

# Fast evaluation of genus 2 modular polynomials via theta functions

---

Jean Kieffer (CNRS Nancy)

Leuven Isogeny Days 5, September 12, 2024

# Classical modular polynomials

Fix  $\ell \geq 1$  prime. The **classical modular polynomial** of level  $\ell$  is

$$\Phi_\ell \in \mathbb{Z}[X, Y].$$

If  $k$  is a field of char.  $\neq \ell$ , and  $E, E'$  are elliptic curves over  $k$ , then

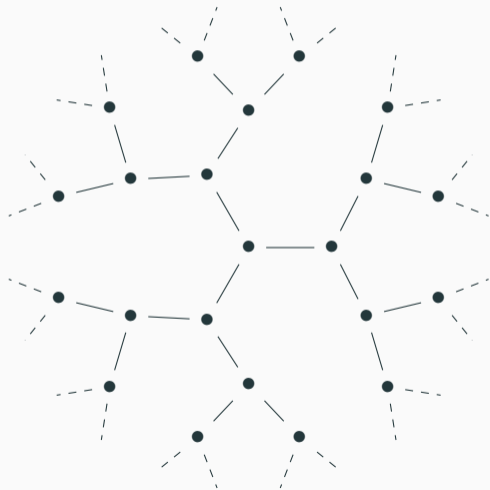
$$\Phi_\ell(j(E), j(E')) = 0 \iff E \text{ and } E' \text{ are } \ell\text{-isogenous over } \bar{k}.$$

## Example

$$\begin{aligned} \Phi_2(X, Y) = & X^3 + Y^3 - X^2Y^2 + 1488X^2Y + 1488XY^2 - 162000X^2 - 162000Y^2 \\ & + 40773375XY + 8748000000X + 8748000000Y - 15746400000000. \end{aligned}$$

# Navigating isogeny graphs

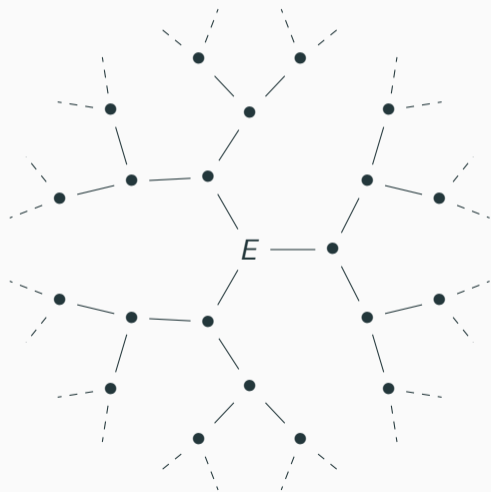
Supersingular isogeny graph over  $\mathbb{F}_{p^2}$ ,  $\ell = 2$ :



# Navigating isogeny graphs

Supersingular isogeny graph over  $\mathbb{F}_{p^2}$ ,  $\ell = 2$ :

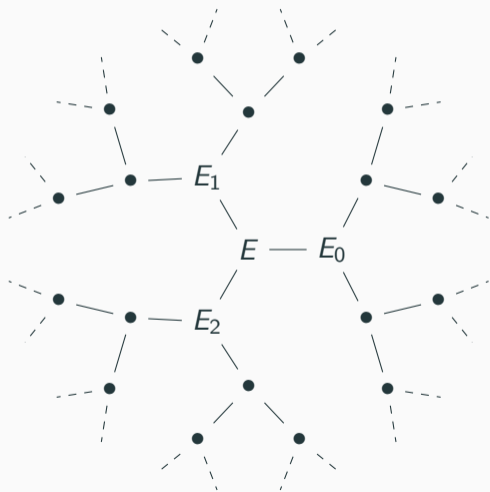
- Starting point:  $E$



# Navigating isogeny graphs

Supersingular isogeny graph over  $\mathbb{F}_{p^2}$ ,  $\ell = 2$ :

