

# MPRI 2-27-1 Exam

**Duration: 3 hours**

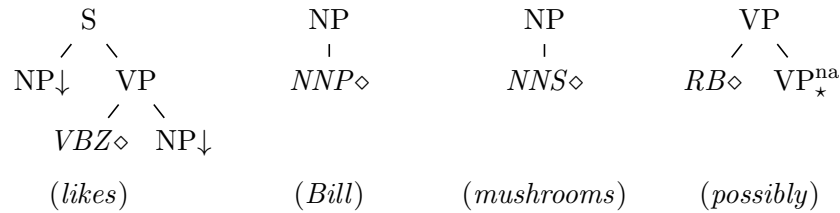
**Paper documents are allowed. The numbers in front of questions are indicative of hardness or duration.**

## 1 Two-level Syntax

**Exercise 1** (Derivation trees). In a tree adjoining grammar  $\mathcal{G} = \langle N, \Sigma, T_\alpha, T_\beta, S \rangle$ , the trees in  $L_T(\mathcal{G})$  are called *derived* trees. We are interested here in another tree structure, called a *derivation* tree, for which we propose a formalisation here. Let us assume for simplicity that all the foot nodes of auxiliary trees have the ‘na’ null adjunction annotation.

For an elementary tree  $\gamma \in T_\alpha \uplus T_\beta$ , we define its *contents*  $c(\gamma)$  to be a finite sequence over the alphabet  $Q \stackrel{\text{def}}{=} \{q_A \mid A \in N \uplus N\downarrow\}$ . Formally, we enumerate for this the labels in  $Q$  of its nodes in position order; the nodes labelled by  $\Sigma \cup N^{\text{na}}$  are ignored.

Consider for instance the TAG  $\mathcal{G}_1$  with  $N \stackrel{\text{def}}{=} \{S, NP, VP\}$ ,  $\Sigma \stackrel{\text{def}}{=} \{VBZ\diamond, NNP\diamond, NNS\diamond, RB\diamond\}$ ,  $T_\alpha \stackrel{\text{def}}{=} \{likes, Bill, mushrooms\}$ ,  $T_\beta \stackrel{\text{def}}{=} \{possibly\}$ , and  $S \stackrel{\text{def}}{=} S$ , where the elementary trees are shown below:



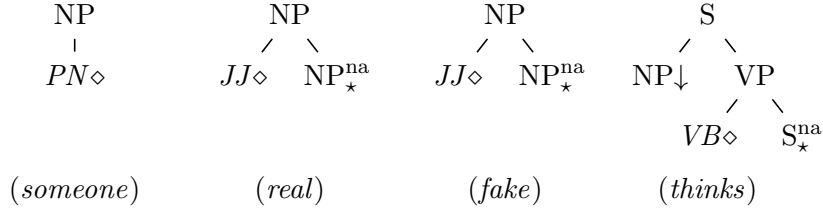
Then *likes* has contents  $c(likes) = q_S, q_{NP\downarrow}, q_{VP}, q_{NP\downarrow}$ ,  $c(Bill) = q_{NP}$ ,  $c(mushrooms) = q_{NP}$ , and  $c(possibly) = q_{VP}$ .

We now define a finite ranked alphabet  $\mathcal{F} \stackrel{\text{def}}{=} T_\alpha \uplus T_\beta \uplus \{\varepsilon^{(0)}\}$ . For an elementary tree  $\gamma \in T_\alpha \uplus T_\beta$ , its *rank* is  $r(\gamma) \stackrel{\text{def}}{=} |c(\gamma)|$  the length of its contents. For the symbol  $\varepsilon$ , its rank is  $r(\varepsilon) \stackrel{\text{def}}{=} 0$ . For a TAG  $\mathcal{G} = \langle N, \Sigma, T_\alpha, T_\beta, S \rangle$ , we construct a finite tree automaton  $\mathcal{A}_\mathcal{G} \stackrel{\text{def}}{=} \langle Q, \mathcal{F}, \delta, q_{S\downarrow} \rangle$  where  $Q$  and  $\mathcal{F}$  are defined as above and

$$\begin{aligned} \delta \stackrel{\text{def}}{=} & \{(q_{A\downarrow}, \alpha^{(r(\alpha))}, c(\alpha)) \mid A\downarrow \in N\downarrow, \alpha \in T_\alpha, \text{rl}(\alpha) = A\} \\ & \cup \{(q_A, \beta^{(r(\beta))}, c(\beta)) \mid A \in N, \beta \in T_\beta, \text{rl}(\beta) = A\} \\ & \cup \{(q_A, \varepsilon^{(0)}) \mid A \in N\} \end{aligned}$$

where ‘rl’ returns the root label of the tree.

