

# Structures Informatiques et Logiques pour la Modélisation Linguistique (MPRI 2.27.1 - second part)

Philippe de Groote

Inria

2015-2016

- 1 Compositionality
- 2 Context-free grammars
  - Example
  - Abstract syntax as heterogeneous algebra
  - Homomorphism
- 3 Higher-order abstract syntax
  - Higher-order signature
  - Examples
  - Higher-order homomorphism
- 4 Abstract categorial grammars
  - Definition
  - Generated languages
  - Example
  - Language-theoretic example
  - Expressive power

# Syntax/semantics Interface

- 1 Compositionality
- 2 Context-free grammars
  - Example
  - Abstract syntax as heterogeneous algebra
  - Homomorphism
- 3 Higher-order abstract syntax
  - Higher-order signature
  - Examples
  - Higher-order homomorphism
- 4 Abstract categorial grammars
  - Definition
  - Generated languages
  - Example
  - Language-theoretic example
  - Expressive power

# Compositionality

## Compositionality principle

- The meaning of a complex expression is determined by the meanings of its constituents and by the formation rules used to combine them.

## Montague's homomorphism requirement

- Semantics must be obtained as a homomorphic image of syntax.

## Contextuality principle

- The meaning of an expression is determined by the meanings of the complex expressions of which it is a constituent.

# Syntax/semantics Interface

- 1 Compositionality
- 2 Context-free grammars
  - Example
  - Abstract syntax as heterogeneous algebra
  - Homomorphism
- 3 Higher-order abstract syntax
  - Higher-order signature
  - Examples
  - Higher-order homomorphism
- 4 Abstract categorial grammars
  - Definition
  - Generated languages
  - Example
  - Language-theoretic example
  - Expressive power

# Syntax/semantics Interface

- 1 Compositionality
- 2 Context-free grammars
  - Example
    - Abstract syntax as heterogeneous algebra
    - Homomorphism
- 3 Higher-order abstract syntax
  - Higher-order signature
  - Examples
  - Higher-order homomorphism
- 4 Abstract categorial grammars
  - Definition
  - Generated languages
  - Example
  - Language-theoretic example
  - Expressive power

# Rule to rule semantics

Context free grammar:

$$S \rightarrow NP VP$$

$$VP \rightarrow tV NP$$

$$tV \rightarrow \text{loves}$$

$$NP \rightarrow \text{John}$$

$$NP \rightarrow \text{somebody}$$

Semantic rules:

$$[[S]] = [[NP]] [[VP]]$$

$$[[VP]] = \lambda x. [[NP]] (\lambda y. [[tV]] y x)$$

$$[[tV]] = \lambda y. \lambda x. \text{love } x y$$

$$[[NP]] = \lambda k. k \mathbf{j}$$

$$[[NP]] = \lambda k. \exists y. k y$$

# Syntax/semantics Interface

- 1 Compositionality
- 2 Context-free grammars
  - Example
  - **Abstract syntax as heterogeneous algebra**
  - Homomorphism
- 3 Higher-order abstract syntax
  - Higher-order signature
  - Examples
  - Higher-order homomorphism
- 4 Abstract categorial grammars
  - Definition
  - Generated languages
  - Example
  - Language-theoretic example
  - Expressive power



# Signature associated to a CFG

Context free grammar:

$$S \rightarrow NP VP \quad (p_1)$$

$$VP \rightarrow tV NP \quad (p_2)$$

$$tV \rightarrow \text{loves} \quad (p_3)$$

$$NP \rightarrow \text{John} \quad (p_4)$$

$$NP \rightarrow \text{somebody} \quad (p_5)$$

Associate a *sort* to each non-terminal, and an *operator* to each production rule:

$$p_1 : NP \times VP \rightarrow S$$

$$p_2 : tV \times NP \rightarrow VP$$

$$p_3 : tV$$

$$p_4 : NP$$

$$p_5 : NP$$

# Syntax/semantics Interface

- 1 Compositionality
- 2 Context-free grammars
  - Example
  - Abstract syntax as heterogeneous algebra
  - **Homomorphism**
- 3 Higher-order abstract syntax
  - Higher-order signature
  - Examples
  - Higher-order homomorphism
- 4 Abstract categorial grammars
  - Definition
  - Generated languages
  - Example
  - Language-theoretic example
  - Expressive power