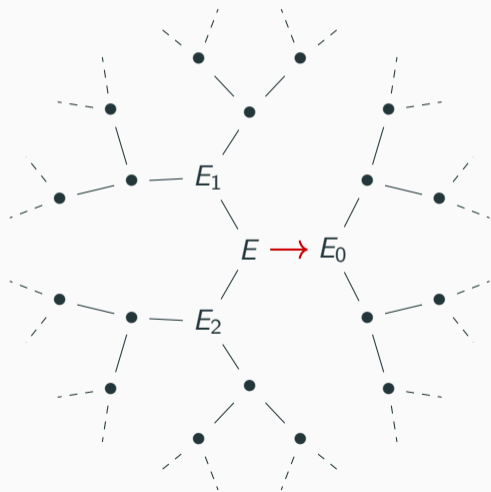
- Starting point:  $E$
- Solve  $\Phi_2(j(E), Y) = 0$  in  $\mathbb{F}_{p^2}$ :  
find 3 roots



# Navigating isogeny graphs

Supersingular isogeny graph over  $\mathbb{F}_{p^2}$ ,  $\ell = 2$ :

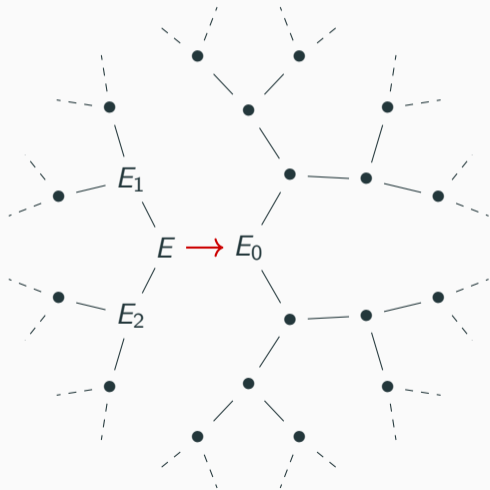
- Starting point:  $E$
- Solve  $\Phi_2(j(E), Y) = 0$  in  $\mathbb{F}_{p^2}$ :  
find 3 roots
- Pick path to  $E_0$ , say



# Navigating isogeny graphs

Supersingular isogeny graph over  $\mathbb{F}_{p^2}$ ,  $\ell = 2$ :

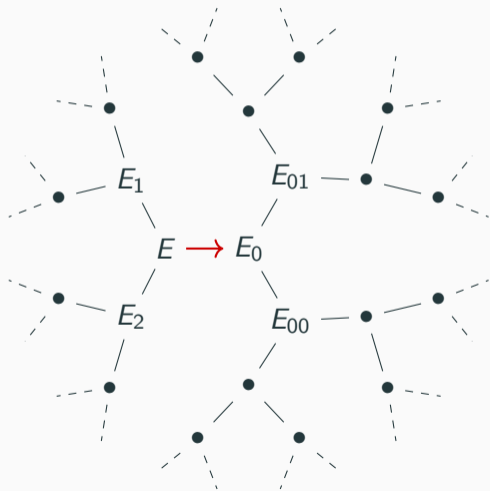
- Starting point:  $E$
- Solve  $\Phi_2(j(E), Y) = 0$  in  $\mathbb{F}_{p^2}$ :  
find 3 roots
- Pick path to  $E_0$ , say



# Navigating isogeny graphs

Supersingular isogeny graph over  $\mathbb{F}_{p^2}$ ,  $\ell = 2$ :

- Starting point:  $E$
- Solve  $\Phi_2(j(E), Y) = 0$  in  $\mathbb{F}_{p^2}$ :  
find 3 roots
- Pick path to  $E_0$ , say
- Solve  $\Phi_2(j(E_0), Y)/(Y - j(E)) = 0$ :  
find 2 roots  $j(E_{00}), j(E_{01})$

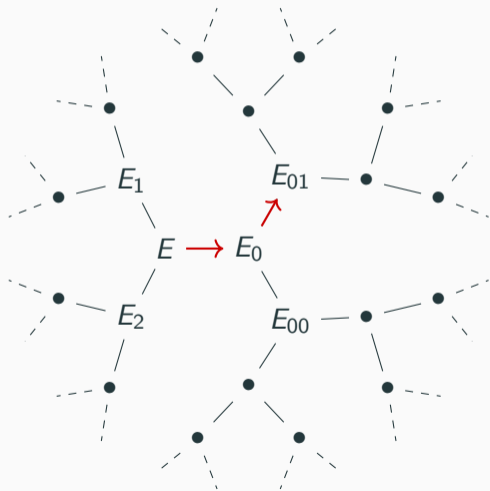




# Navigating isogeny graphs

Supersingular isogeny graph over  $\mathbb{F}_{p^2}$ ,  $\ell = 2$ :