- [1] 1. Give the finite automaton  $\mathcal{A}_{\mathcal{G}_1}$  associated with the example TAG  $\mathcal{G}_1$ .
- [1] 2. Modify your automaton in order to also handle the trees *someone*  $\in T_\alpha$  and *real, fake, thinks*  $\in T_\beta$  shown below, where  $PN_\diamond, JJ_\diamond, VB_\diamond \in \Sigma$ :



- [1] 3. The intention that our finite automaton generates the *derivation* language  $L_D(\mathcal{G}) \stackrel{\text{def}}{=} L(\mathcal{A}_{\mathcal{G}})$  of  $\mathcal{G}$ . Can you figure out what should be the derivation tree of ‘*Someone possibly thinks Bill likes mushrooms*’?
- [2] 4. Give a PDL node formula  $\varphi_1$  such that  $L(\mathcal{A}_{\mathcal{G}_1}) = \{t \in T(\mathcal{F}) \mid t, \text{root} \models \varphi_1\}$ .

## 1.1 Macro Tree Transducers

Let  $\mathcal{X}$  be a countable set of variables and  $\mathcal{Y}$  a countable set of parameters; we assume  $\mathcal{X}$  and  $\mathcal{Y}$  to be disjoint. For  $Q$  a ranked alphabet with arities greater than zero, we abuse notations and write  $Q(\mathcal{X})$  for the alphabet of pairs  $(q, x) \in Q \times \mathcal{X}$  with  $\text{arity}(q, x) \stackrel{\text{def}}{=} \text{arity}(q) - 1$ . This is just for convenience, and  $(q, x)(t_1, \dots, t_n)$  is really the term  $q(x, t_1, \dots, t_n)$ .

**Syntax.** A *macro tree transducer* (NMTT) is a tuple  $\mathcal{M} = (Q, \mathcal{F}, \mathcal{F}', \Delta, I)$  where  $Q$  is a finite set of states, all of arity  $\geq 1$ ,  $\mathcal{F}$  and  $\mathcal{F}'$  are finite ranked alphabets,  $I \subseteq Q_1$  is a set of root states of arity one, and  $\Delta$  is a finite set of term rewriting rules of the form  $q(f(x_1, \dots, x_n), y_1, \dots, y_p) \rightarrow e$  where  $q \in Q_{1+p}$  for some  $p \geq 0$ ,  $f \in \mathcal{F}_n$  for some  $n \in \mathbb{N}$ , and  $e \in T(\mathcal{F}' \cup Q(\mathcal{X}_n, \mathcal{Y}_p))$ . Note that this imposes that any occurrence in  $e$  of a variable  $x \in \mathcal{X}$  must be as the first argument of a state  $q \in Q$ .

**Inside-Out Semantics.** Given a NMTT, the *inside-out* rewriting relation over trees in  $T(\mathcal{F} \cup \mathcal{F}' \cup Q)$  is defined by:  $t \xrightarrow{\text{IO}} t'$  if there exist a rule  $q(f(x_1, \dots, x_n), y_1, \dots, y_p) \rightarrow e$  in  $\Delta$ , a context  $C \in C(\mathcal{F} \cup \mathcal{F}' \cup Q)$ , and two substitutions  $\sigma: \mathcal{X} \rightarrow T(\mathcal{F})$  and  $\rho: \mathcal{Y} \rightarrow T(\mathcal{F}')$  such that  $t = C[q(f(x_1, \dots, x_n), y_1, \dots, y_p)\sigma\rho]$  and  $t' = C[e\sigma\rho]$ . In other words, in inside-out rewriting, when applying a rewriting rule  $q(f(x_1, \dots, x_n), y_1, \dots, y_p) \rightarrow e$ , the parameters  $y_1, \dots, y_p$  must be mapped to trees in  $T(\mathcal{F}')$ , with no remaining states from  $Q$ .

