Reviewing in NLP – A Tutorial

Bretonnel Cohen, Karën Fort, Margot Mieskes, Aurélie Névéo

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Background

Ethics and



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Kevin Bretonnel Cohen, Karën Fort, Margot Mieskes, Aurélie Névéol

July 5th, 2020















How to Review specific Sections in a Scientific Paper

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The Actual

- Introduction
- 2 Background
- 3 The Actual Review
- 4 Ethics and reviewing
- 5

Who are we?

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Who are we? Karën Fort

Reviewing in NLP - A Tutorial



- Expertise in language resources for NLP
- Reviewing Approach: "Write it the way you'd like to read it for yourself'
- Biases:
 - "[...] everything looks like a nail" (i.e. I'm a specialist in manual annotation, so I tend to focus too much on it)
 - Strong preference for well-written papers
 - . . .

Who are we? Margot Mieskes

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Ethics and



- Summarization, Evaluation, Replication, Ethical Concerns in NLP
- Beating state-of-the-art is not the main goal
- Biases:
 - Higher, Faster, Better is not Science
 - What can be learned about the use of language
 - ..

Who are we?

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Ethics and



- Expertise in biomedical NLP
- Reviewing Approach: "Come with an open mind"
- Biases:
 - Strong preference for IMRaD structured papers
 - Interest in evaluation beyond performance measures
 - ...

Margot Starts Here!

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Dear author, we are sorry to inform you that your paper has not been accepted for publication at <INSERT PUBLICATION VENUE>.

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Ethics and

Dear author, we are sorry to inform you that your paper has not been accepted for publication at <INSERT PUBLICATION VENUE>.



 $https://upload.wikimedia.org/wikipedia/commons/thumb/4/42/Sad_smiley_yellow_simple.svg/1024px-Sad_smiley_yellow_simple_svg.png$

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Ethics and reviewing

This is a bad paper and should not be published.

These examples are quoted from real reviews (or slightly reworded); some quotes are also discussed on $\label{localized} $$ https://hackingsemantics.xyz/2020/reviewing-models/$$$

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"The main weakness of the paper is the results do not beat the state of the art models."

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There is nothing really new about this paper.

"This paper presents yet another question answering test."

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Ethics and

"The paper is mostly a description of the corpus and its collection and contains little scientific contribution."

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Ethics and

"I personally do not care for this topic."

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Ethics and

"This must be the work of an inexperienced student".

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Ethics and

"Not so good English."

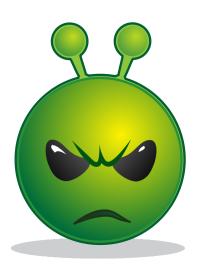
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https://upload.wikimedia.org/wikipedia/commons/thumb/4/42/Smiley_green_alien_unhappy.svg/807px-Smiley_green_alien_unhappy_svg.png

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Ethics and reviewing

- ACL 2019 Survey Results
- A lot of discussion on
 - how to deal with review load
 - how to lower the review load
- Criticism on Quality of Reviews
- Demographic information about reviewers

Source:

http://acl2019 pcblog. fileli.unipi.it/wp-content/uploads/2019/07/Report ACL2019 Reviewing Survey.pdf and the survey of the properties o

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Results included:

- General Comments: Concerns about reviewer preparation, review quality, and reviewing load; ...
- Structure Forms improve Quality/Clarity
- Having at least some positive and some negative points provides a more balanced feel and is important
- Can help reduce bias and increase fairness in judgements
- Structured forms are helpful for new reviewers

Source:

http://acl2019 pcblog. fileli.unipi.it/wp-content/uploads/2019/07/Report ACL2019 Reviewing Survey. pdf and the properties of the propert

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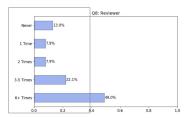
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Results included:

- General Comments: Concerns about reviewer preparation, review quality, and reviewing load; ...
- Structure Forms improve Quality/Clarity
- Having at least some positive and some negative points provides a more balanced feel and is important
- Can help reduce bias and increase fairness in judgements
- Structured forms are helpful for new reviewers



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Ethics and reviewing

Results included:

Reviewer quality is a major concern / training for new reviewers might be useful

Source:

http://acl2019pcblog.fileli.unipi.it/wp-content/uploads/2019/07/ReportACL2019ReviewingSurvey.pdf

Therefore

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Ethics and

That's why we are here!

