#It's not sunny. But it might (for all I know) be sunny.*

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Update of Information State (Set of Worlds)

- Non modal sentences ϕ : $(s[\phi]) = \{w \in s \mid w \in \|\phi\|\}$
- Modal sentences $\diamond\phi$: $s[\diamond\phi] = s$ iff $\exists w \in s \ w \in \|\phi\|$

Some Modal Facts Depend on other Modal Facts

Example

- A wolf might enter. It would growl.
- A wolf might enter. #It will growl.

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- A wolf might enter. It would growl.
- A wolf might enter. #It will growl.
- A wolf is outside. It grows.
- A wolf is outside. ?It would growl.
- A wolf is outside. It might growl.

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- A wolf might enter. #It will growl.
- A wolf is outside. It growls.
- A wolf is outside. ?It would growl.
- A wolf is outside. It might growl.

Example

- If John bought a book, he'll be home reading it by now. #It's a murder mystery.

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- If John bought a book, he'll be home reading it by now. It'll be a murder mystery.

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- If John bought a book, he'll be home reading it by now. #It's a murder mystery.
- If John bought a book, he'll be home reading it by now. It'll be a murder mystery.
- If John's at home he'll be reading a book. Actually, he's still at the office. #It'll be War and Peace.

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- If John's at home he'll be reading a book. He is. It's War and Peace.

Previous Accounts

Using DRT and Dynamic Frameworks

- Accommodation of DRSs [Roberts(1989)]
- Modals presuppose their domain [Geurts(1999)]
- Anaphoric context references and update of these with DRSs in the DRS syntax [Frank and Kamp(1997)]
- Compositional DRT extension [Stone and Hardt(1997)]
- Two-dimensional approach, accessibility relation and world ordering [van Rooij(2005)]
- DPL and sets of epistemic possibilities [Asher and McCreedy(2007)]

DRT Based Account

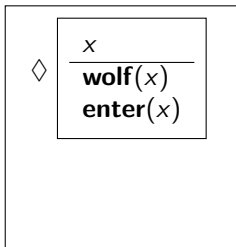
Example

A wolf might walk in.

DRT Based Account

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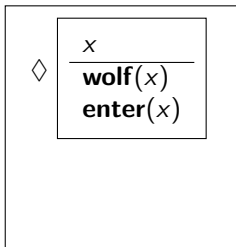
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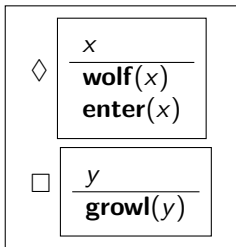
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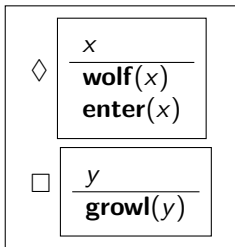
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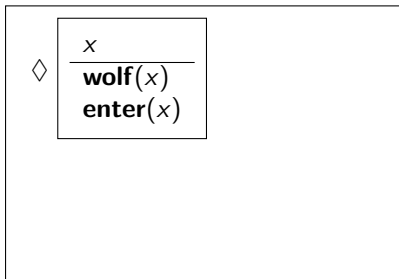
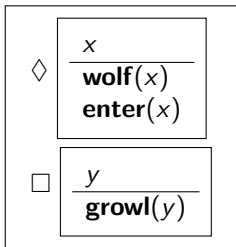
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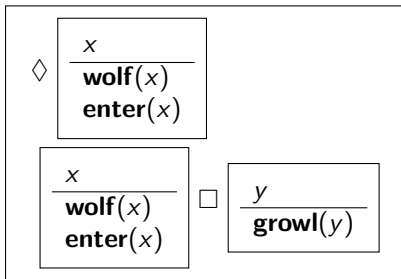
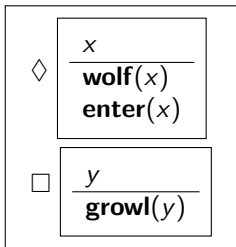
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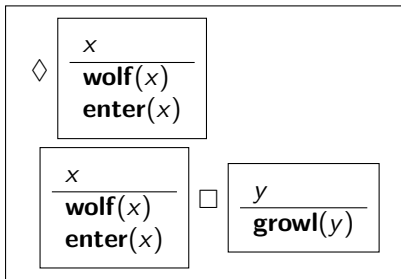
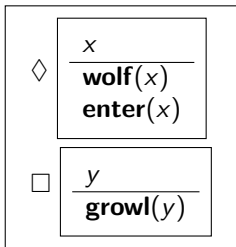
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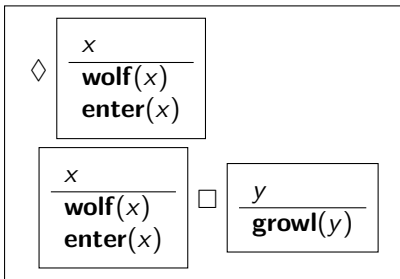
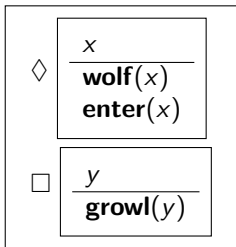
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Note:

