

Mechanising Undecidability results in Coq: Elementary Linear Logic and Boolean BI

Dominique Larchey-Wendling
TYPES team, ANR TICAMORE

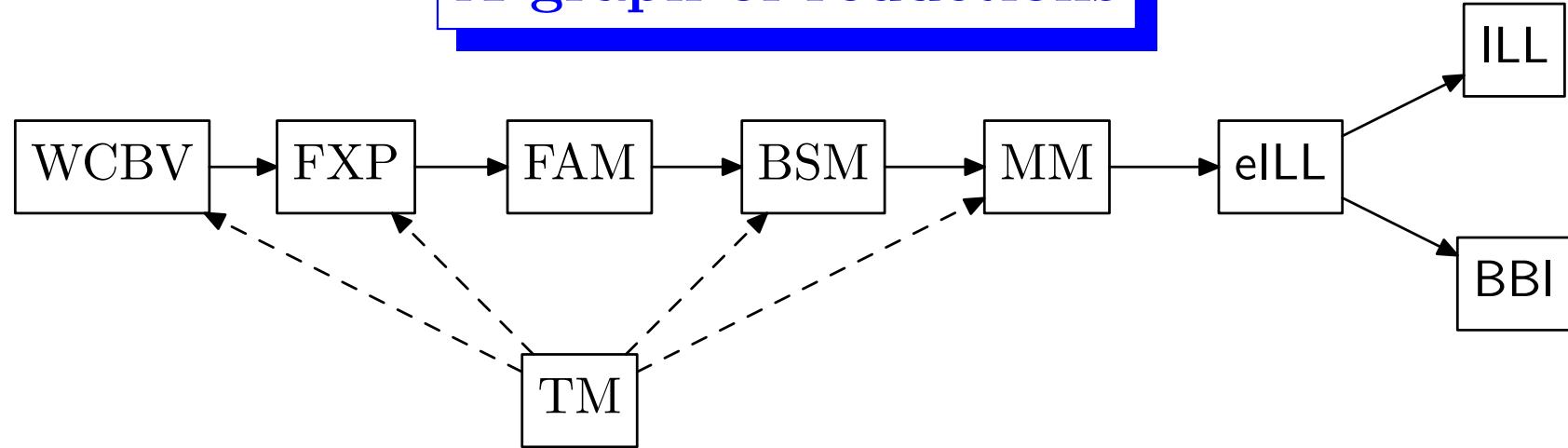
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Introduction

- We mechanize undecidability results in Coq
 - Results from LICS'10 (see also ToCL 2013)
 - Linear logic (ILL and eILL) and Boolean BI
 - still controversial results? (do not laugh...)
- How do we proceed? We build reductions:
 - from Minsky machines (MM) to eILL
 - but also ...
 - Weak Call by Value λ -calculus (Forster&Smolka 2017)
 - A first-order functional language with recursion (FXP)
 - Functional Abstract Machines (FAM)
 - Binary Stacks Machines (BSM)
 - Turing machines (TM, in progress)

A graph of reductions



- most reductions are certified compilers
- except WCBV → FXP (certified interpreter)
- and eILL → ILL or eILL → BBI (faithfull embeddings)
- can plug Turing machines (TM) at several stages (in progress)
- WCBV is the more obvious, but not necessarily the easiest

Coq and (un)decidability, Type Theory stops here !

- $\text{dec } \{X\} (P : X \rightarrow \text{Prop}) := \text{inhabited}(\forall x, \{P x\} + \{\neg P x\});$
- Works when Coq is normalizing (no axioms ...)
- Undecidability of P is **not equivalent** to $\neg(\text{dec } P);$
- $\text{reduction } \{X Y\} P Q (f : X \rightarrow Y) := \forall x, P x \Leftrightarrow Q(f x);$
- Lemma: $(\exists f, \text{reduction } P Q f) \rightarrow \text{dec } Q \rightarrow \text{dec } P$
- Inductive for $\text{undec } \{X\} : (X \rightarrow \text{Prop}) \rightarrow \text{Prop}$

$$\frac{\text{dec } P \rightarrow \text{dec } Q \quad \text{undec } Q}{\text{undec TM_Halting}} \qquad \frac{\text{dec } P \rightarrow \text{dec } Q \quad \text{undec } Q}{\text{undec } P}$$