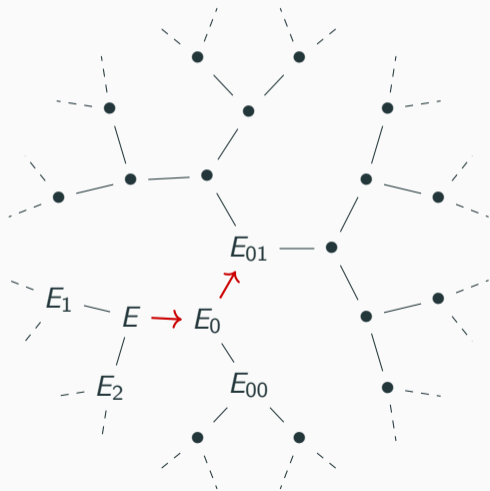
- Starting point:  $E$
- Solve  $\Phi_2(j(E), Y) = 0$  in  $\mathbb{F}_{p^2}$ :  
find 3 roots
- Pick path to  $E_0$ , say
- Solve  $\Phi_2(j(E_0), Y)/(Y - j(E)) = 0$ :  
find 2 roots  $j(E_{00}), j(E_{01})$
- Pick path to  $E_{01}$ , say



# Navigating isogeny graphs

Supersingular isogeny graph over  $\mathbb{F}_{p^2}$ ,  $\ell = 2$ :

- Starting point:  $E$
- Solve  $\Phi_2(j(E), Y) = 0$  in  $\mathbb{F}_{p^2}$ :  
find 3 roots
- Pick path to  $E_0$ , say
- Solve  $\Phi_2(j(E_0), Y)/(Y - j(E)) = 0$ :  
find 2 roots  $j(E_{00}), j(E_{01})$
- Pick path to  $E_{01}$ , say
- Continue!



## Remarks on modular polynomials

- As opposed to Vélu's formulas, **no kernel information** as input.
- Efficient algorithm in  $O(\ell^2)$  if the kernel/image of isogenies are unknown.
- Rich literature on computing modular polynomials in relation with point counting. See Sabrina and Damien's ANTS paper [KR24].

**What about higher dimensions?**

## Genus 2 modular polynomials: setup

To generalize  $\Phi_\ell$ , we need:

- A family of **abelian varieties**: for instance **p.p. abelian surfaces**, either  $\text{Jac}(C)$  where  $C$  has genus 2 or  $E_1 \times E_2$ .
- **Isogenies** between them: for instance  **$(\ell, \ell)$ -isogenies** where  $\ell$  is a fixed prime. This means  $\ker(\varphi) \subset A[\ell]$  is an isotropic  $(\mathbb{Z}/\ell\mathbb{Z})^2$ .
- New **invariants** to replace the  $j$ -invariant: for instance the **Igusa invariants**  $j_1, j_2, j_3$ . (Not defined for  $E_1 \times E_2$ .)

## Genus 2 modular polynomials: properties

Given PPASs  $A$  and  $A'$  over a field  $k$  with Igusa invariants  $(j_1, j_2, j_3)$  and  $(j'_1, j'_2, j'_3)$ , we want some **algebraic equation** which is satisfied by these invariants exactly when  $A$  and  $A'$  are  $(\ell, \ell)$ -isogenous.

We actually need **three equations**, one for each invariant. Write them in the form:

$$\begin{aligned}\Psi_{\ell,1}(j_1, j_2, j_3, j'_1) &= 0, & \text{i.e. } j'_1 & \text{ is a root of } \Psi_{\ell,1}(j_1, j_2, j_3, X) \\ j'_2 &= \frac{\Psi_{\ell,2}}{\partial_X \Psi_{\ell,1}}(j_1, j_2, j_3, j'_1), \\ j'_3 &= \frac{\Psi_{\ell,3}}{\partial_X \Psi_{\ell,2}}(j_1, j_2, j_3, j'_1).\end{aligned}$$

Assuming only  $A$  is known, we solve for  $j'_1$ , then determine  $j'_2$  and  $j'_3$ .

