

# Programming in python: permutations and trees

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# Outline

- 1 Presentation of the project
- 2 Definitions of permutations and trees
- 3 `freq`: A recursive function on permutations
- 4 `stack`: Processing a permutation with a stack
- 5 `bintree`: Building a (binary) tree from a permutation
- 6 `inorder` and `postorder`: Building permutations from a tree
- 7 Testing the functions, and stating conjectures
- 8 Further questions

The purpose of the project is to :

- define in python some basic combinatorial objects:  
[permutations](#), [trees](#);
- implement some [combinatorial maps](#) between them;
- run some examples and [discover experimentally](#) some theorems.

## Definition of permutations

A permutation is a list of positive integers with distinct entries.

## Examples and counter-examples

- $[2, 4, 3, 7, 5]$  and  $[1, 3, 4, 6, 2, 5]$  are permutations;
- $[3, -1, 2]$  and  $[.5, 2, 1]$  are not permutations because one of their entry is not a positive integer;
- $[6, 1, 3, 4, 3, 5]$  is not a permutation because there is a repetition.

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## Task 1

Implement a function `IsPermutation` which takes some object and tests whether it is a permutation or not.

## Recursive definition of trees

A (binary) tree is either the empty tree (represented by the python object `None`) or a triple  $(a, T_1, T_2)$ , where  $a$  is a positive integer and  $T_1$  and  $T_2$  are trees.

## Examples and counter-examples

$(2, (3, (5, \text{None}, (7, \text{None}, \text{None})), \text{None}), (1, \text{None}, (6, \text{None}, \text{None})))$  is a tree;

But  $(2, (3, 0, \text{None}), (1, \text{None}, (6, \text{None}, \text{None})))$  is not a tree because 0 is not a tree and hence  $(3, 0, \text{None})$  is not a tree.

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## Task 2

Implement a function `IsTree` which takes some object and tests whether it is a tree or not.





## Well-labelled trees

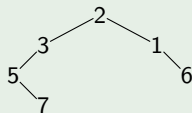
The **label set**  $\text{Lab}(T)$  of a tree is defined as:

- the empty set  $\emptyset$  if  $T$  is the empty tree `None` ;
- the set  $\{a\} \cup \text{Lab}(T_1) \cup \text{Lab}(T_2)$  if  $T = (a, T_1, T_2)$ .

A tree is called **well-labelled** if it is empty or is  $T = (a, T_1, T_2)$  with  $T_1$  and  $T_2$  well-labelled and with  $\{a\}$ ,  $\text{Lab}(T_1)$ ,  $\text{Lab}(T_2)$  pairwise disjoint.

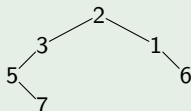
Intuitively, it means, that all labels on the graphical representation of the trees are distinct.

## Example of a well-labelled tree



The tree here opposite is a well-labelled tree with label set  $\{1, 2, 3, 5, 6, 7\}$ ;

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## Task 3

Write a function `IsWellLabelled` which decides whether a tree given as input is well-labelled or not.

We will now define five combinatorial maps between permutations and trees. Your tasks will be to implement them.

- `frec` and `stack` take as input a permutation and return another permutation.  
The first one is recursive, while the second one is iterative.
- `bintree` is a recursive function, which takes as input a permutation and returns a well-labelled tree.
- `inorder` and `postorder` are also recursive functions, but take as input a well-labelled tree and return a permutation.

All these tasks are independent.

## The function frec

frec is a recursive function on permutations defined by:

- $\text{frec}([]) = []$
- if  $n$  is the maximum of a permutation  $P$ , writing  $P = L \cdot [n] \cdot R$ , we have:  
 $\text{frec}(L \cdot n \cdot R) = \text{frec}(L) \cdot \text{frec}(R) \cdot [n]$ .

Here,  $[]$  is the empty list and  $\cdot$  is the concatenation of lists.