- Lemma: $(\exists f, \text{reduction } P Q f) \rightarrow \text{undec } P \rightarrow \text{undec } Q$
- Theorem: $\text{undec } P \rightarrow \text{dec } P \rightarrow \text{dec TM_Halting}$

Weak Call-by-Value (WCBV) lambda calculus

- untyped λ -terms: $t ::= x \mid t \cdot t \mid \lambda x.t$ with $x \in \text{Var}$
- Weak Call-by-Value (Dal Lago & Martini 2008):

$$\frac{}{(\lambda x.f) \cdot (\lambda y.g) \rightarrow_{\text{wcbv}} f\{x \leftarrow \lambda y.g\}} \qquad \frac{f \rightarrow_{\text{wcbv}} f' \quad g \rightarrow_{\text{wcbv}} g'}{f \cdot g \rightarrow_{\text{wcbv}} f' \cdot g'}$$

- WCBV is non-deterministic but *strongly confluent*
- Evaluation:

$$\frac{}{\lambda x.f \triangleright \lambda x.f} \qquad \frac{f \triangleright \lambda x.u \quad g \triangleright v \quad u\{x \leftarrow v\} \triangleright w}{f \cdot g \triangleright w}$$

- Equivalence: $f \rightarrow_{\text{wcbv}}^* \lambda x.g$ iff $f \triangleright \lambda x.g$
- Problem: given f and g , does $f \triangleright g$?

Functional expressions (FXP), w. mutual recursion

- datatype is **bt** (binary trees): $\tau ::= \emptyset_t \mid (\tau, \tau)_t$
- trivial to encode data structures (pair, lists, trees, etc.)
- expressions ($v \in \text{Var}$ and $f \in \text{Fun}$):
 $\text{expr} : e ::= v \mid f(e) \mid \emptyset_e \mid (e, e)_e \mid \text{match } e \text{ with } \emptyset_e \Rightarrow e \text{ or } (x, y)_e \Rightarrow e$
- programs:
 $\begin{array}{ll} \text{let rec } & f_1(x_1) = body_1 \\ & \text{with } \dots \\ & \text{with } f_n(x_n) = body_n \end{array} \quad \text{with } \left\{ \begin{array}{l} f_1, \dots, f_n \in \text{Fun} \\ x_1, \dots, x_n \in \text{Var} \\ body_1, \dots, body_n \in \text{expr} \end{array} \right.$

Bigstep semantics for FXP

- for $e \in \text{expr}$, $\sigma \in \text{Var} \rightarrow \text{bt}$ and $\tau \in \text{bt}$
- bigstep semantics: $e \vdash [\sigma] \rightarrow \tau$,

$$\begin{array}{c}
 \dfrac{}{v \vdash [\sigma] \rightarrow \sigma_v} \quad \dfrac{}{\emptyset_e \vdash [\sigma] \rightarrow \emptyset_t} \quad \dfrac{e_1 \vdash [\sigma] \rightarrow \tau_1 \quad e_2 \vdash [\sigma] \rightarrow \tau_2}{(e_1, e_2)_e \vdash [\sigma] \rightarrow (\tau_1, \tau_2)_t} \\
 \\
 \dfrac{e_1 \vdash [\sigma] \rightarrow \emptyset_t \qquad e_2 \vdash [\sigma] \rightarrow \tau}{\text{match } e_1 \text{ with } \emptyset_e \Rightarrow e_2 \dots \vdash [\sigma] \rightarrow \tau} \\
 \\
 \dfrac{e_1 \vdash [\sigma] \rightarrow (\tau_1, \tau_2)_t \quad e_3 \vdash [\sigma\{x \leftarrow \tau_1, y \leftarrow \tau_2\}] \rightarrow \tau_3}{\text{match } e_1 \text{ with } \dots \text{ or } (x, y)_e \Rightarrow e_3 \vdash [\sigma] \rightarrow \tau_3} \\
 \\
 \dfrac{e \vdash [\sigma] \rightarrow \tau_1 \quad \text{body}_f \vdash [\sigma\{x \leftarrow \tau_1\}] \rightarrow \tau_2}{f(e) \vdash [\sigma] \rightarrow \tau_2}
 \end{array}$$

- Problem: given a program, e and τ , does $e \vdash [- \mapsto \emptyset_t] \rightarrow \tau$ hold ?