## Genus 2 modular polynomials: definition

### Definition/Proposition

The **genus 2 modular polynomials** (of Siegel type, in Igusa invariants, of level  $\ell$ ) are the unique set of three rational fractions

$$\Psi_{\ell,1}, \Psi_{\ell,2}, \Psi_{\ell,3} \in \mathbb{Q}(J_1, J_2, J_3)[X]$$

satisfying the property from the previous slide. See e.g. [BL09].

In principle, everything we can do with classical modular polynomials is also possible with this generalized version.

(There isn't enough space to write down  $\Psi_{2,1}$  on this slide, unfortunately...)

## Genus 2 modular polynomials: remarks

**Major drawback:** genus 2 modular polynomials are **huge**.

- Degree in each of the 4 variables is  $O(\ell^3)$
- Size of coefficients is  $O(\ell^3 \log \ell)$ , so **total size**  $O(\ell^{15} \log \ell)$  [K22].

$\Psi_{2,1}$  is 280 kB,  $\Psi_{3,1}$  is 68 MB.

Cannot reasonably hope to write them down for  $\ell > 7$ .

## Genus 2 modular polynomials: remarks

**Major drawback:** genus 2 modular polynomials are **huge**.

- Degree in each of the 4 variables is  $O(\ell^3)$
- Size of coefficients is  $O(\ell^3 \log \ell)$ , so **total size**  $O(\ell^{15} \log \ell)$  [K22].

$\Psi_{2,1}$  is 280 kB,  $\Psi_{3,1}$  is 68 MB.

Cannot reasonably hope to write them down for  $\ell > 7$ .

### More reasonable task

Given  $j_1, j_2, j_3 \in k$ , **evaluate** the polynomials  $\Psi_{\ell,k}(j_1, j_2, j_3, X)$  for  $1 \leq k \leq 3$ .

- This is what we need to navigate isogeny graphs.
- **Smaller output:** if  $j_1, j_2, j_3 \in \mathbb{Q}$ , output size is  $\ell^6(\log \ell + \text{size of } j_1, j_2, j_3)$ .  
If  $j_1, j_2, j_3 \in \mathbb{F}_q$ , output size is  $\ell^3 \log q$ .



## Theorem (K. '24?)

Given primes  $p, \ell$  and a generic tuple  $(j_1, j_2, j_3) \in \mathbb{F}_p^3$ , one can evaluate  $\Psi_{\ell, k}(j_1, j_2, j_3, X) \in \mathbb{F}_p[X]$  for  $1 \leq k \leq 3$  in time  $\tilde{O}(\ell^6 \log p)$ .

- Not quasi-linear time, but still the **most efficient way** to navigate genus 2 isogeny graphs without torsion information.
- The algorithm also works over  $\mathbb{Q}$  (in quasi-linear time!) and other number fields/finite fields.

## Related example

Taken from [vBCCK23].

Over  $\mathbb{Q}$ , consider the genus 2 curves

$$C_1 : y^2 + (x + 1)y = x^5 + 23x^4 - 48x^3 + 85x^2 - 69x + 45.$$

$$C_2 : y^2 + xy = -x^5 + 2573x^4 + 92187x^3 + 2161654285x^2 + 406259311249x + 93951289752862.$$

## Related example

Taken from [vBCCK23].

Over  $\mathbb{Q}$ , consider the genus 2 curves

$$C_1 : y^2 + (x + 1)y = x^5 + 23x^4 - 48x^3 + 85x^2 - 69x + 45.$$

$$C_2 : y^2 + xy = -x^5 + 2573x^4 + 92187x^3 + 2161654285x^2 + 406259311249x + 93951289752862.$$

### Fact

There exists a **(31, 31)-isogeny**  $\varphi : \text{Jac}(C_1) \rightarrow \text{Jac}(C_2)$  defined over  $\mathbb{Q}$ .

The algorithm we used to construct  $C_2$  directly computes rational roots of modular polynomials over  $\mathbb{Q}$  in time  $\tilde{O}(\ell^3)$ . Time: roughly 1h.

# The evaluation algorithm: preliminaries

We describe the algorithm for **elliptic curves**, as in [Eng09].