- Accessibility conditions
- Modal base and accommodation
- No (explicit) modal logic in the interpretation

Compositional Interpretations

Methodological and Technical Issues

- Non-standard interpretation of formulas:
 - Interpretation as relations between pairs of worlds and assignment functions
 - $(\exists x.\phi) \wedge \psi \Leftrightarrow \exists x.(\phi \wedge \psi)$ (scope theorem)
- Destructive assignment and variable clash
- $\llbracket \phi \Rightarrow_{\diamond} \psi \rrbracket = ?$

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Formal Semanticist and Logician?

- What are the useful data to feed the context with? (entities, context referents. . .)
- How do discourse and sentences combine? (DRS merge, DPL relational composition, accessibility. . .)
- What are the semantic recipes of the lexical items
- Should I design a new logic?

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Continuation Semantics

Principles [de Groote(2006)]

[[s]]

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$$\begin{array}{l} \llbracket s \rrbracket \\ \llbracket np \rrbracket \end{array} = (e \rightarrow \llbracket s \rrbracket) \rightarrow \llbracket s \rrbracket$$

Continuation Semantics

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 \llbracket s \rrbracket &= \gamma \rightarrow (\gamma \rightarrow t) \rightarrow t \quad \triangleq \Omega \\
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A man is sleeping.

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The Basics

Context

$$i : \gamma \triangleq t$$
$$k : t \rightarrow t$$
$$:: t \rightarrow t \rightarrow t$$

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$$i : \gamma \stackrel{\Delta}{=} t$$

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Logic

- for an atomic static formulas $p : t$: $\bar{p} = \lambda i k.p \wedge (k (p :: i))$

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- for an atomic static formulas $p : t$: $\bar{p} = \lambda i k.p \wedge (k (p :: i))$
- $\mathbb{T} \stackrel{\Delta}{=} \lambda i.i$ the trivial continuation

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- $\mathbb{T} \stackrel{\Delta}{=} \lambda i.i$ the trivial continuation
- for $P : \Omega$ a dynamic proposition:
 - $\neg_d P \stackrel{\Delta}{=} \lambda i k.(\neg(P i \mathbb{T})) \wedge (k ((\neg P i \mathbb{T}) :: i))$

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 - $P \wedge_d Q \stackrel{\Delta}{=} \lambda i k.P i (\lambda i'.Q i' k)$

Veltman's Test

Modal Logic

- $\Diamond_d P \triangleq \lambda i k. (\text{TEST } P) i (\lambda i'. (k i') \wedge (\Diamond(P i' \mathbb{T})))$

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- With the exception Halt

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Example

It is sunny

Veltman's Test

Modal Logic

- $\diamond_d P \triangleq \lambda i k. (\text{TEST } P) i (\lambda i'. (k i') \wedge (\diamond(P i' \top)))$
- $\text{TEST } P = \lambda i k. \text{if } (\text{EVAL } P i \top) \text{ then } (k i) \text{ else } (\text{raise Halt})$
- With the exception Halt
- $\text{EVAL} : \Omega \rightarrow t \rightarrow k \rightarrow t$: returns \top iff the theory made of $P \top \top$ and i is consistent (the conjunction can be satisfied), **relative to any model**

Example

It is sunny

$$S = \lambda i k. \text{sunny} \wedge (k (\text{sunny} :: i))$$

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$$(\diamond_d S) \top = \lambda k. k \top \wedge (\diamond(S \top \top))$$

Veltman's Test (cont'd)

Modal Logic

- $\diamond_d P \triangleq \lambda i k. (\text{TEST } P) i (\lambda i'. (k i') \wedge (\diamond(P i' \top)))$ where \diamond is the classic static modality.
- $\text{TEST } P = \lambda i k. \text{if } (\text{EVAL } P i \top) \text{ then } (k i) \text{ else } (\text{raise Halt})$
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- $\text{EVAL} : \Omega \rightarrow t \rightarrow k \rightarrow t$: returns \top iff the theory made of $P\top$ and i is consistent (the conjunction can be satisfied), **relative to any model**