Switch/Break

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Ethics and reviewing



 $source: \ https://de.wikipedia.org/wiki/Datei:Mt._Lavinia-_Governor\%27s_High_Tea.jpg$

Exercise: Which of the following makes a stronger significance argument?

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- There has recently been considerable interest in the problem of compositionality of embeddings.
- There has recently been considerable interest in the problem of compositionality (Able 2015).
- There has recently been considerable interest in the problem of compositionality of embeddings (Able 2015, Baker 2016, Charlie 2017, Delta 2018, Echo 2019).

Exercise: Which of the following makes a more actionable reviewer comment?

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- The author must be a student who was not taught how to properly support a claim.
- The statement "There has recently been considerable interest in the problem of compositionality" is unsupported.
- The following references could be used to support the claim "There has recently been considerable interest in the problem of compositionality": (Able 2015, Baker 2016, Charlie 2017, Delta 2018, Echo 2019).

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Reviewing in

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Exercise: Which of the following provides the best support for a conclusion that makes a claim of state-of-the-art performance against a baseline of 0.95?



- Average F-measure as obtained from cross-validation was 0.96.
- Median F-measure as obtained from cross-validation was 0.96.
- Median F-measure as obtained from cross-validation was 0.96 with an inter-quartile range of 0.4, minimum of 0.92, and maximum of 0.96.
- Median F-measure as obtained from cross-validation was 0.94 with an inter-quartile range of 0.02, minimum of 0.91, and maximum of 0.96.

Why do reviews?

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Why do reviews?

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Duckground

- For the good of the World
- For the good of the research community
- For your own good

Who is your audience?

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Who is your audience?

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Ethics and

- The authors of the paper:
 - narrative feedback helps authors understand what the paper conveys
 - actionable feedback helps improve the paper
- The Area Chairs / Editor: itemize major pros and cons to help reach a decision
 - performance point of view helps them evaluate the novelty/correctness of the research
 - deontological point of view helps them evaluate the way the research was conducted

Exercise

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Ethics and



You are reviewing for a high-status conference, and for a low-status conference.

How should your reviews differ?

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You are reviewing for a high-status conference, and for a low-status conference.

How should your reviews differ?

Why?

Roles

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The Gatekeeper



https://www.wired.com/2014/08/lotr-physics/

Roles

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The Gatekeeper



https://www.wired.com/2014/08/lotr-physics/

Not (only) YOU!

Roles

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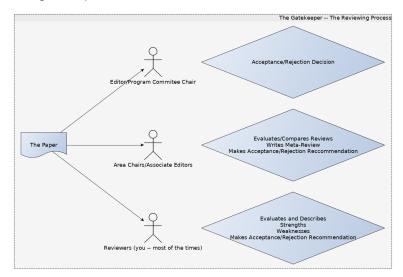
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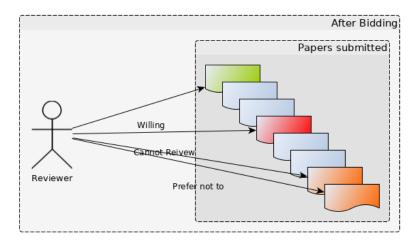
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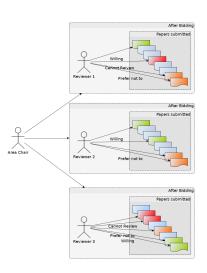
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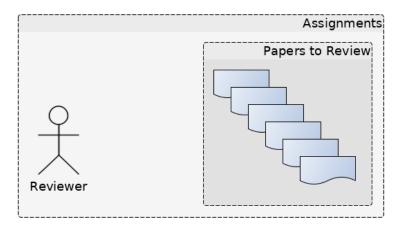
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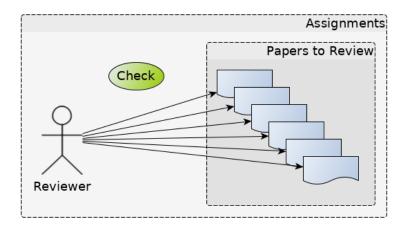
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Check Papers