Functional Abstract Machines (FAM)

- instructions (with $v \in \text{Var}$ and $i, k \in \mathbb{N}$)

$$\begin{aligned} \text{fam_instr} ::= & \text{ LDV } v \mid \text{STV } v \mid \text{LDA } i \mid \text{IJP} \mid \text{FJK } k \\ & \mid \text{NULL} \mid \text{PAIR} \mid \text{MATCH } k \mid \text{HALT} \end{aligned}$$

- state: $(\text{PC}, \sigma, \text{addr}, \text{data})$
 - a program counter $\text{PC} \in \mathbb{N}$, and environment $\sigma \in \text{Var} \rightarrow \text{bt}$
 - a (return) address stack ($\text{list } \mathbb{N}$) and a data stack ($\text{list } \text{bt}$)
- Small step semantics:

$\text{LDV } v$: push σ_v on data stack; $\text{PC} \leftarrow \text{PC} + 1$

$\text{STV } v$: pop τ from data stack; $\sigma \leftarrow \sigma\{v \leftarrow \tau\}$; $\text{PC} \leftarrow \text{PC} + 1$

$\text{LDA } i$: push i on addr stack; $\text{PC} \leftarrow \text{PC} + 1$

FAM small step semantics (continued)

IJP : pop i from addr stack; $\text{PC} \leftarrow i$

FJP k : $\text{PC} \leftarrow \text{PC} + k$

NULL push \emptyset_t on data stack; $\text{PC} \leftarrow \text{PC} + 1$

PAIR pop τ_1 then pop τ_2 from data stack;
 push $(\tau_1, \tau_2)_t$ on data stack; $\text{PC} \leftarrow \text{PC} + 1$

MATCH k pop τ from data stack;
 if τ is \emptyset_t then $\text{PC} \leftarrow \text{PC} + k$;
 otherwise τ is $(\tau_1, \tau_2)_t$ and
 push τ_2 then push τ_1 on data stack; $\text{PC} \leftarrow \text{PC} + 1$

- FAM program: $i : \text{fam_instr}_i ; i + 1 : \dots ; j : \text{fam_instr}_j$
- Problem: $(i, \sigma, \emptyset, \emptyset) \xrightarrow{*} (j + 1, \sigma', \emptyset, \emptyset)$

Binary Stack Machines

- n stacks of 0s and 1s (`list bool`) for a fixed n
- instructions (with $0 \leq x < n$ and $b \in \text{bool}$ and $i \in \mathbb{N}$)

$$\text{bsm_instr} ::= \text{POP } x \ i \mid \text{PUSH } x \ b \mid \text{HALT}$$

- state: $(\text{PC}, (\text{list bool})^n)$
- Small step semantics (`HALT` is blocking):

`POP x i` : pop b from stack x ;

if b is 0 then $\text{PC} \leftarrow i$ else $\text{PC} \leftarrow \text{PC} + 1$;

`PUSH x b` : push b on stack x ; $\text{PC} \leftarrow \text{PC} + 1$;

- BSM program: $i : \text{bsm_instr}_i ; i + 1 : \dots ; j : \text{bsm_instr}_j$
- Problem: $(i, S) \xrightarrow{*} (j + 1, S')$

Minsky Machines

- n registers of value in \mathbb{N} for a fixed n
- instructions (with $0 \leq x < n$ and $i \in \mathbb{N}$)

$$\text{mm_instr} ::= \text{INC } x \mid \text{DEC } x \ i$$

- Small step semantics, state: $(\text{PC}, \mathbb{N}^n)$

$\text{INC } x :$ $x \leftarrow x + 1; \text{PC} \leftarrow \text{PC} + 1;$

$\text{DEC } x \ i :$ if x is 0 then $\text{PC} \leftarrow i$ else $x \leftarrow x - 1; \text{PC} \leftarrow \text{PC} + 1;$

