A Formal Analysis of 5G Authentication

David Basin, Jannik Dreier, <u>Lucca Hirschi</u>, Saša Radomirovic, Ralf Sasse, Vincent Stettler



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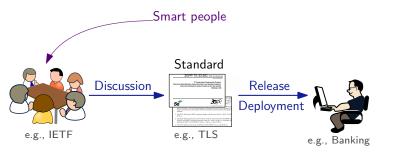




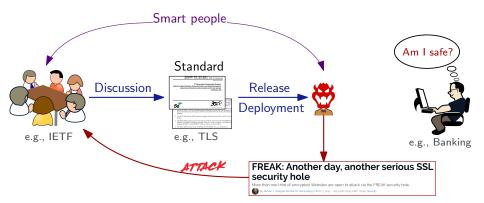




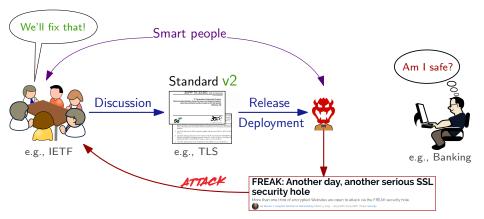
October 18, 2018



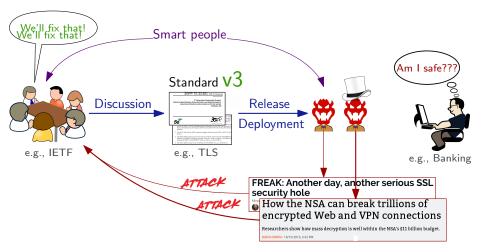




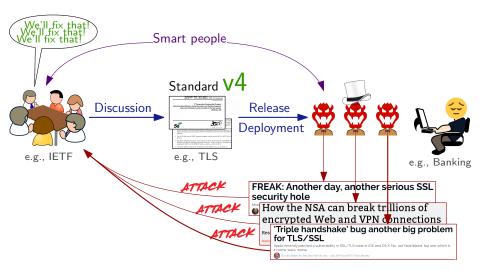


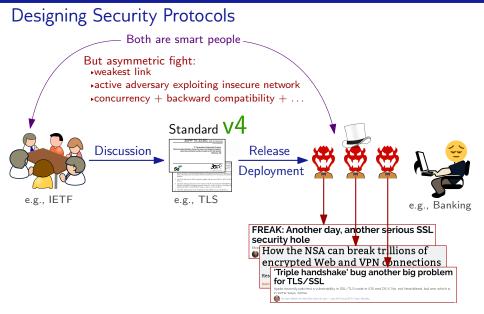




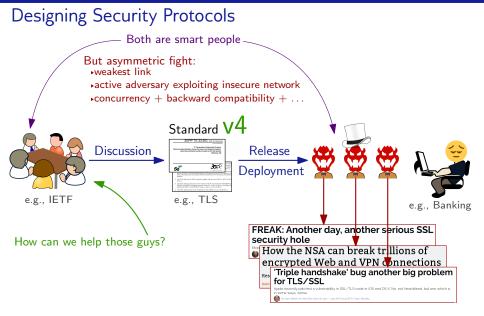




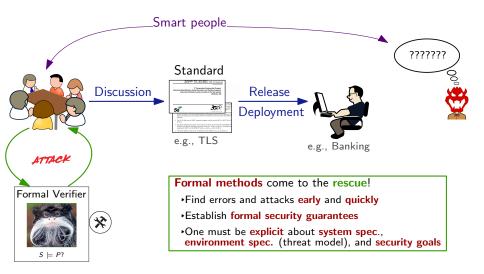




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5G Authentication





Mobile communication

- 4.8 billion unique users, 60% of world population has 4G
- next-gen 5G designed by 3GPP (as for 3G/4G); deployed in 2 phases
- Phase 1: frozen specification in 2018 and commercial service in 2020

Authentication

- ▶ Key protocol AKA: secure channel + authentication between 🔲 and 🁔
- Different AKA protocols: 3G:AKA \sim 4G:EPS AKA \sim 5G:5G AKA



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5G AKA intended to improve security but: Which security guarantees? Under which threat model/security assumptions?