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_. . .

Ethics and

- Conflict of Interest?
- Concerns about your ability?
 - Topic is somehow offensive?
 - Methodology is outside of your skill scope
 - ...

Inform the Area Chair ASAP.

What happens next?

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Ethics and

Author Response Period.



https://www.pexels.com/photo/questions-answers-signage-208494/

What happens next?

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Discussion Phase



Exercise: What problem – if any – do you see with this fragment of a review?

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Quantitative score: Methodology: 1 (Methods are invalid for testing the hypothesis)

Exercise: What problem – if any – do you see with this fragment of a review?

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Comments to authors: The system uses rules. But, machine learning is cheaper than rules.

Margot Ends Here

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 $source: \ https://de.wikipedia.org/wiki/Datei:Mt._Lavinia-_Governor\%27s_High_Tea.jpg$

Karen Starts Here

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The actual review

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WWW.PHDCOMICS.COM

Exercise: Reviewing the reviews

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The Actual



- Read Paper 42 (optional)
- Read the reviews provided with Paper 42
- Do you think they are good/bad reviews? Why?
- Live discussion on July 5th

What makes a good bad (easier to tell) review? From the authors' point of view

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Ethics and reviewing

- superficial reading of the paper
- rudeness or nastiness
- inflexibility
- expecting perfection
- reviewing your version of the paper rather than the submitted paper: interest in the topic, feeling about the paper, . . .
- making unsupported claims about the paper
- [insert yours here]

Sources: https://acl2017.wordpress.com/2017/02/23/last-minute-reviewing-advice/ and https://sites.umiacs.umd.edu/elm/2016/02/01/mistakes-reviewers-make/

What makes a good bad (easier to tell) review? From the area chairs/editors' point of view

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Review

Ethics and reviewing

- a late review (without advance warning and planning)
- a lukewarm review
- a positive review with low scores (an incoherent review)
- an insulting review
- [insert yours here]

Sources: https://acl2017.wordpress.com/2017/02/23/last-minute-reviewing-advice/ and https://coling2018.org/reviewer-code-of-conduct/

Exercise: Writing a review

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- Read Paper 42
- Write a short review
- Live discussion on July 5th

What you should ask yourself

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The Actual Review

- Did I take enough time to digest the paper?
- Did I take enough time to write/review my review?
- Did I focus on the work?
- Are the comments I made constructive?
- Are the comments I made substantial enough (are they grounded)?
- Did I mention something good about the paper?
- Did I check:
 - the accompanying code/data (if any)?
 - potential (self-)plagiarism? Salami-slicing?
- ?? How would I feel about receiving such a review?

How did you proceed?

One possibility...

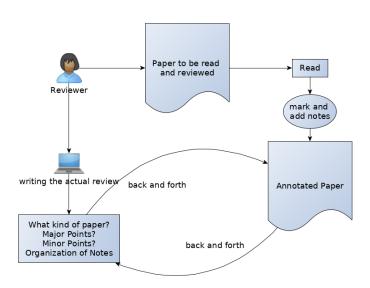
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Not one possible procedure

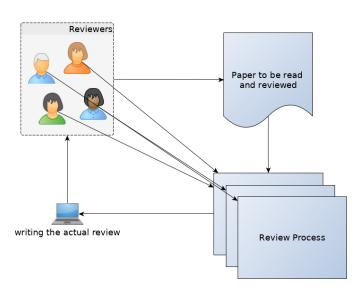
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🔨 Reading is annotating