Similarly to context-free tree grammars, the *inside-out* transduction  $\llbracket \mathcal{M} \rrbracket_{\text{IO}}$  realised by  $\mathcal{M}$  is defined through inside-out rewriting semantics:

$$\llbracket \mathcal{M} \rrbracket_{\text{IO}} \stackrel{\text{def}}{=} \{(t, t') \in T(\mathcal{F}) \times T(\mathcal{F}') \mid \exists q \in I. q(t) \xrightarrow{\text{IO}}^* t'\}.$$

**Example 1.** Let  $\mathcal{F} \stackrel{\text{def}}{=} \{a^{(1)}, \$^{(0)}\}$  and  $\mathcal{F}' \stackrel{\text{def}}{=} \{f^{(3)}, a^{(1)}, b^{(1)}, \$^{(0)}\}$ . Consider the NMTT  $\mathcal{M} = (\{q^{(1)}, q'^{(3)}\}, \mathcal{F}, \mathcal{F}', \Delta, \{q\})$  with  $\Delta$  the set of rules

$$\begin{array}{ll} q(a(x_1)) \rightarrow q'(x_1, \$, \$) & q'(\$ , y_1, y_2) \rightarrow f(y_1, y_1, y_2) \\ q'(a(x_1), y_1, y_2) \rightarrow q'(x_1, a(y_1), a(y_2)) & q'(a(x_1), y_1, y_2) \rightarrow q'(x_1, a(y_1), b(y_2)) \\ q'(a(x_1), y_1, y_2) \rightarrow q'(x_1, b(y_1), a(y_2)) & q'(a(x_1), y_1, y_2) \rightarrow q'(x_1, b(y_1), b(y_2)) \end{array}$$

Then we have for instance the following derivation:

$$\begin{aligned} q(a(a(a(\$)))) &\xrightarrow{\text{IO}} q'(a(a(\$)), \$, \$) \\ &\xrightarrow{\text{IO}} q'(a(\$), b(\$), b(\$)) \\ &\xrightarrow{\text{IO}} q'(\$ , a(b(\$)), b(b(\$))) \\ &\xrightarrow{\text{IO}} f(a(b(\$)), a(b(\$)), b(b(\$))) \end{aligned}$$

showing that  $(a(a(a(\$))), f(a(b(\$)), a(b(\$)), b(b(\$))) \in \llbracket \mathcal{M} \rrbracket$ .

**Exercise 2** (Monadic trees). An NMTT  $\mathcal{M}$  is called *linear* and *non-deleting* if, in every rule  $q(f(x_1, \dots, x_n), y_1, \dots, y_p) \rightarrow e$  in  $\Delta$ , the term  $e$  is linear in  $\{x_1, \dots, x_n\}$  and  $\{y_1, \dots, y_p\}$ , i.e. each variable and each parameter occurs exactly once in the term  $e$ .

Let  $\mathcal{F}' \stackrel{\text{def}}{=} \{a^{(1)}, b^{(1)}, \$^{(0)}\}$ . Observe that trees in  $T(\mathcal{F}')$  are in bijection with contexts in  $C(\mathcal{F}')$  and words over  $\{a, b\}^*$ . For a context  $C$  from  $C(\mathcal{F}')$ , we write  $C^R$  for its *mirror context*, read from the leaf to the root. For instance, if  $C = a(b(a(a(\square))))$ , then  $C^R = a(a(b(a(\square))))$ . Formally, let  $n \in \mathbb{N}$  be such that  $\text{dom } C = \{0^m \mid m \leq n\}$ ; then  $C(0^n) = \square$  and  $C(0^m) \in \{a, b\}$  for  $m < n$ . Then  $C^R$  is defined by  $\text{dom } C^R \stackrel{\text{def}}{=} \text{dom } C$ ,  $C^R(0^n) \stackrel{\text{def}}{=} \square$ , and  $C^R(0^m) \stackrel{\text{def}}{=} C^R(0^{n-m})$  for all  $m < n$ .