Let's formally analyze 5G AKA!

A Formal Analysis of 5G Authentication

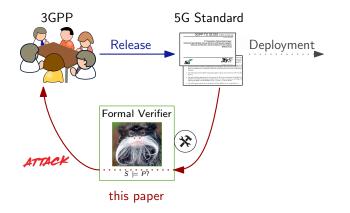
5G Authentication



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Formal Verification in the Symbolic Model

(also called Dolev-Yao model)



Cryptographic primitives assumed perfect

Security protocols encoded in a formal language (syntax + semantics)

Attacker 🖑 = network (worst case scenario)

- eavesdrop: he learns all protocol outputs
- injections: he chooses all protocol inputs

Security properties encoded as reachability or equivalence properties

Sweet spot between precision and automation



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Sweet spot between precision and automation

Automated Verification (tool):

- several efficient procedures and tools (but verification is undecidable)
- our tool of choice: Tamarin (the only one with the required features)





 \sim 100 pages, 4 docs

Formalization

- implicit/unclear threat model and goals
- documents are often not self-contained





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Modeling

- large, complex protocol with intricate state-machine
- encode security goals under many threat models





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Proofs

- ► many features that make the verification ³/_√
- need for proof strategies: sound by design, guide the proof search

(e.g., invariants)





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Modeling

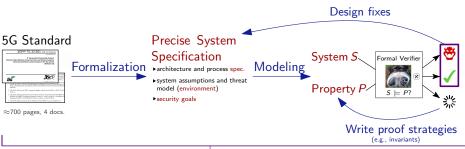
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Design fixes that are provably secure





Security Evaluation

Formalization

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- large, complex protocol with intricate state-machine
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Proofs

- Many features that make the verification ⅔
- need for proof strategies: sound by design, guide the proof search

Design fixes that are provably secure Sec. Evaluation: attacks and fixes



Our Contributions

Formalization of the 5G standard

- Extract/Formally interpret security assumptions, goals and system spec.
- Identify key missing security goals + flaws in stated goals
- Propose fine-grained variants of goals (secrecy, authentication, privacy)

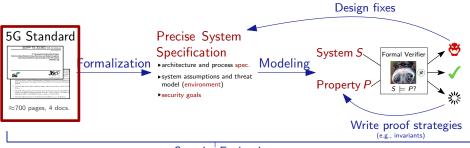
Formal model of 5G AKA amenable to automation

- First faithful model of an AKA protocol (challenges: loops, state-machine, scale, XOR)
- Dedicated proof strategies (in Tamarin)

Security Evaluation of 5G AKA

- Identify minimal assumptions required for each security goal to hold:
 - Authentication: critical properties are violated
 - Privacy: preserved for passive 😁 but broken for active 😁
 - Secrecy: holds but not Perfect Forward Secrecy
- Explicit recommendations and provably secure fixes (also simplify)

Outline



Security Evaluation

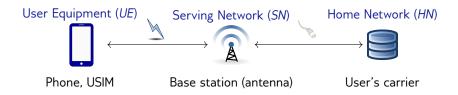
5G AKA



5G AKA designed to:

- mutually authenticate User Equipment with its Home Network (carrier)
- establish session keys btw. the User Equipment and its Serving Network





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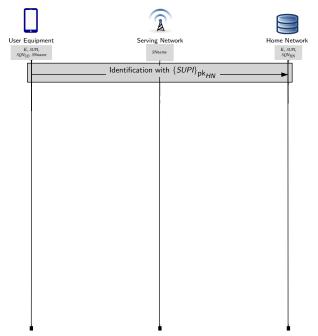
User Equipment (Phone with USIM) and Home Network share:

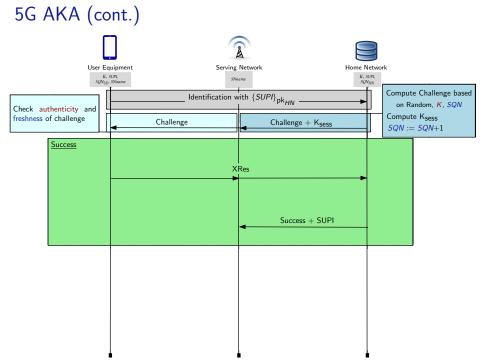
- a permanent UE's identifier SUPI (for identification)
- a symmetric key *K* (shared secret)
- a sequence number SQN (for replay protection)