Example

It is not sunny

Veltman's Test (cont'd)

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Example

It is not sunny

$$S = \lambda i k. \neg \text{sunny} \wedge (k ((\neg \text{sunny}) :: i))$$

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It is not sunny $S = \lambda i k. \neg \text{sunny} \wedge (k ((\neg \text{sunny}) :: i))$
 $(\neg \text{sunny})$ It might be sunny $(\diamond_d S)(\neg \text{sunny})$

Veltman's Test (cont'd)

Modal Logic

- $\diamond_d P \triangleq \lambda i k.(\text{TEST } P) i (\lambda i'.(k i') \wedge (\diamond(P i' \mathbb{T})))$ where \diamond is the classic static modality.
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It is not sunny

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$$S = \lambda i k. \neg\text{sunny} \wedge (k ((\neg\text{sunny}) :: i))$$

$$(\diamond_d S)(\neg\text{sunny})$$

$$= \lambda k. (\text{TEST } P) (\neg\text{sunny}) (\lambda i'. (k i') \wedge (\diamond(P i' \mathbb{T})))$$

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$\text{EVAL } S (\neg\text{sunny}) \top$

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$(\diamond_d S)(\neg\text{sunny})$

$= \lambda k. (\text{TEST } P) (\neg\text{sunny}) (\lambda i'.(k i') \wedge (\diamond(P i' \top)))$

$= \perp$

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It is not sunny	$S = \lambda i k. \neg \text{sunny} \wedge (k ((\neg \text{sunny}) :: i))$
$(\neg \text{sunny})$ It might be sunny	$(\diamond_d S)(\neg \text{sunny})$
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$\text{EVAL } S (\neg \text{sunny}) \top$	$= \perp$
$(\neg \text{sunny})$ It might be sunny	$(\diamond_d S)(\neg \text{sunny}) \rightarrow_\beta \text{raise Halt}$

Some Modal Facts Depend on other Modal Facts

Example

- A wolf might enter. It would growl.
- A wolf might enter. #It will growl.
- A wolf is outside. It growls.
- A wolf is outside. ?It would growl.
- A wolf is outside. It might growl.

Modals Depend on Modals: First Attempt

Lexicon

$\llbracket C_{enter} \rrbracket = \lambda s.s(\lambda x i k.(\mathbf{enter} x) \wedge (k i))$

$\llbracket C_{growl} \rrbracket = \lambda s.s(\lambda x i k.(\mathbf{growl} x) \wedge (k i))$

$\llbracket C_{wolf} \rrbracket = \lambda x i k.(\mathbf{wolf} x) \wedge (k i)$

$\llbracket C_a \rrbracket = \lambda P Q.\lambda i k.\exists x.P x(x :: i)(\lambda i'.Q x i' k)$

$\llbracket C_{it} \rrbracket = \lambda P i k.P(\mathbf{sel} i) i k$

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Modals

$$\llbracket C_{might} \rrbracket = \lambda v s.\lambda i k.\diamond(v s i k) \quad (\text{or } \lambda v s.\lambda i k.v s i(\lambda i'.\diamond(k i')))$$

$$\llbracket C_{would} \rrbracket = \lambda v s.\lambda i k.\square(v s i k)$$

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Modals

$\llbracket c_{might} \rrbracket$	$= \lambda v s. \lambda i k. \diamond (v s i k)$	(or $\lambda v s. \lambda i k. v s i (\lambda i'. \diamond (k i'))$)
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Example ($t_0 = c_{might} c_{enter} (c_a c_{wolf})$ and $t_1 = c_{would} c_{growl} c_{it}$)

$\llbracket t_0 \rrbracket$	$= \lambda i k. \diamond (\exists x. (\mathbf{wolf} x) \wedge ((\mathbf{enter} x) \wedge (k (x :: i))))$
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$\llbracket t_0 . t_1 \rrbracket$	$= \lambda i k. \diamond (\exists x. (\mathbf{wolf} x) \wedge ((\mathbf{enter} x) \wedge (\square ((\mathbf{growl} (\mathbf{sel} (x :: i))) \wedge (k (x :: i))))$

Problem

$$\llbracket t_0 . t_1 \rrbracket = \lambda i k. \diamond (\exists x. (\mathbf{wolf} x) \wedge ((\mathbf{enter} x) \wedge (\Box ((\mathbf{growl} (\mathbf{sel} (x :: i))) \wedge (k (x :: i)))))))$$

If x is a wolf in the first accessible world but a tiger in all the next ones...