## Example

$$\text{frec}([5, 2, 7, 1]) = \text{frec}([5, 2]) \cdot \text{frec}([1]) \cdot 7 = \dots = [2, 5, 1, 7].$$

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## Task 4

Write a program that takes a permutation  $P$  as input, and returns as output  $\text{frec}(P)$ .

## The function stack

stack is a function on permutations defined iteratively as follows:

- (a) Take a permutation  $P$  as input.
- (b) Create an empty stack  $S$ , and an empty list  $Q$ .  
*Comment: At the end of the procedure,  $Q$  will be the permutation  $\text{stack}(P)$ .*
- (c) While  $P$  is not empty:
  - (d) Consider the first element  $h$  of  $P$ .
  - (e) While  $S$  is not empty and  $h$  is larger than the top element of  $S$ :
    - (f) Pop the top element of  $S$  to the end of  $Q$ .
  - (g) Push  $h$  at the top of the stack  $S$ .
- (h) While  $S$  is not empty:
  - (i) Pop the top element of  $S$  to the end of  $Q$ .
- (j) Output  $Q$ .

Step by step behavior of stack on the input [6, 1, 3, 2, 7, 5, 4]



## Step by step behavior of stack on the input $[6, 1, 3, 2, 7, 5, 4]$

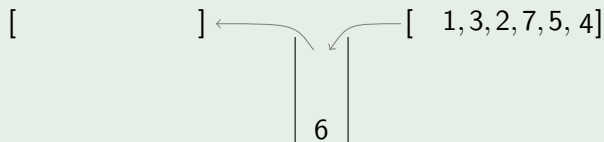
$$[6, 1, 3, 2, 7, 5, 4] = P$$

This action correspond to step(s) (a)  
of the algorithm.

Step by step behavior of stack on the input  $[6, 1, 3, 2, 7, 5, 4]$  $Q = [ \quad ]$  $[6, 1, 3, 2, 7, 5, 4] = P$  $S$ 

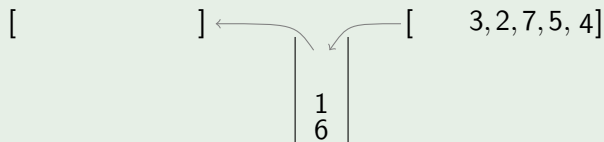
This action correspond to step(s) (b)  
of the algorithm.

## Step by step behavior of stack on the input [6, 1, 3, 2, 7, 5, 4]



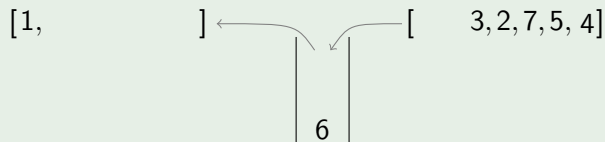
This action correspond to step(s) (d), (g)  
of the algorithm.

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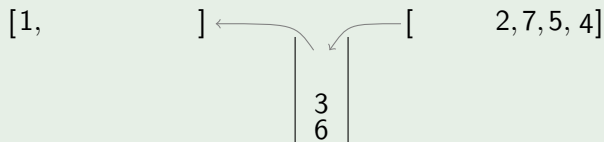
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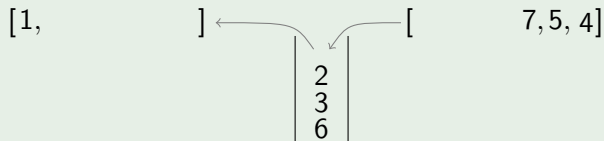
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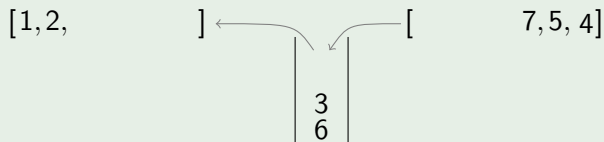
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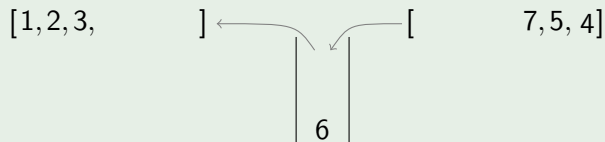
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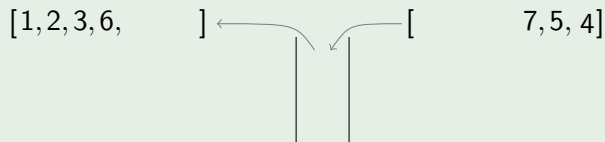