## Reminders on elliptic curves over $\mathbb{C}$ :

- They are **complex tori** of the form  $E(\tau) = \mathbb{C}/(\mathbb{Z} + \tau\mathbb{Z})$  where  $\tau \in \mathcal{H}_1$  (i.e.  $\tau \in \mathbb{C}$  and  $\text{Im}(\tau) > 0$ ).
- The **period**  $\tau$  is unique up to the action of  $\text{SL}_2(\mathbb{Z})$  on  $\mathcal{H}_1$ .
- The  **$j$ -invariant** of  $E(\tau)$  is given by an analytic function  $j : \mathcal{H}_1 \rightarrow \mathbb{C}$ .
- Given a prime  $\ell > 1$  and  $\tau \in \mathcal{H}_1$ , one can easily enumerate the elliptic curves that are  $\ell$ -isogenous to  $E(\tau)$ : they correspond to sublattices of index  $\ell$  in  $\mathbb{Z} + \tau\mathbb{Z}$ .

## The evaluation algorithm: outline

Given  $j(E) \in \mathbb{F}_p$ , we evaluate  $\Phi_\ell(j(E), X)$  as follows.

## The evaluation algorithm: outline

Given  $j(E) \in \mathbb{F}_p$ , we evaluate  $\Phi_\ell(j(E), X)$  as follows.

1. Lift  $j(E)$  to  $\tilde{j} \in \mathbb{Z}$ . We will evaluate  $\Phi_\ell(\tilde{j}, X)$ , then reduce it mod  $p$ .

## The evaluation algorithm: outline

Given  $j(E) \in \mathbb{F}_p$ , we evaluate  $\Phi_\ell(j(E), X)$  as follows.

1. Lift  $j(E)$  to  $\tilde{j} \in \mathbb{Z}$ . We will evaluate  $\Phi_\ell(\tilde{j}, X)$ , then reduce it mod  $p$ .
2. Compute  $\tau \in \mathcal{H}_1$  such that  $j(\tau) = \tilde{j}$ .

## The evaluation algorithm: outline

Given  $j(E) \in \mathbb{F}_p$ , we evaluate  $\Phi_\ell(j(E), X)$  as follows.

1. Lift  $j(E)$  to  $\tilde{j} \in \mathbb{Z}$ . We will evaluate  $\Phi_\ell(\tilde{j}, X)$ , then reduce it mod  $p$ .
2. Compute  $\tau \in \mathcal{H}_1$  such that  $j(\tau) = \tilde{j}$ .
3. Enumerate periods  $\tau'_1, \dots, \tau'_{\ell+1}$  of all elliptic curves that are  $\ell$ -isogenous to  $E(\tau)$ .



## The evaluation algorithm: outline

Given  $j(E) \in \mathbb{F}_p$ , we evaluate  $\Phi_\ell(j(E), X)$  as follows.

1. Lift  $j(E)$  to  $\tilde{j} \in \mathbb{Z}$ . We will evaluate  $\Phi_\ell(\tilde{j}, X)$ , then reduce it mod  $p$ .
2. Compute  $\tau \in \mathcal{H}_1$  such that  $j(\tau) = \tilde{j}$ .
3. Enumerate periods  $\tau'_1, \dots, \tau'_{\ell+1}$  of all elliptic curves that are  $\ell$ -isogenous to  $E(\tau)$ .
4. Compute  $j(\tau'_1), \dots, j(\tau'_{\ell+1})$ .

## The evaluation algorithm: outline

Given  $j(E) \in \mathbb{F}_p$ , we evaluate  $\Phi_\ell(j(E), X)$  as follows.

1. Lift  $j(E)$  to  $\tilde{j} \in \mathbb{Z}$ . We will evaluate  $\Phi_\ell(\tilde{j}, X)$ , then reduce it mod  $p$ .
2. Compute  $\tau \in \mathcal{H}_1$  such that  $j(\tau) = \tilde{j}$ .
3. Enumerate periods  $\tau'_1, \dots, \tau'_{\ell+1}$  of all elliptic curves that are  $\ell$ -isogenous to  $E(\tau)$ .
4. Compute  $j(\tau'_1), \dots, j(\tau'_{\ell+1})$ .
5. Compute  $\Phi_\ell(\tilde{j}, X) = \Phi_\ell(j(\tau), X) = \prod_{k=1}^{\ell+1} (X - j(\tau'_k))$  in  $\mathbb{C}[X]$ .