- [2] 1. Give a linear and non-deleting NMTT  $\mathcal{M}$  from  $\mathcal{F}'$  to  $\mathcal{F}'$  such that  $\llbracket \mathcal{M} \rrbracket_{\text{IO}} = \{(C[\$], C[C^R[\$]]) \mid C \in C(\mathcal{F}')\}$ . In terms of words over  $\{a, b\}^*$ , this transducer maps  $w$  to the palindrome  $ww^R$ . Is  $\llbracket \mathcal{M} \rrbracket_{\text{IO}}(T(\mathcal{F}'))$  a recognisable tree language?

**Exercise 3** (From derivation to derived trees). Consider again the tree adjoining grammar  $\mathcal{G}_1$  from Exercise 1.

- [3] 1. Give a linear non-deleting NMTT  $\mathcal{M}_1$  that maps the derivation trees of  $\mathcal{G}_1$  to its derived trees. Formally, we want  $\text{dom}(\llbracket \mathcal{M}_1 \rrbracket_{\text{IO}}) = L_D(\mathcal{G}_1)$  and  $\llbracket \mathcal{M}_1 \rrbracket_{\text{IO}}(T(\mathcal{F})) = L_T(\mathcal{G}_1)$ .

**Exercise 4** (Context-free tree grammar). Let  $\mathcal{M} = (Q, \mathcal{F}, \mathcal{F}', \Delta, I)$  be an NMTT and  $\mathcal{A} = (Q', \mathcal{F}, \delta, I')$  be an NFTA.

- [5] 1. Show that  $L \stackrel{\text{def}}{=} \llbracket \mathcal{M} \rrbracket_{\text{IO}}(L(\mathcal{A})) = \{t' \in T(\mathcal{F}') \mid \exists t \in L(\mathcal{A}). (t, t') \in \llbracket \mathcal{M} \rrbracket_{\text{IO}}\}$  is an inside-out context-free tree language, i.e., show how to construct a CFTG  $\mathcal{G} = (N, \mathcal{F}', S, R)$  such that  $L_{\text{IO}}(\mathcal{G}) = L$ .

## 2 Scope ambiguities and propositional attitudes

**Exercise 5.** One considers the two following signatures:

$$\begin{aligned}
 (\Sigma_{\text{ABS}}) \quad & \text{SUZY} : NP \\
 & \text{BILL} : NP \\
 & \text{MUSHROOM} : N \\
 & \text{A} : N \rightarrow (NP \rightarrow S) \rightarrow S \\
 & \text{A}_{\text{inf}} : N \rightarrow (NP \rightarrow S_{\text{inf}}) \rightarrow S_{\text{inf}} \\
 & \text{EAT} : NP \rightarrow NP \rightarrow S_{\text{inf}} \\
 & \text{TO} : (NP \rightarrow S_{\text{inf}}) \rightarrow VP \\
 & \text{WANT} : VP \rightarrow NP \rightarrow S
 \end{aligned}$$

$$\begin{aligned}
 (\Sigma_{\text{S-FORM}}) \quad & \mathbf{Suzy} : \text{string} \\
 & \mathbf{Bill} : \text{string} \\
 & \mathbf{mushroom} : \text{string} \\
 & \mathbf{a} : \text{string} \\
 & \mathbf{eat} : \text{string} \\
 & \mathbf{to} : \text{string} \\
 & \mathbf{wants} : \text{string}
 \end{aligned}$$

where, as usual, *string* is defined to be  $o \rightarrow o$  for some atomic type  $o$ .

One then defines a morphism ( $\mathcal{L}_{\text{SYNT}} : \Sigma_{\text{ABS}} \rightarrow \Sigma_{\text{S-FORM}}$ ) as follows:

$$\begin{aligned}
 (\mathcal{L}_{\text{SYNT}}) \quad & NP := \text{string} \\
 & N := \text{string} \\
 & S := \text{string} \\
 & S_{\text{inf}} := \text{string} \\
 & VP := \text{string} \\
 & \text{SUZY} := \mathbf{Suzy} \\
 & \text{BILL} := \mathbf{Bill} \\
 & \text{MUSHROOM} := \mathbf{mushroom} \\
 & \text{A} := \lambda xy. y (\mathbf{a} + x) \\
 & \text{A}_{\text{inf}} := \lambda xy. y (\mathbf{a} + x) \\
 & \text{EAT} := \lambda xy. y + \mathbf{eat} + x \\
 & \text{TO} := \lambda x. \mathbf{to} + (x \epsilon) \\
 & \text{WANT} := \lambda xy. y + \mathbf{wants} + x
 \end{aligned}$$

where, as usual, the concatenation operator (+) is defined as functional composition, and the empty word ( $\epsilon$ ) as the identity function.