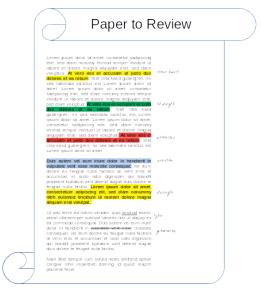
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The Actual Review

Ethics and reviewing

- What type of paper is it?
 - Method paper
 - Evaluation Paper
 - Reproducibility paper
 - Resource Paper
 - Position/survey paper



- Identify the paper type and use appropriate evaluation criteria

NLP engineering experiment paper Example from http://coling2018.org/paper-types/

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- Can you tell what research question was addressed?
 - What is the hypothesis?
 - How was it tested?
- Is the research technically sound and correct?
- Is there an error analysis?
- Is there meaningful comparison?
 - To the literature?
 - To baselines?



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The Actual Review

- Data/Code availability
 - Of the original research
 - Of the reproduction
- Description of the specific reproduction obstacles
- Generalizability: beyond reproduction?
- Informativeness: insight of the reproduction?
- Is there meaningful comparison to the original experiments?



Example from http://coling2018.org/paper-types/

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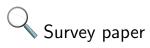
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The Actual Review

- How was the resource produced?
 - Source material?
 - Workforce?
- How was the resource evaluated?
 - What was the quality control procedure?
 - Was inter-annotator agreement computed?
- Are metadata and accessibility detailed?
- How does it compare to existing resources?



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Ethics and reviewing

Karen: Final slide for everybody's usage (to improve)

- Organization: does the survey address a specific question?
- Scope: Does the paper identify a reasonably focused area to survey?
- Thoroughness: does the paper cover all of the relevant literature?
 - Is the source of the literature surveyed clear?
 - Are criteria for inclusion/exclusion of papers in the survey clear?
- Outlook: Does the paper identify areas for future work?



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Ethics and reviewing

- What is the paper about?
- What do they use?
 - Data
 - Methods
 - Tools
 -
- What are the results?
- What are the claims?
- Do results and claims match?



First (or last?) step: write a summary!



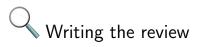
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The Actual Review

- Are the hypotheses sound?
- Is the experimental setup suited to the problem stated?
- Is the evaluation sound?
- Is the problem well-motivated?
- What is the take-home message?
- Are the conclusions supported by the results?
- . . .



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Ethics and reviewing

- Follow the order of the paper
- List strengths and weaknesses (?)
- Major vs. minor issues

Support your claims with appropriate references

Switch/Break

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Review



source: https://de.wikipedia.org/wiki/Datei:Mt._Lavinia-_Governor%27s_High_Tea.jpg

Review forms

ACL, COLING, EMNLP, LREC, Konvens, SwissText, TALN, etc.

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Ethics and reviewing

Most conferences in the domain use the same basic type of form with:

- numeric scores
- free text form(s)
- confidential comments

Exercise: What problem do you see with the following fragment of a review?

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- Quantitative score: Review of related literature: 1 (Major relevant papers are missing)
- Comments to authors: There are missing references.

Exercise: What problem do you see with the following fragment of a review?

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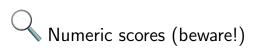
The Actual Review

Ethics and reviewing



- Quantitative score: Review of related literature: 1 (Major relevant papers are missing)
- Comments to authors: There are missing references.

How could it be improved?



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The Actual Review

- Consistent with your textual review
- Sanity Check



Online vs offline review forms

or else

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The Actual Review

Ethics and reviewing

Online

- + easy to fill in
 - limited session (you might lose your scores/text)

Offline

- + no limit in time
 - not so easy to fill in (old-school text format)

Discussion clipart: MRafizeldi / CC BY-SA



Online vs offline review forms

or else

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The Actual

Review Ethics and Online

- + easy to fill in
 - limited session (you might lose your scores/text)
 - bias due to the form?