## The evaluation algorithm: outline

Given  $j(E) \in \mathbb{F}_p$ , we evaluate  $\Phi_\ell(j(E), X)$  as follows.

1. Lift  $j(E)$  to  $\tilde{j} \in \mathbb{Z}$ . We will evaluate  $\Phi_\ell(\tilde{j}, X)$ , then reduce it mod  $p$ .
2. Compute  $\tau \in \mathcal{H}_1$  such that  $j(\tau) = \tilde{j}$ .
3. Enumerate periods  $\tau'_1, \dots, \tau'_{\ell+1}$  of all elliptic curves that are  $\ell$ -isogenous to  $E(\tau)$ .
4. Compute  $j(\tau'_1), \dots, j(\tau'_{\ell+1})$ .
5. Compute  $\Phi_\ell(\tilde{j}, X) = \Phi_\ell(j(\tau), X) = \prod_{k=1}^{\ell+1} (X - j(\tau'_k))$  in  $\mathbb{C}[X]$ .
6. Recognize each coefficient of  $\Phi_\ell(\tilde{j}, X)$  as an integer.

## The evaluation algorithm: outline

Given  $j(E) \in \mathbb{F}_p$ , we evaluate  $\Phi_\ell(j(E), X)$  as follows.

1. Lift  $j(E)$  to  $\tilde{j} \in \mathbb{Z}$ . We will evaluate  $\Phi_\ell(\tilde{j}, X)$ , then reduce it mod  $p$ .
2. Compute  $\tau \in \mathcal{H}_1$  such that  $j(\tau) = \tilde{j}$ .
3. Enumerate periods  $\tau'_1, \dots, \tau'_{\ell+1}$  of all elliptic curves that are  $\ell$ -isogenous to  $E(\tau)$ .
4. Compute  $j(\tau'_1), \dots, j(\tau'_{\ell+1})$ .
5. Compute  $\Phi_\ell(\tilde{j}, X) = \Phi_\ell(j(\tau), X) = \prod_{k=1}^{\ell+1} (X - j(\tau'_k))$  in  $\mathbb{C}[X]$ .
6. Recognize each coefficient of  $\Phi_\ell(\tilde{j}, X)$  as an integer.
7. Output the reduced polynomial modulo  $p$ .

## The evaluation algorithm: outline

Given  $j(E) \in \mathbb{F}_p$ , we evaluate  $\Phi_\ell(j(E), X)$  as follows.

1. Lift  $j(E)$  to  $\tilde{j} \in \mathbb{Z}$ . We will evaluate  $\Phi_\ell(\tilde{j}, X)$ , then reduce it mod  $p$ .
2. Compute  $\tau \in \mathcal{H}_1$  such that  $j(\tau) = \tilde{j}$ .
3. Enumerate periods  $\tau'_1, \dots, \tau'_{\ell+1}$  of all elliptic curves that are  $\ell$ -isogenous to  $E(\tau)$ .
4. Compute  $j(\tau'_1), \dots, j(\tau'_{\ell+1})$ .
5. Compute  $\Phi_\ell(\tilde{j}, X) = \Phi_\ell(j(\tau), X) = \prod_{k=1}^{\ell+1} (X - j(\tau'_k))$  in  $\mathbb{C}[X]$ .
6. Recognize each coefficient of  $\Phi_\ell(\tilde{j}, X)$  as an integer.
7. Output the reduced polynomial modulo  $p$ .

In steps 2 to 5, we use **interval arithmetic** and a **high precision**  $N = \Theta(\ell \log \ell + \ell \log p)$  digits to get a unique and provably correct result in step 6.

**We must compute periods and  $j$ -invariants in quasi-linear time in  $N$ .**

## Evaluating the $j$ -invariant

To efficiently evaluate  $j(\tau)$ , we write it in terms of **theta functions**.