- [1] 1. Give two different terms, say  $t_0$  and  $t_1$ , such that:

$$\mathcal{L}_{\text{SYNT}}(t_0) = \mathcal{L}_{\text{SYNT}}(t_1) = \mathbf{Bill} + \mathbf{wants} + \mathbf{to} + \mathbf{eat} + \mathbf{a} + \mathbf{mushroom}$$

**Exercise 6.** One considers a third signature :

$$\begin{aligned}
 (\Sigma_{\text{L-FORM}}) \quad & \mathbf{suzy} : \text{ind} \\
 & \mathbf{bill} : \text{ind} \\
 & \mathbf{mushroom} : \text{ind} \rightarrow \text{prop} \\
 & \mathbf{eat} : \text{ind} \rightarrow \text{ind} \rightarrow \text{prop} \\
 & \mathbf{want} : \text{ind} \rightarrow \text{prop} \rightarrow \text{prop}
 \end{aligned}$$

One then defines a morphism  $(\mathcal{L}_{\text{SEM}} : \Sigma_{\text{ABS}} \rightarrow \Sigma_{\text{L-FORM}})$  as follows:

$$\begin{aligned}
 (\mathcal{L}_{\text{SEM}}) \quad & NP := \text{ind} \\
 & N := \text{ind} \rightarrow \text{prop} \\
 & S := \text{prop} \\
 & S_{\text{inf}} := \text{prop} \\
 & VP := \text{ind} \rightarrow \text{prop} \\
 & \text{SUZY} := \mathbf{suzy} \\
 & \text{BILL} := \mathbf{bill} \\
 & \text{MUSHROOM} := \mathbf{mushroom} \\
 & A := \lambda xy. \exists z. (x z) \wedge (y z) \\
 & A_{\text{inf}} := \lambda xy. \exists z. (x z) \wedge (y z) \\
 & \text{EAT} := \lambda xy. \mathbf{eat} \ y \ x \\
 & \text{TO} := \lambda x. x \\
 & \text{WANT} := \lambda xy. \mathbf{want} \ y \ (x \ y)
 \end{aligned}$$

- [1] 1. Compute the different semantic interpretations of the sentence *Bill wants to eat a mushroom*, i.e., compute  $\mathcal{L}_{\text{SEM}}(t_0)$  and  $\mathcal{L}_{\text{SEM}}(t_1)$ .

**Exercise 7.** One extends  $\Sigma_{\text{ABS}}$  and  $\mathcal{L}_{\text{SYNT}}$ , respectively, as follows:

$$\begin{aligned}
 (\Sigma_{\text{ABS}}) \quad & \text{WANT2} : VP \rightarrow NP \rightarrow S \\
 (\mathcal{L}_{\text{SYNT}}) \quad & \text{WANT2} := \lambda xyz. z + \mathbf{wants} + x + y
 \end{aligned}$$

- [1] 1. Extend  $\mathcal{L}_{\text{SEM}}$  accordingly in order to allow for the analysis of a sentence such as *Bill wants Suzy to eat a mushroom*.

**Exercise 8.** One extends  $\Sigma_{\text{ABS}}$  as follows:

$$\begin{aligned}
 (\Sigma_{\text{ABS}}) \quad & \text{EVERYONE} : (NP \rightarrow S) \rightarrow S \\
 & \text{THINK} : S \rightarrow NP \rightarrow S
 \end{aligned}$$

in order to allow for the analysis of the following sentence:

- (1) *everyone thinks Bill wants to eat a mushroom.*

- [3] 1. Extend  $\Sigma_{\text{S-FORM}}$ ,  $\mathcal{L}_{\text{SYNT}}$ ,  $\Sigma_{\text{L-FORM}}$ , and  $\mathcal{L}_{\text{SEM}}$  accordingly.
- [2] 2. Give the several  $\lambda$ -terms that correspond to the different parsings of sentence (1).