Offline

- + no limit in time
 - not so easy to fill in (old-school text format)
 - bias due to the form?
- → (Possible) Mixed approach: write your (text) review in a text file, then copy/paste in the online form

Discussion clipart: MRafizeldi / CC BY-SA

ACL 2020 review form

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The Actual Review

- "What is this paper about, what contributions does it make, what are the main strengths and weaknesses?"
- "Reasons to accept"
- "Reasons to Reject"
- "Overall Recommendation"
 - "Transformative"
 - "Exciting"
 - "Strong"
 - "Leaning Positive"
 - "Leaning Negative"
 - "Mediocre"
 - "Weak"
 - "Poor"

ACL 2020 review form

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The Actual Review

- "Reviewer Confidence"
- "Author Response"
- "Questions for the Author(s)"
- "Missing References"
- "Typos, Grammar, Style"
- "Additional Suggestions"
- "Confidential Information"



Constraints and biases of review forms

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Ethics and reviewing

"What is this paper about, what contributions does it make, what are the main **strengths** and **weak-nesses**?"

[ACL 2020 review form]

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Major points and **minor** points. [Most bio-info review forms]

Discussion clipart: MRafizeldi / CC BY-SA



Using confidential Comments

Reviewing in Tutorial

The Actual Review



Source: Free photo by Tophee Marquez from https://www.pexels.com



Using confidential Comments

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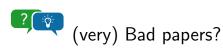
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Review Ethics and

- Additional Comments wrt. the overall recommendation
- Special circumstances that apply to this paper and might allow for acceptance/rejection despite low(er)/high(er) marks
- Comments about the author(s) rather than the paper
- Plagiarism / salami slicing concerns
- Concerns about your own review (level of expertise, discovery of COI...)
- Anonymity breaches (including for you: perhaps you have reviewed the same paper before...)

Anything else **you** think is important, but should **not** be visible to the other reviewers and/or the authors



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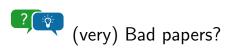
The Actual Review

Ethics and reviewing



Do not waste your time vs make a pedagogical effort

Discussion clipart: MRafizeldi / CC BY-SA



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Review Ethics and



Do not waste your time

VS

make a pedagogical effort \rightarrow depends if you feel like the

authors made an effort?

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What else?

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Plagiarism

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plagiarize verb



pla·gia·rize | \ plā-jə-ˌrīz **also** -jē-ə- \

plagiarized; plagiarizing

Definition of plagiarize

transitive verb

: to steal and pass off (the ideas or words of another) as one's own : use (another's production) without crediting the source

source: https://www.merriam-webster.com/dictionary/plagiarizing

Exercise: Back to Paper 42

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- Re-read _Paper_42
- Is it possible that part of it is, in fact, plagiarism?
- If yes, find it and find the source

From plagiarism...

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Plagiarism detection software is usually available in your University, e.g. Compilatio (Sorbonne University), iThenticate (University of Amsterdam), etc. . .

Or you have your own similarity detection system

Or your favorite search engine

Just use it!

... to salami-slicing detection

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Ethics and reviewing

A side effect of looking for plagiarism is that you may find salami-slicing (or self-plagiarism):

"Salami publication (sometimes called bologna or trivial publication) is the practice of dividing one significant piece of research into a number of small experiments [...] to increase the number of publications."

https://www.law.hku.hk/researchintegrity/salami-slicing/

Exercise: Research material in Paper 42

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- Is the material available?
- Does it look relevant?