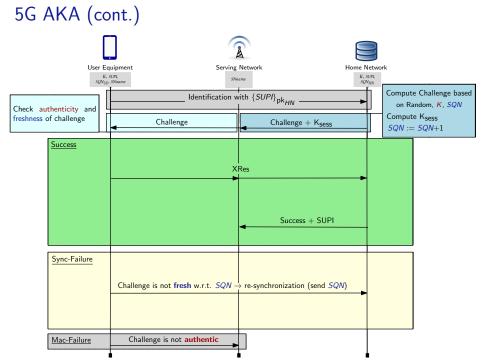
User Equipment knows the Home Network's public key pk_{HN}



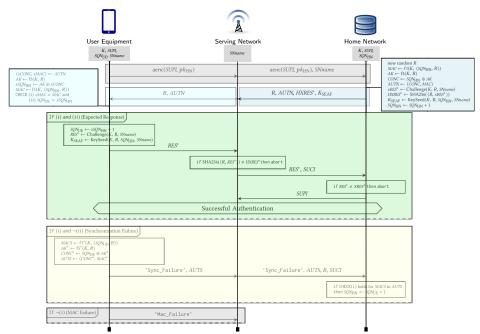
5G AKA (cont.)



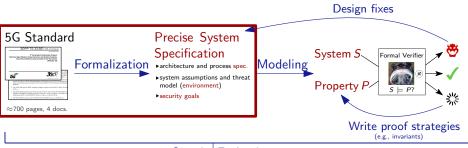




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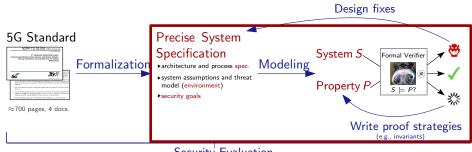


Outline



Security Evaluation

Outline



Security Evaluation

Formal Modeling

System ~500LoC

- full state-maching with re-synchronization, precise modeling of XOR and counter SQN (only Tamarin can handle all that)
- + optional key-confirmation
- ▶ for unbounded number of UEs, SNs, and HNs, and unbounded sessions

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Threat Model & Security Goals ~1000LoC, 124 lemmas

- powerful Dolev Yao ³/₂: control all the network
- wide-range of formal security goals (including secrecy, authentication, privacy)
- + many compromise scenarios in order to identify minimal assumptions \sim strongest possible adversary model



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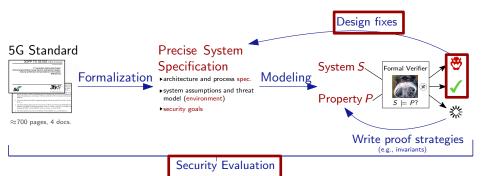
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Proof Strategies ~1000LoC, ~ 5 hours computation time

- + complex state-changes + loops \rightsquigarrow automatic: $\frac{3}{2}$ / manual: impractical
- proof strategies: lemmas + heuristics that guide the proof search



Outline



Results





Lucca Hirschi

A Formal Analysis of 5G Authentication

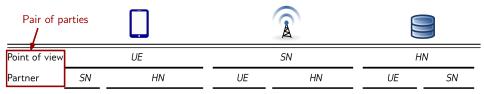
Results

More than just \mathfrak{G}/\checkmark ?

YES! For instance for authentication:

• Different perspectives ...

(who obtains guarantees, about whom?)





Results

More than just $\textcircled{O}/\sqrt{?}$

YES! For instance for *authentication*:

- Different perspectives ... (who obtains guarantees, about whom?)
- with different kinds of agreement properties ... (identities?, data?, replay?)

| Pair of pa | arties | | | à | | |
|---------------|------------|-------------------|----------------------|----|----|------|
| Point of view | | UE | | SN | HI | V |
| Partner | SN | HN | UE | HN | UE | SN |
| | ication pr | - | | | | 9, |
| Lucca Hirschi | | A Formal Analysis | of 5G Authentication | | | 9/14 |

Results

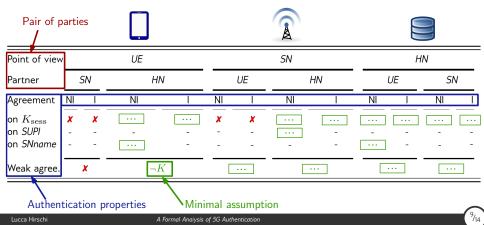
More than just \mathfrak{G}/\checkmark ?