Set  $q = \exp(\pi i\tau)$ , and

$$\theta_{00}(\tau) = \sum_{n \in \mathbb{Z}} q^{n^2},$$

$$\theta_{01}(\tau) = \sum_{n \in \mathbb{Z}} (-1)^n q^{n^2},$$

$$\theta_{10}(\tau) = \sum_{n \in \mathbb{Z}} q^{(n+\frac{1}{2})^2}.$$

These series **converge fast**. Sum enough terms, then

$$j(\tau) = 32 \frac{(\theta_{00}^8 + \theta_{01}^8 + \theta_{10}^8)^3}{(\theta_{00}\theta_{01}\theta_{10})^8}.$$

**Still not quasi-linear in the required precision...**

# Evaluating theta functions

## Theorem (Elkies, K., in preparation)

Given  $N \geq 0$ , and given  $\tau \in \mathcal{H}_1$  that is suitably reduced, one can evaluate  $\theta_{00}(\tau)$ ,  $\theta_{01}(\tau)$ ,  $\theta_{10}(\tau)$  to precision  $N$  in **quasi-linear time**  $O(\mathcal{M}(N) \log N)$ , **uniformly** in  $\tau$ .

- Implemented in [FLINT] 3.1: [https://flintlib.org/doc/acb\\_theta.html](https://flintlib.org/doc/acb_theta.html). (A new, faster version is in preparation.)
- Applies to theta functions in **any genus  $g$** , still in quasi-linear time.
- Earlier works [Dup11], [LT14] are specific to small  $g$ , tricky to run in interval arithmetic, and less efficient.
- When evaluating  $\Phi_\ell$ , we add a (negligible) reduction step to move  $\tau'_1, \dots, \tau'_{\ell+1}$  to the fundamental domain under  $\mathrm{SL}_2(\mathbb{Z})$ .

## Genus 2 translation

To evaluate  $\Psi_{\ell,k}(j_1, j_2, j_3, X)$  for  $1 \leq k \leq 3$  instead of  $\Phi_\ell(j(E), X)$ :

- Same general approach (complex uniformization and interval arithmetic)
- Replace  $SL_2(\mathbb{Z})$  acting on  $\mathcal{H}_1$  by  $Sp_4(\mathbb{Z})$  acting on  $\mathcal{H}_2$ : **period matrices**
- Use **genus 2 theta functions**
- Extra step to handle the **denominator** of modular equations  $\Psi_{\ell,k}$  and make sure we recognize integers.

One project I have: break the genus 2 point-counting records, SEA-style.



## Genus 2 translation

To evaluate  $\Psi_{\ell,k}(j_1, j_2, j_3, X)$  for  $1 \leq k \leq 3$  instead of  $\Phi_{\ell}(j(E), X)$ :

- Same general approach (complex uniformization and interval arithmetic)
- Replace  $SL_2(\mathbb{Z})$  acting on  $\mathcal{H}_1$  by  $Sp_4(\mathbb{Z})$  acting on  $\mathcal{H}_2$ : **period matrices**
- Use **genus 2 theta functions**
- Extra step to handle the **denominator** of modular equations  $\Psi_{\ell,k}$  and make sure we recognize integers.

One project I have: break the genus 2 point-counting records, SEA-style.

**Thank you!**

## References

- [vBCCK23] R. van Bommel, S. Chidambaram, E. Costa, and J. Kieffer, *Computing isogeny classes of typical principally polarized abelian surfaces over the rationals*, LuCaNT 2023
- [BL09] R. Bröker and K. Lauter, *Modular polynomials for genus 2*, LMS J. Comput. Math 12 (326–339), 2009.
- [Dup11] R. Dupont, *Fast evaluation of modular functions using Newton iterations and the AGM*, Math. Comp. 80, 1823–1847, 2011.
- [Eng09] A. Enge, *Computing modular polynomials in quasi-linear time*, Math. Comp. 78, 1809–1824, 2009.
- [FLINT] The FLINT team, *FLINT: Fast Library for Number Theory*, version 3.1.0, 2023.
- [K22] J. Kieffer, *Degree and height estimates for modular equations on PEL Shimura Varieties*, J. London Math. Soc. (2) 105, 1314–1361, 2022.
- [KR24] S. Kunzweiler and D. Robert, *Computing modular polynomials by deformation*, ANTS 2024
- [LT14] H. Labrande and E. Thomé, *Computing theta functions in quasi-linear time in genus 2 and above*, ANTS 2016
- (K. '24?) J. Kieffer, *Evaluating modular equations for abelian surfaces*, 2022.