- MM program: $i : \text{mm_instr}_i; i + 1 : \dots; j : \text{mm_instr}_j$
- Problem: $(i, R) \xrightarrow{*} (j + 1, R')$

Intuitionistic Linear Logic (ILL)

- We “restrict” to the $(!, \multimap, \&)$ fragment

$$\begin{array}{c}
 \frac{}{A \vdash A} [\text{id}] \quad \frac{\Gamma \vdash A \quad A, \Delta \vdash B}{\Gamma, \Delta \vdash B} [\text{cut}] \\ \\
 \frac{\Gamma, A \vdash B}{\Gamma, !A \vdash B} [!_L] \quad \frac{! \Gamma \vdash B}{! \Gamma \vdash !B} [!_R] \quad \frac{\Gamma \vdash B}{\Gamma, !A \vdash B} [\text{w}] \quad \frac{\Gamma, !A, !A \vdash B}{\Gamma, !A \vdash B} [\text{c}] \\ \\
 \frac{\Gamma, A \vdash C}{\Gamma, A \& B \vdash C} [\&_L^1] \quad \frac{\Gamma, B \vdash C}{\Gamma, A \& B \vdash C} [\&_L^2] \quad \frac{\Gamma \vdash A \quad \Gamma \vdash B}{\Gamma \vdash A \& B} [\&_R] \\ \\
 \frac{\Gamma \vdash A \quad \Delta, B \vdash C}{\Gamma, \Delta, A \multimap B \vdash C} [\multimap_L] \quad \frac{\Gamma, A \vdash B}{\Gamma \vdash A \multimap B} [\multimap_R]
 \end{array}$$

- Full linear logic faithfully embedded into that fragment
- Problem: given $\Gamma \vdash A$, is it provable ?

ILL, Trivial Phase Semantics and BBI

- A commutative monoid (M, \circ, ϵ) , or even $(\mathbb{N}^k, +, 0)$
- Trivial phase interpretation of ILL operators:

$$\llbracket !A \rrbracket = \{\epsilon\} \cap \llbracket A \rrbracket$$

$$\llbracket A \& B \rrbracket = \llbracket A \rrbracket \cap \llbracket B \rrbracket$$

$$m \in \llbracket A \multimap B \rrbracket \text{ iff } \forall \alpha, \alpha \in \llbracket A \rrbracket \Rightarrow \alpha \circ m \in \llbracket B \rrbracket$$

- Sound but incomplete for ILL, \multimap/\rightarrow equivalent (BBI)
- Encoding of ILL in BBI (a sound embedding):

$$(!A)^\star \rightsquigarrow \mathsf{I} \wedge A^\star \quad (A \& B)^\star \rightsquigarrow A^\star \wedge B^\star \quad (A \multimap B)^\star \rightsquigarrow A^\star \rightarrow B^\star$$

- This embedding is faithfull for TPS
- Find a fragment of ILL for which TPS is complete

The elementary fragment **eILL** of **ILL**

- Elementary sequents: $! \Sigma, g_1, \dots, g_k \vdash d$ (g_i, a, b, c, d variables)
- Σ contains *commands*:
 - $(a \multimap b) \multimap c$, correponding to **INC**
 - $a \multimap (b \multimap c)$, correponding to **DEC**
 - $(a \& b) \multimap c$, correponding to **FORK**
- goal directed rules for **eILL** (sound and complete):

$$\frac{}{! \Sigma, a \vdash a} \langle \text{Ax} \rangle$$

$$\frac{! \Sigma, \Gamma \vdash a \quad ! \Sigma, \Delta \vdash b}{! \Sigma, \Gamma, \Delta \vdash c} a \multimap (b \multimap c) \in \Sigma$$

$$\frac{! \Sigma, \Gamma, a \vdash b}{! \Sigma, \Gamma \vdash c} (a \multimap b) \multimap c \in \Sigma$$

$$\frac{! \Sigma, \Gamma \vdash a \quad ! \Sigma, \Gamma \vdash b}{! \Sigma, \Gamma \vdash c} (a \& b) \multimap c \in \Sigma$$

- **eILL** is sound and complete for TPS (even \mathbb{N}^k).