YES! For instance for authentication:

Different perspectives ...
 (who obtains guarantees, about whom?)

(e.g. what can be compromised?)

- with different kinds of agreement properties ... (identities?, data?, replay?)
- under different attacker models.



Results: Authentication: Attack 1

Attack 1 (on explicit goal given in the spec.) O makes SN think it is talking to another UE (\neq SUPI) How? \checkmark SN $\xleftarrow{Challenge+K_{sess}}$ HN and SN \xleftarrow{SUPI} HN are not bound together!

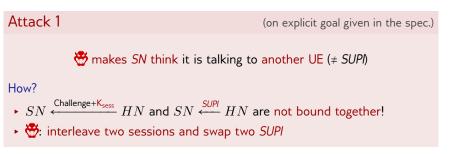
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Remark: In an earlier draft (v0.7.1), SUPI, K_{sess} sent together \sim

(we detected the introduced flaw when updating our models)



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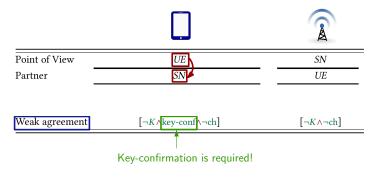
Fix

Either:

- explicitly assume a binding channel SN-HN (= binding message-session)
- cryptographically bind the messages together

Results: Authentication: Attack 2

We re-verify all authentication properties when attack 1 is fixed:



However, key-confirmation is not mandatory in the standard!

(subsequent procedures?)



Results: Authentication: Attack 2 (cont.)

Attack 2

(on explicit goal given in the spec.)

😴 can impersonate a SN towards UEs without key-conf (not mandatory)

How?

 SNname is not included in the MAC sent by HN that comes with the challenge



Results: Authentication: Attack 2 (cont.)

Attack 2

(on explicit goal given in the spec.)

How?

 SNname is not included in the MAC sent by HN that comes with the challenge

Fix

Either:

- ► mandatory key-confirmation, required in one direction only (UE ← SN)
- add SNname to the MAC sent by HN (key-confirmation not required then)

Remark: our fixes reduce the number of roundtrips required to get security!

Results: Secrecy and Privacy

 $Secrecy(K_{sess}, K)$ holds but not $PFS(K_{sess})$

Privacy: The UE's identifier SUPI remains secret

(with honest SN/HN)

- defeats IMSI-catchers but
- ▶ insufficient to ensure untraceability with an active . Attack 3
- ► fix requires major redesign 😒

 \sim new 5G tracking device ("5G-Stingray") coming?



Conclusion

Contributions: Formalization of the 5G standard + Tamarin model with proof techniques + comprehensive security evaluation

5G AKA standard:

- ► definitely lacks explicit assumptions and security goals 🙁
- ► meets core properties after easy fixes/+assumptions ☺
- improves privacy over 3G/4G, but still suffers from traceability attacks (2)

We have an ongoing discussion with 3GPP and GSMA: they will modify the standard.

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Future work:

- verify and formally compare other variants of AKA (3G, 4G, EAP-AKA' in 5G)
- follow the development of 5G (e.g. phase 2)
- more precise/efficient verification of privacy



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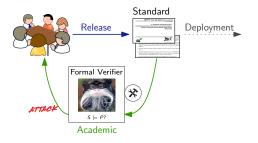
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Others' future work: Formal Methods are a powerful tool! They are now mature enough for the real-world.