# Syntactic and semantic algebras

Syntactic algebra:

$$p_1 : \text{NP} \times \text{VP} \rightarrow \text{S}$$

$$p_2 : \text{tV} \times \text{NP} \rightarrow \text{VP}$$

$$p_3 : \text{tV}$$

$$p_4 : \text{NP}$$

$$p_5 : \text{NP}$$

Semantic algebra:

$$f_1(a, b) = a b \quad : \text{NP}^* \times \text{VP}^* \rightarrow \text{S}^*$$

$$f_2(a, b) = \lambda x. b (\lambda y. a y x) \quad : \text{tV}^* \times \text{NP}^* \rightarrow \text{VP}^*$$

$$f_3 = \lambda y. \lambda x. \text{love } x y \quad : \text{tV}^*$$

$$f_4 = \lambda k. k \mathbf{j} \quad : \text{NP}^*$$

$$f_5 = \lambda k. \exists y. k y \quad : \text{NP}^*$$

Where:

$$\begin{aligned}S^* &= o \\VP^* &= l \rightarrow o \\tV^* &= l \rightarrow l \rightarrow o \\NP^* &= (l \rightarrow o) \rightarrow o\end{aligned}$$

# Syntax/semantics Interface

- 1 Compositionality
- 2 Context-free grammars
  - Example
  - Abstract syntax as heterogeneous algebra
  - Homomorphism
- 3 Higher-order abstract syntax
  - Higher-order signature
  - Examples
  - Higher-order homomorphism
- 4 Abstract categorial grammars
  - Definition
  - Generated languages
  - Example
  - Language-theoretic example
  - Expressive power

# Syntax/semantics Interface

- 1 Compositionality
- 2 Context-free grammars
  - Example
  - Abstract syntax as heterogeneous algebra
  - Homomorphism
- 3 Higher-order abstract syntax
  - Higher-order signature
  - Examples
  - Higher-order homomorphism
- 4 Abstract categorial grammars
  - Definition
  - Generated languages
  - Example
  - Language-theoretic example
  - Expressive power

# Definition

Let  $\mathcal{T}(A)$  be the set of functional types built on the set of atomic types  $A$ , i.e.:

$$\mathcal{T}(A) ::= A \mid (\mathcal{T}(A) \rightarrow \mathcal{T}(A))$$

A higher-order signature is a triple  $\Sigma = \langle A, C, \tau \rangle$ , where:

- $A$  is a finite set of atomic types;
- $C$  is a finite set of constants;
- $\tau : C \rightarrow \mathcal{T}(A)$  is a function that assigns each constant in  $C$  with a simple type built on  $A$ .

We use  $\Lambda(\Sigma)$  to denote the set of simply typed  $\lambda$ -terms built upon a higher-order linear signature  $\Sigma$ .

We use  $\Lambda^0(\Sigma)$  to denote the set of linear  $\lambda$ -terms built upon a higher-order signature  $\Sigma$ .

# Syntax/semantics Interface

- 1 Compositionality
- 2 Context-free grammars
  - Example
  - Abstract syntax as heterogeneous algebra
  - Homomorphism
- 3 Higher-order abstract syntax
  - Higher-order signature
  - **Examples**
  - Higher-order homomorphism
- 4 Abstract categorial grammars
  - Definition
  - Generated languages
  - Example
  - Language-theoretic example
  - Expressive power



# Trees

$p_1 : NP \rightarrow VP \rightarrow S$

$p_2 : tV \rightarrow NP \rightarrow VP$

$p_3 : tV$

$p_4 : NP$

$p_5 : NP$

# Strings

A canonical way of representing strings as  $\lambda$ -terms consists of representing them as function compositions:

$$'abbac' = \lambda x. a (b (b (a (c x))))$$

In this setting:

$$\begin{aligned} \epsilon &\triangleq \lambda x. x \\ \alpha + \beta &\triangleq \lambda \alpha. \lambda \beta. \lambda x. \alpha (\beta x) \end{aligned}$$

# First-order logic

zero : term

succ : term  $\rightarrow$  term

add : term  $\rightarrow$  term  $\rightarrow$  term

$\vdots$

eq : term  $\rightarrow$  term  $\rightarrow$  prop

not : prop  $\rightarrow$  prop

and : prop  $\rightarrow$  prop  $\rightarrow$  prop

forall : (term  $\rightarrow$  prop)  $\rightarrow$  prop

## linguistic example

⋮  
a :  $N \rightarrow NP$   
wise :  $N \rightarrow N$   
man :  $N$   
who :  $(NP \rightarrow S) \rightarrow N \rightarrow N$   
loves :  $NP \rightarrow NP \rightarrow S$   
himself :  $(NP \rightarrow NP \rightarrow S) \rightarrow NP \rightarrow S$   
⋮