Reproducibility

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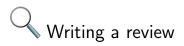
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Review Ethics and reviewing When data is provided or linked to:

- check if it really exists
- check if it does not break the anonymity
- if time allows, try to download and run it



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The Actual Review

- Plan enough time to do it
- Be polite
- Focus on the work
- Avoid trivialities
- Do not attack the authors
- Take specifics into account (e.g. low-resourced language)
- Be as elaborate and explicit as possible
- The worse the numeric scores, the more elaborate the review should be to support this

Switch/Break

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Ethics and reviewing



 $source: \ https://de.wikipedia.org/wiki/Datei:Mt._Lavinia-_Governor\%27s_High_Tea.jpg$

Karen Ends Here

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Aurelie Start Here

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Ethics in reviewing

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Ethics and reviewing

A two way street:

- Provide fair, balanced, ethical reviews
- 4 Hold authors accountable for their research

Introductory story

Reviewing in NLP – A

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Ethics and reviewing



source: https://www.bbc.com/news/amp/science-environment-34910954

Introductory story

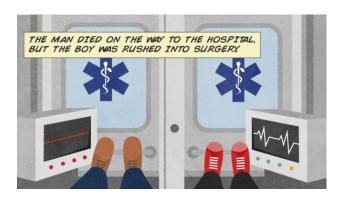
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Introductory story

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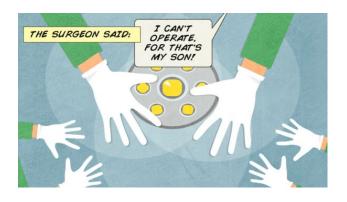
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Ethics and reviewing



Why this statement?

What's the first explanation to pop into your head?

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Ethics and reviewing

Some answers:

- The son is adopted (biological father and adoptive father)
- Same sex parents (two fathers)
- The surgeon is also a catholic priest
 - ...
- Wait! Could the surgeon be... the MOTHER?
- Why isn't the most simple answer obvious to everyone?
 - Implicit Bias: surgeon = man

Implicit bias

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"Bias that results from the tendency to process information based on unconscious associations and feelings, even when these are contrary to one's conscious or declared beliefs"



It doesn't only happen to other people!

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Ethics and

Project Implicit®

Project Implicit

- A research project that investigates social processes involved in social interactions
- Covers many aspects including social attitudes, health
- Offers a set of "Implicit Association Tests" (IAT) free for non commercial use

Exercice: take a test

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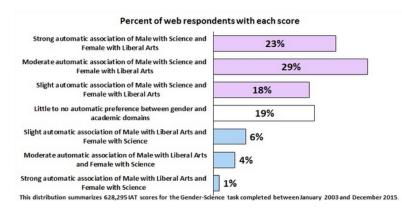
https://implicit.harvard.edu/implicit/takeatest.html

- Suggested: Gender-Science (will be randomly positioned in left side menu)
- Requires about 10/15 minutes, including filling preliminary question forms and test
- Measures reflex associations between words, ideas, pictures

Results of a sample test: Gender-Science IAT

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Ethics and reviewing



source: Xu, Kaiyuan et al. "Project Implicit Demo Website

Datasets." OSF, 17 Mar. 2020, Web.

How can we handle our biases?

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Review
Ethics and reviewing

+ Bias awareness can induce guilt and motivation to change behaviour

Parker LR, Monteith MJ, Moss-Racusin CA, Van Camp AR, Promoting concern about gender bias with evidence-based confrontation, J Exp Soc Psychol. 2018;74:8-23



- Stereotype prevalence can create bias reinforcement (everybody else is doing it, so why can't we?)

Duguid MM, Thomas-Hunt MC. Condoning stereotyping? How awareness of stereotyping prevalence impacts expression of stereotypes. J Appl Psychol. 2015;100(2):343-359.

How can we handle our biases? An open question...

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Ethics and reviewing



Qualify your comments where applicable "I am [not] an expert on topic XYZ, and here is my take"



Biases that may affect reviewing

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Ethics and reviewing

- Gender
- Seniority
- Geography/institution
- Reputation

Kaatz A, Gutierrez B, Carnes M. Threats to objectivity in peer review: the case of gender. Trends in pharmacological sciences. 2014;35(8):371-373.