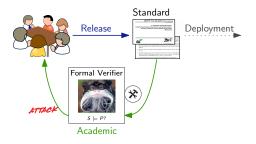


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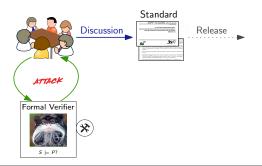




Now:



Ideally:

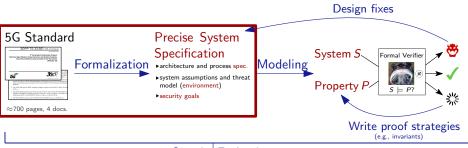


¹⁵/₁₄

A Formal Analysis of 5G Authentication

Backup Slides

Outline



Security Evaluation

Formalization

Goal: build a precise specification of the system (protocol), environment (*e.g.* threat model), and security goals

Example of imprecision in the standard and our interpretation:

Assurance [that the subscriber] is connected to a serving network that is authorized by the home network. [...] This authorization is 'implicit' in the sense that it is implied by a successful authentication and key agreement run.

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Subscriber must obtain non-injective agreement on SNname with its Home Network after key confirmation.



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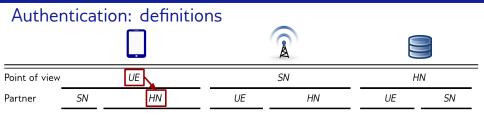
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Takeaways

- critical security goals are missing (implicit?): e.g. injective agreement on the key seed
- some stated goals are two weak: no assurance that the authenticated party participated to the current session
- unclear system assumption (e.g. on channels) and threat model (notably for privacy)



(HN's identity, HN's view on the session)



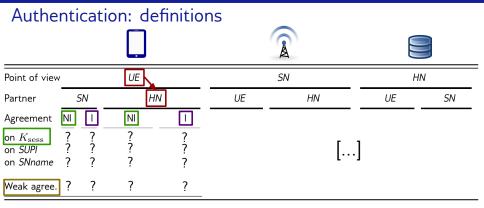
| Authentica | tion: defir | nitions | | | | | |
|--|-------------|-----------------------|------------------------|---------------|----|----|--|
| | | | | | | | |
| Point of view | UE | | S | N | НМ | V | |
| Partner SN | HN | | UE | HN | UE | SN | |
| Weak agree. ? ? | ? | ? | | [| .] | | |
| Authentication depends on the perspective and the expected agreement: What guarantees does <i>UE</i> obtain regarding <i>HN</i> ? | | | | | | | |
| weak agreemen | agreeme | nt on <i>HN</i> 's ar | nd <i>UE</i> 's ids (i | mutual auth.) | | | |
| | | | | | | | |



| Authentication: definitions | | | | | | | | | | |
|---|-------------------------|-----------------|-------------------|-----------------|----|----|----|----|--|--|
| | | | | | | Ì | | | | |
| Point of view | ~ | | UE | | | SN | H | IN | | |
| Partner | 5 | SN | HI | V | UE | HN | UE | SN | | |
| Agreement on K _{sess} on SUPI on SNname | ℕ ? ? ? | ? ? ? | NI ? ? ? | ? ? ? | | [| .] | | | |
| Weak agree. | ? | ? | ? | ? | | | | | | |

| weak agreement | agreement on HN's and UE's ids (mutual auth.) |
|---|--|
| (NI) non-injective agreement on $K_{ m sess}$ | agreement on HN's and UE's ids and $K_{ m sess}$ |
| | |





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| Authentication: definitions | | | | | | | | | |
|-----------------------------|----|------------|--------------------|--------------------|----|----|----|----|--|
| | | | | | | R | | | |
| Point of view | v | | UE | | | SN | Н | HN | |
| Partner | 5 | 5N | ΗN | / | UE | HN | UE | SN | |
| Agreement | NI | | NI | | | | | | |
| on $K_{ m sess}$ | x | x | $\neg K \land k-c$ | $\neg K \land k-c$ | | | 1 | | |
| on <i>SUPI</i> wa × wa × | | | | | | | | | |
| on <i>SNname</i> | wa | × | [¬ <i>K</i> ∧k-c] | × | | L | | | |
| Weak agree. | 6 | X] | $\neg K$ | 5 | | | | | |

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Minimal security assumption:

- $\neg K$: no reveal of long-term key
- k-c: requires key-confirmation

- A Formal Analysis of 5G Authentication
- ► ¬ch: requires secure channel SN-HN
- (also compromise of $sk_{\rm HN}$, SUPI, SQN)



| Authe | Authentication: definitions | | | | | | | | | | | |
|--|-----------------------------|--------------------|--|-------------------------|-----------|--------------------|--------------------|----------------------------------|------------------------|--------------------|----------------|---------------|
| | | | | | | | | | | | | |
| Point of view | Point of view UE SN HN | | | | | | | | | | | |
| Partner | S | N | HN |] | [| UE | | HN | L | JE | SN | V |
| Agreement | NI | Ι | NI | I | NI | I | NI | 1 | NI | Ι | NI | I |
| on K _{sess} on <i>SUPI</i> on <i>SNname</i> | X wa wa | X × × | $\neg K \land k-c$ wa [$\neg K \land k-c$] | $\neg K \land k-c$ × | wa wa | x × × | ⊐ch [¬ch] wa | $\neg K \land \neg ch$ × × | $\neg K$ wa $[\neg K]$ | $\neg K$ × × | ⊣ch × wa | −ch × × |
| Weak agree. | [¹ | X] | $\neg K$ | | $[\neg k$ | ∑∧¬ch] | | ⊸ch | | K | −C | h |

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Authentication: all results

| Point of view | Point of view UE | | | | | SN | | | | HN | | | |
|------------------|------------------|--------------|--------------------|--------------------|-----------|--------|-------|------------------------|------------|----------|-----|----|--|
| Partner | | SN | ΗN | 1 | | UE | | HN | l | JE | SN | , | |
| Agreement | NI | Ι | NI | I | NI | I | NI | I | NI | Ι | NI | 1 | |
| on $K_{ m sess}$ | x | X | $\neg K \land k-c$ | $\neg K \land k-c$ | x | X | −ch | $\neg K \land \neg ch$ | $\neg K$ | $\neg K$ | −ch | ch | |
| on <i>SUPI</i> | wa | × | wa | × | wa | × | [¬ch] | × | wa | × | × | × | |
| on <i>SNname</i> | wa | × | [¬ <i>K</i> ∧k-c] | × | wa | × | wa | × | $[\neg K]$ | × | wa | × | |
| Weak agree. | | [X] | $\neg K$ | 5 | $[\neg F$ | {∧¬ch] | | ⊸ch | _ | K | ¬C | h | |

After fixing Attack 1 (binding):

| Point of View | L | SN | | |
|----------------------|---------------------------------------|------------------------|------------------------|--|
| Partner | S | UE | | |
| Agreement | NI | NI | Ι | |
| on K _{SEAF} | $\neg K \land key-conf \land \neg ch$ | $\neg K \land \neg ch$ | $\neg K \land \neg ch$ | |
| Weak agreement | $[\neg K \land key$ | $[\neg K \land$ | ¬ch] | |



Other Results

Secrecy:

| Point of view | UE | SN | HN |
|--------------------------|---|------------------------|---|
| $K_{\rm sess}$ | $\neg K \land \neg ch$ | $\neg K \land \neg ch$ | $\neg K \land \neg ch$ |
| $PFS(K_{\mathrm{sess}})$ | × | X | X |
| SUPI | $\neg sk_{\mathrm{HN}} \land \neg ch^*$ | - | $\neg sk_{\mathrm{HN}} \land \neg ch^*$ |
| K | Ø | Ø | Ø |

*: no dishonest SNs (violated otherwise)

Other Results

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| Point of view | UE | SN | HN |
|--------------------------|---|------------------------|---|
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| SUPI | $\neg sk_{\mathrm{HN}} \land \neg ch^*$ | - | $\neg sk_{\mathrm{HN}} \land \neg ch^*$ |
| K | Ø | Ø | Ø |

*: no dishonest SNs (violated otherwise)

Privacy:

- SUPI remains confidential, even against active attackers and hence also against passive attackers.
- ▶ 5G AKA thus defeats previous active IMSI-catcher attacks
- We also have modelled a weak, passive attacker and have automatically proven that he cannot trace subscribers.
- active attackers are realistic threats for most use cases. We have (automatically) found that 5G AKA suffers from a traceability attack in that setting.