Introducing the Modal Base

The Basics

- The context is a record: $\gamma = \{\text{m_ref} : \gamma'; \text{base} : t\}$

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- $\text{sel}_b i = \text{sel}(i.\text{m_ref})$
- $p \wedge_b i = \{\text{m_ref} = (i.\text{m_ref}); \text{base} = p \wedge (i.\text{base})\}$

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$\llbracket C_{\text{it}} \rrbracket$	$= \lambda P i k.P(\text{sel}_b i) i k$
$\llbracket C_{\text{might}} \rrbracket$	$= \lambda v s.\lambda i k.\diamond(i.\text{base} \Rightarrow v s i k)$

A wolf might walk in. It would growl

Example

$$\llbracket t_0 \rrbracket = \lambda i k. \diamond (i.\text{base} \wedge \exists x. (\mathbf{wolf} x) \wedge ((\mathbf{enter} x) \wedge (k ((\mathbf{enter} x) \wedge_b (\mathbf{wolf} x) \wedge_b (x ::_b i))))))$$

A wolf might walk in. It would growl

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$$\llbracket t_1 \rrbracket = \lambda i k. \square (i.\text{base} \Rightarrow (\mathbf{growl} (\text{sel}_b i)) \wedge (k ((\mathbf{growl} (\text{sel}_b i)) \wedge_b i)))$$

A wolf might walk in. It would growl

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$$\llbracket t_0 \rrbracket = \lambda i k. \diamond(i.\text{base} \wedge \exists x. (\mathbf{wolf} x) \wedge ((\mathbf{enter} x) \wedge (k((\mathbf{enter} x) \wedge_b (\mathbf{wolf} x) \wedge_b (x ::_b i))))))$$

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$$\llbracket t_0 . t_1 \rrbracket = \lambda i k. (\diamond(i.\text{base} \wedge \exists x. (\mathbf{wolf} x) \wedge ((\mathbf{enter} x) \wedge (\square(((\mathbf{enter} x) \wedge_b (\mathbf{wolf} x) \wedge_b (x ::_b i))).\text{base} \Rightarrow (\mathbf{growl}(\text{sel}_b (x ::_b i)) \wedge (k((\mathbf{growl}(\text{sel}(x :: i.m_ref)))) \wedge_b ((\mathbf{enter} x) \wedge_b (\mathbf{wolf} x) \wedge_b (x ::_b i))))))))))$$

With $\text{empty} = \{m_ref = \text{nil}; \text{base} = \top\}$ and $\mathbb{T} = (\lambda i. \top)$:

$$\llbracket t_0 . t_1 \rrbracket_{\text{empty } \mathbb{T}} = \diamond(\top \wedge \exists x. (\mathbf{wolf} x) \wedge ((\mathbf{enter} x) \wedge (\square(((\mathbf{enter} x) \wedge (\mathbf{wolf} x)) \Rightarrow (\mathbf{growl}(\text{sel}((x :: \text{nil}))))))))))$$

Models

Possible axioms on the models:

- Secondary reflexivity (so that the \Box claim also holds of the world selected by *might*)
- Euclidean alternativeness relation (so that **growl** x also holds in all epistemic possibilities)
- Or replace \Rightarrow by \wedge

Interactions Between Actual and Modal Contexts

The Basics

- $\gamma = \{\text{m.ref} : \gamma'; \text{base} : t; \text{f.ref} : \gamma'\}$

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- $\llbracket S_1 . S_2 \rrbracket = \lambda i k_1 k_2 f. \llbracket S_1 \rrbracket i (\lambda i'. \llbracket S_2 \rrbracket i' k_1 k_2 \Pi_1) (\lambda i'. \llbracket S_2 \rrbracket i' k_1 k_2 \Pi_2) f$

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lexicon

$$\llbracket c_a^m \rrbracket = \lambda P Q. \lambda i k_1 k_2 f. f(\exists x. P x \{i \text{ with } \text{m_ref} = x :: i.\text{m_ref}\} \\ (\lambda i'. Q x i' k_1 k_2 \Pi_1) k_2 \Pi_1) (k_2 i)$$