## Step by step behavior of stack on the input [6, 1, 3, 2, 7, 5, 4]



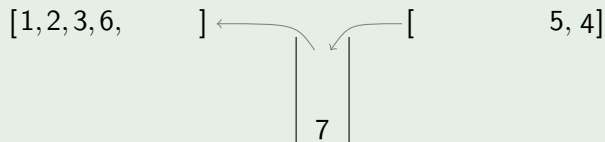
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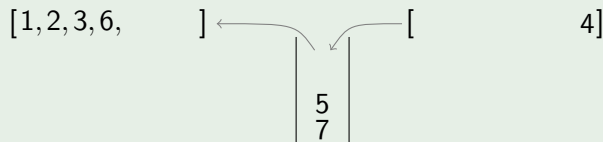
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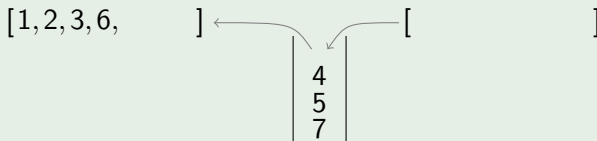
This action correspond to step(s) (g) of the algorithm.

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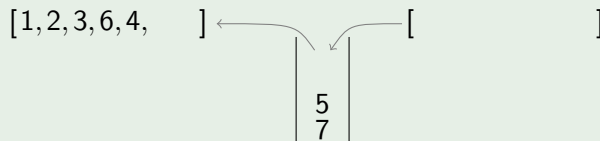
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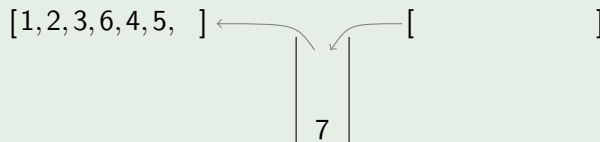
This action correspond to step(s) (d), (g) of the algorithm.

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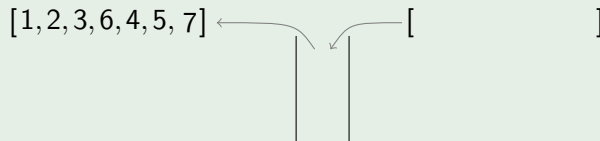
This action correspond to step(s) (h), (i) of the algorithm.

## Step by step behavior of stack on the input [6, 1, 3, 2, 7, 5, 4]



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Step by step behavior of stack on the input [6, 1, 3, 2, 7, 5, 4]

Output [1, 2, 3, 6, 4, 5, 7]

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## Step by step behavior of stack on the input [6, 1, 3, 2, 7, 5, 4]

Output [1, 2, 3, 6, 4, 5, 7]

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### Task 5

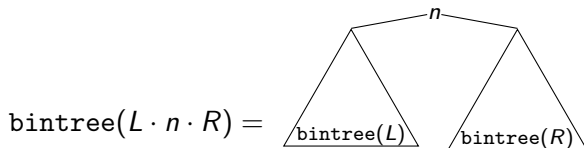
Write a program that takes a permutation  $P$  as input, and returns as output  $\text{stack}(P)$ .