Encoding Minsky machines in eILL

- Given \mathcal{M} as a list of MM instructions
- for every register x in \mathcal{M} , two logical variables x and \underline{x}
- the state $(i, (p_1, \dots, p_n))$ is represented by $! \Sigma; x_1^{p_1}, \dots, x_n^{p_n} \vdash q_i$

$$i : \text{INC } x \in \mathcal{M} \quad \left| \begin{array}{l} x \leftarrow x + 1 \\ \text{PC} \leftarrow i + 1 \end{array} \right.$$

- corresponds to proof:

$$\frac{\dots}{\frac{! \Sigma; x, \Delta \vdash q_{i+1}}{! \Sigma; \Delta \vdash q_i}} (x \multimap q_{i+1}) \multimap q_i \in \Sigma$$

MM to eILL, (continued)

- Decrement

$$i : \text{DEC } x \ j \in \mathcal{M} \quad \left| \begin{array}{l} \text{if } x = 0 \text{ then } \text{PC} \leftarrow j \\ \text{else } x \leftarrow x - 1; \text{PC} \leftarrow i + 1 \end{array} \right.$$

- corresponds to two proofs $x > 0$ and $x = 0$:

$$\frac{\dots}{\frac{\frac{\dots}{\frac{\frac{}{\text{Ax}}}{! \Sigma; x \vdash x}}{! \Sigma; \Delta \vdash q_{i+1}}}{! \Sigma; x, \Delta \vdash q_i}}{x \multimap (q_{i+1} \multimap q_i) \in \Sigma}$$

$$\frac{\dots}{\frac{\frac{\dots}{\frac{\frac{}{\text{Ax}}}{! \Sigma; \Delta \vdash \underline{x}}}{! \Sigma; \Delta \vdash q_j}}{! \Sigma; \Delta \vdash q_i}}{(x \& q_j) \multimap q_i \in \Sigma}$$

Zero test $x \notin \Delta$ in eILL

- Proof for y, Δ with $y \neq x$:

$$\frac{\frac{\text{Ax}}{! \Sigma; y \vdash y} \quad \frac{\dots}{! \Sigma; \Delta \vdash \underline{x}}}{! \Sigma; y, \Delta \vdash \underline{x}} y \multimap (\underline{x} \multimap \underline{x}) \in \Sigma$$

- Proof for empty context $\Delta = \emptyset$:

$$\frac{\text{Ax}}{\frac{! \Sigma; \underline{x} \vdash \underline{x}}{! \Sigma; \emptyset \vdash \underline{x}} (\underline{x} \multimap \underline{x}) \multimap \underline{x} \in \Sigma}$$

Terminating the MM computation

- k is a halting state ($k \notin \mathcal{M}$)

$$\frac{\frac{\overline{A\chi}}{!\Sigma; q_k \vdash q_k} (q_k \multimap q_k) \multimap q_k \in \Sigma}{! \Sigma; \emptyset \vdash q_k}$$

- We define $\Sigma_{\mathcal{M},k} = \Sigma_{\mathcal{M}} \cup \{(q_k \multimap q_k) \multimap q_k\}$ where:

$$\begin{aligned}\Sigma_{\mathcal{M}} &= \{y \multimap (\underline{x} \multimap \underline{x}), (\underline{x} \multimap \underline{x}) \multimap \underline{x} \mid x \neq y \in [1, n]\} \\ &\cup \{(\underline{x} \multimap q_{i+1}) \multimap q_i \mid i : \text{INC } x \in \mathcal{M}\} \\ &\cup \{(\underline{x} \& q_j) \multimap q_i, x \multimap (q_{i+1} \multimap q_i) \mid i : \text{DEC } x \ j \in \mathcal{M}\}\end{aligned}$$

- Theorem (for k outside of \mathcal{M}):

$$\mathcal{M} : (i, \Delta) \longrightarrow^* (k, \emptyset) \quad \text{iff} \quad ! \Sigma_{\mathcal{M},k}; \Delta \vdash q_i$$