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$$\llbracket c_a^f \rrbracket = \lambda P Q. \lambda i k_1 k_2 f. \exists x. f[k_1 \{i \text{ with } \text{f_ref} = x :: i\}] [P x \{i \text{ with } \text{f_ref} = x :: i\} k_1 (\lambda i'. Q x i' k_1 k_2 \Pi_2) \Pi_2]$$

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lexicon

$$\begin{aligned} \llbracket C_a^m \rrbracket &= \lambda P Q. \lambda i k_1 k_2 f. f(\exists x. P x \{i \text{ with } \text{m.ref} = x :: i.\text{m.ref}\} \\ &\quad (\lambda i'. Q x i' k_1 k_2 \Pi_1) k_2 \Pi_1) (k_2 i) \\ \llbracket C_a^f \rrbracket &= \lambda P Q. \lambda i k_1 k_2 f. \exists x. f[k_1 \{i \text{ with } \text{f.ref} = x :: i\}] \\ &\quad [P x \{i \text{ with } \text{f.ref} = x :: i\} k_1 (\lambda i'. Q x i' k_1 k_2 \Pi_2) \Pi_2] \\ \llbracket C_{it}^m \rrbracket &= \lambda P i k_1 k_2 f. P(\text{sel}_b i.\text{m.ref} \cup i.\text{f.ref}) i k_1 k_2 f \end{aligned}$$

Interactions Between Actual and Modal Contexts

The Basics

- $\gamma = \{\text{m_ref} : \gamma'; \text{base} : t; \text{f_ref} : \gamma'\}$
- **Two continuations:** $\llbracket s \rrbracket = \gamma \rightarrow (\gamma \rightarrow t) \rightarrow (\gamma \rightarrow t) \rightarrow (t \rightarrow t \rightarrow t) \rightarrow t \triangleq \Omega$
- $\llbracket S_1 . S_2 \rrbracket = \lambda i k_1 k_2 f. \llbracket S_1 \rrbracket i (\lambda i'. \llbracket S_2 \rrbracket i' k_1 k_2 \Pi_1) (\lambda i'. \llbracket S_2 \rrbracket i' k_1 k_2 \Pi_2) f$

lexicon

$$\begin{aligned} \llbracket c_a^m \rrbracket &= \lambda P Q. \lambda i k_1 k_2 f. f(\exists x. P x \{i \text{ with } \text{m_ref} = x :: i.\text{m_ref}\} \\ &\quad (\lambda i'. Q x i' k_1 k_2 \Pi_1) k_2 \Pi_1) (k_2 i) \\ \llbracket c_a^f \rrbracket &= \lambda P Q. \lambda i k_1 k_2 f. \exists x. f[k_1 \{i \text{ with } \text{f_ref} = x :: i\} \\ &\quad [P x \{i \text{ with } \text{f_ref} = x :: i\} k_1 (\lambda i'. Q x i' k_1 k_2 \Pi_2) \Pi_2] \\ \llbracket c_{it}^m \rrbracket &= \lambda P i k_1 k_2 f. P(\text{sel}_b i.\text{m_ref} \cup i.\text{f_ref}) i k_1 k_2 f \\ \llbracket c_{it}^f \rrbracket &= \lambda P i k_1 k_2 f. P(\text{sel}_b i.\text{f_ref}) i k_1 k_2 f \end{aligned}$$

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Examples

Example ($t_2 = c_{will} c_{growl} c_{it}$)

$$\llbracket t_0 \rrbracket = \lambda i k_1 k_2 f.f[\Diamond(i.base \wedge \exists x.(\mathbf{wolf} x) \wedge ((\mathbf{enter} x) \wedge (k_1 \{i \text{ with } m_ref = x :: i.m_ref \text{ and } base = (\mathbf{wolf} x) \wedge (\mathbf{enter} x) \wedge i.base\})))$$

Examples

Example ($t_2 = c_{will} c_{growl} c_{it}$)

$$\llbracket t_0 \rrbracket = \lambda i k_1 k_2 f.f[\Diamond(i.base \wedge \exists x.(\mathbf{wolf} x) \wedge ((\mathbf{enter} x) \wedge (k_1 \{i \text{ with } m_ref = x :: i.m_ref \text{ and } base = (\mathbf{wolf} x) \wedge (\mathbf{enter} x) \wedge i.base\})))$$

$$\llbracket t_1 \rrbracket = \lambda i k_1 k_2 f.f[\Box(i.base \Rightarrow ((\mathbf{growl} (\mathbf{sel} i.m_ref \cup i.f.ref)) \wedge (k_1 \{i \text{ with } base = (\mathbf{growl} (\mathbf{sel} i.m_ref \cup i.f.ref)) \wedge i.base\}))))] [k_2 i]$$