## The function bintree

bintree (standing for *binary tree*) is a function which associate a tree to a permutation. It is recursively defined by:

- $\text{bintree}([]) = \text{None}$
- if  $n$  is the maximum of a permutation  $P$ , writing  $P = L \cdot n \cdot R$ , we have:  $\text{bintree}(L \cdot n \cdot R) = (n, \text{bintree}(L), \text{bintree}(R))$ .

With the graphical representation of trees explained earlier, this means that



## Example

For the permutation  $P = [8, 1, 9, 6, 3, 7, 4]$ , we have

$$\begin{aligned} \text{bintree}(P) &= (9, \text{bintree}([8, 1]), \text{bintree}([6, 3, 7, 4])) = \dots \\ &= (9, (8, \text{None}, (1, \text{None}, \text{None})), \\ &\quad (7, (6, \text{None}, (3, \text{None}, \text{None})), (4, \text{None}, \text{None}))). \end{aligned}$$

Graphically, this means that  $\text{bintree}(P) =$

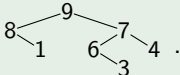
```

graph TD
    9 --- 8
    9 --- 7
    8 --- 1
    7 --- 6
    7 --- 4
    6 --- 3
  
```

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## Task 6

Write a program that takes a permutation  $P$  as input, and returns the tree  $\text{bintree}(P)$  as output.

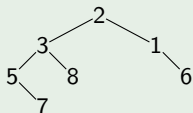
## Definition of inorder and postorder

inorder and postorder are recursive functions, which associate a permutation to a well-labelled tree. They are defined as follows:

- $\text{inorder}(\text{None}) = \text{postorder}(\text{None}) = []$
- $\text{inorder}((a, T_1, T_2)) = \text{inorder}(T_1) \cdot [a] \cdot \text{inorder}(T_2)$
- $\text{postorder}((a, T_1, T_2)) =$   
 $\text{postorder}(T_1) \cdot \text{postorder}(T_2) \cdot [a]$

## Example of inorder and postorder

Let  $T$  be the tree below.



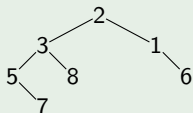
Then

$$\text{inorder}(T) = [5, 7, 3, 8, 2, 1, 6]$$

$$\text{postorder}(T) = [7, 5, 8, 3, 6, 1, 2]$$

## Example of inorder and postorder

Let  $T$  be the tree below.



Then

$$\text{inorder}(T) = [5, 7, 3, 8, 2, 1, 6]$$

$$\text{postorder}(T) = [7, 5, 8, 3, 6, 1, 2]$$

## Task 7

Write two programs that both take a well-labelled tree  $T$  as input, and return respectively the permutations  $\text{inorder}(T)$  and  $\text{postorder}(T)$  as output.



## Task 8

Take several examples of permutations  $P$  (neither too short nor too easy) and do:

- compute `inorder(bintree( $P$ ))` on these examples. What do you observe? Formulate a conjecture.
- compute `frec( $P$ )`, `stack( $P$ )` and `postorder(bintree( $P$ ))` on these examples. What do you observe? Formulate a second conjecture.

## A few further questions

- So far, you have only tested your conjectures on a few examples chosen arbitrarily. Write a program which takes  $n$  as input and generates all permutations with entries  $1, 2, \dots, n$  and test your conjectures on **all** permutations for  $n$  as big as possible ( $n = 10$  can already take a long time on a standard computer!).
- Experimenting is good to get an intuition, but we have not proven anything so far. Can you **prove** your conjectures?
- Explain how  $\text{inorder}(T)$  and  $\text{postorder}(T)$  can be read directly on the **graphical** representation of the tree  $T$ .
- Try and **draw** the trees using sage (algebra software based on python). Run sage on [www.sagemb.org](http://www.sagemb.org) or install it on your computer and look at the documentation of DiGraph by typing  
sage: DiGraph?