Okike K, Hug KT, Kocher MS, Leopold SS. Single-blind vs Double-blind Peer Review in the Setting of Author Prestige. JAMA. 2016 Sep 27;316(12):1315-6.

Reviewing models: a balancing act

reviewer accountability vs. bias effect

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Ethics and reviewing

Open Review Papers, Reviews and Identities are visible to all parties

Single-Blind Author is known to Reviewer – Reviewer remains unknown to Author

Double-Blind Author is unknown to Reviewer and Reviewer is unknown to Author

Pros and Cons

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Ethics and reviewing

open

- Reviewer is accountable
- Authors do not need to withhold scientific content to preserve anonymity
- Reviewer may withhold criticism or face retaliation
- Vulnerable to all biases

Pros and Cons

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Ethics and reviewing

single-blind

- Allows the reviewer to be fully honest
- Authors do not need to withhold scientific content to preserve anonymity
- Vulnerable to all biases mentioned above

Pros and Cons

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Ethics and reviewing

double-blind

- Avoids various biases
- Allows the reviewer to be fully honest
- Reviewer is not accountable
- Authors may withhold scientific content to preserve anonymity

Holding the reviewers accountable

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Background

Dackground

- AC/editor's role in the reviewing process
- Secondary reviewers should be declared
- Feedback mechanisms are essential

Holding the authors accountable

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- Check for (self-)plagiarism
- Check for salami slicing
- Check for reproducibility material
- Check for ethical concerns
 - data confidentiality
 - research process

Organizing reviewing and ethics Making the sausage

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Ethics and

• How do you define and handle conflicts of interest??

• Are organizers allowed to submit?

• Who should see reviewers' names?

Organizing the discussion between reviewers

Transparency of the process:

AC names are mentioned somewhere

• Reviewing process is detailed somewhere

Rebuttal period / Authors' response

Meta reviews

Characteristics of Various Venues

(with changes over the years)

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Venue	Blind	Supplements / appendix	Area Chair	Response Period
*ACL	Double	yes	identified	sometimes
EMNLP	Double	yes	identified	yes
IJCAI	Double	no	internal	yes
AAAI	Double	no	internal	yes
LREC	Single	no	no	no
Swisstext	Double	no	internal	no
TALN	Double	no	internal	no
AMIA	Single	no	internal	no

Closing remarks

Reviewing the review tutorial

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Background



- It's been a bumpy ride
- Thank you for your attendance
- Your feedback is welcome
- See (hear) you during the live session!

We would love to hear from you!

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Ethics and reviewing

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Aurelie End Here

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Kevin Start Here

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How to evaluate an innovation claim

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Ethics and reviewing

Three most likely possibilities:

- There is a well-supported innovation claim
- There is a poorly-supported innovation claim
- There is no innovation claim, or only an implied one

What "innovation" means in a research context

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General structure of an innovation claim

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Ethics and reviewing

If you cannot find anything like this structure, there might not actually be an explicit innovation claim in the paper.

Some ways for research to be innovative

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Extra slide: The difference between "innovation" and "significance"

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Exercise: Which of the following makes the strongest innovation claim?

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Ethics and reviewing

Karen: I don't see the link with what is before, it should probably be in the innovation part (KBC)

- Able (2016) used a linear model. Baker (2017) used a linear mixed effects model. But, no one has used a support vector machine.
- Able (2016) used a linear model. Baker (2017) used a linear mixed effects model. Charlie (2017) used a linear mixed effects model with post-processing. Delta (2017) used a linear mixed effects model with pre-processing. But, no one has used a support vector machine.
- Able (2016) used a linear model. Baker (2017) used a mixed effects linear model. Charlie (2017) used a linear mixed effects model with post-processing. Delta (2017) used a linear mixed effects model with pre-processing. But, no one has 89



Exercise: Which of the following makes the strongest innovation claim?

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Kevins Material

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Kevin End Here

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