Examples

Example ($t_2 = c_{will} c_{growl} c_{it}$)

$$\begin{aligned}
 \llbracket t_0 \rrbracket &= \lambda i k_1 k_2 f.f[\Diamond(i.\text{base} \wedge \exists x.(\mathbf{wolf} \ x) \wedge ((\mathbf{enter} \ x) \\
 &\quad \wedge (k_1 \{i \text{ with } m_ref = x :: i.m_ref \text{ and } base = (\mathbf{wolf} \ x) \wedge (\mathbf{enter} \ x) \wedge i.\text{base}\})) \\
 \llbracket t_1 \rrbracket &= \lambda i k_1 k_2 f.f[\Box(i.\text{base} \Rightarrow ((\mathbf{growl} \ (\text{sel } i.m_ref \cup i.f_ref)) \\
 &\quad \wedge (k_1 \{i \text{ with } base = (\mathbf{growl} \ (\text{sel } i.m_ref \cup i.f_ref)) \wedge i.\text{base}\})))] [k_2 \ i] \\
 \llbracket t_2 \rrbracket &= \lambda i k_1 k_2 f.f[k_1 \ i] [(\mathbf{growl} \ (\text{sel } i.f_ref)) \wedge (k_2 \ i)]
 \end{aligned}$$

Examples

Example (*A wolf might enter. It would growl*)

$$\begin{aligned} \llbracket t_0 . t_1 \rrbracket \text{ empty } \mathbb{T} \ \mathbb{T} \ \text{Conj} &= [\diamond(\mathbb{T} \wedge (\exists x.(\mathbf{wolf} \ x) \wedge ((\mathbf{enter} \ x) \\ &\quad \wedge (\square(((\mathbf{wolf} \ x) \wedge (\mathbf{enter} \ x)) \\ &\quad \Rightarrow (\mathbf{growl} \ (\mathbf{sel} \ ((x :: \mathbf{nil}) \cup \mathbf{nil})))))))))] \wedge \mathbb{T} \end{aligned}$$

Examples

Example (*A wolf might enter. It would growl*)

$$\llbracket t_0 . t_1 \rrbracket \text{ empty } \mathbb{T} \ \mathbb{T} \ \text{Conj} = [\diamond(\top \wedge (\exists x. (\mathbf{wolf} \ x) \wedge ((\mathbf{enter} \ x) \wedge (\square(((\mathbf{wolf} \ x) \wedge (\mathbf{enter} \ x)) \Rightarrow (\mathbf{growl} \ (\text{sel} \ ((x :: \text{nil}) \cup \text{nil})))))))))) \wedge \top$$

Example (*A wolf might enter. #It will growl*)

$$\llbracket t_0 . t_2 \rrbracket \text{ empty } \mathbb{T} \ \mathbb{T} \ \text{Conj} = [\diamond(\top \wedge (\exists x. (\mathbf{wolf} \ x) \wedge ((\mathbf{enter} \ x) \wedge \top)))] \wedge [\mathbf{growl} \ (\text{sel} \ \text{nil})]$$

Examples

Example (*A wolf is outside. He might eat you.*)

$$\exists x. [\Diamond(\top \wedge (\text{eat you}(\text{sel nil} \cup (x :: \text{nil}))))] \wedge [(\text{wolf } x) \wedge ((\text{Outside } x))]$$

Putting Everything Together

The Basics

$$\begin{aligned}
 \gamma &\stackrel{\Delta}{=} \{m_ref : \gamma'; \text{base} : t; f_ref : \gamma'; \text{theory} : t\} \\
 \llbracket C_{\text{might}} \rrbracket &= \lambda v s. \lambda i k_1 k_2 f. (\lambda P. (\text{TEST } P) i.\text{theory} \\
 &\quad (\lambda i' o'_1 o'_2 f'. f'(\diamond(i'.\text{base} \wedge (P i' o'_1 o'_2 P i_1)))))) (v s) i k_1 k_2 f
 \end{aligned}$$

Conclusion and Perspectives

Summary

- Generality of the left context: extension to new areas without changing the logic
- Modularity
- Reset operator to empty the modal context
- Duplication of the content (see [Martin and Pollard(2010)])

Perspectives

- Move to TY2 and attitude reports, Hob and Nobs
- Discussion on models
- Negation contexts, counterfactuals. . .



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