# Syntax/semantics Interface

- 1 Compositionality
- 2 Context-free grammars
  - Example
  - Abstract syntax as heterogeneous algebra
  - Homomorphism
- 3 Higher-order abstract syntax
  - Higher-order signature
  - Examples
  - **Higher-order homomorphism**
- 4 Abstract categorial grammars
  - Definition
  - Generated languages
  - Example
  - Language-theoretic example
  - Expressive power

# Definition

Given two higher-order signatures  $\Sigma_1 = \langle A_1, C_1, \tau_1 \rangle$  and  $\Sigma_2 = \langle A_2, C_2, \tau_2 \rangle$ , a higher-order homomorphism  $\mathcal{H} = \langle \eta, \theta \rangle$  from  $\Sigma_1$  to  $\Sigma_2$  is generated by two functions:

- $\eta : A_1 \rightarrow \mathcal{T}(A_2)$ ,
- $\theta : C_1 \rightarrow \Lambda(\Sigma_2)$ ,

such that

$$\vdash_{\Sigma_2} \theta(c) : \hat{\eta}(\tau_1(c)).$$

where  $\hat{\eta}$  is the homomorphic extension of  $\eta$ , i.e.:

- $\hat{\eta}(a) = \eta(a)$ , for  $a \in A_1$ .
- $\hat{\eta}(\alpha \rightarrow \beta) = \hat{\eta}(\alpha) \rightarrow \hat{\eta}(\beta)$ .

# Syntax/semantics Interface

- 1 Compositionality
- 2 Context-free grammars
  - Example
  - Abstract syntax as heterogeneous algebra
  - Homomorphism
- 3 Higher-order abstract syntax
  - Higher-order signature
  - Examples
  - Higher-order homomorphism
- 4 Abstract categorical grammars
  - Definition
  - Generated languages
  - Example
  - Language-theoretic example
  - Expressive power

# Syntax/semantics Interface

- 1 Compositionality
- 2 Context-free grammars
  - Example
  - Abstract syntax as heterogeneous algebra
  - Homomorphism
- 3 Higher-order abstract syntax
  - Higher-order signature
  - Examples
  - Higher-order homomorphism
- 4 **Abstract categorical grammars**
  - **Definition**
  - Generated languages
  - Example
  - Language-theoretic example
  - Expressive power



# Vocabularies and Lexicon

A vocabulary is defined to be a higher-order signature.

Given two vocabularies  $\Sigma_1$  and  $\Sigma_2$ , a lexicon  $\mathcal{L}$  from  $\Sigma_1$  to  $\Sigma_2$  is defined to be a linear higher-order homomorphism  $\mathcal{L} : \Sigma_1 \rightarrow \Sigma_2$ .

# Definition

An abstract categorial grammar is a quadruple

$$\mathcal{G} = \langle \Sigma_1, \Sigma_2, \mathcal{L}, s \rangle$$

where :

- $\Sigma_1 = \langle A_1, C_1, \tau_1 \rangle$  and  $\Sigma_2 = \langle A_2, C_2, \tau_2 \rangle$  are two higher-order linear signatures;  $\Sigma_1$  is called the abstract vocabulary and  $\Sigma_2$  is called the object vocabulary;
- $\mathcal{L} : \Sigma_1 \rightarrow \Sigma_2$  is a lexicon from the abstract vocabulary to the object vocabulary;
- $s \in \mathcal{T}(A_1)$  is a type of the abstract vocabulary; it is called the distinguished type of the grammar.

# Syntax/semantics Interface

- 1 Compositionality
- 2 Context-free grammars
  - Example
  - Abstract syntax as heterogeneous algebra
  - Homomorphism
- 3 Higher-order abstract syntax
  - Higher-order signature
  - Examples
  - Higher-order homomorphism
- 4 **Abstract categorical grammars**
  - Definition
  - **Generated languages**
  - Example
  - Language-theoretic example
  - Expressive power

# Abstract and object language

Let  $\Lambda^0(\Sigma)$  be the set of linear  $\lambda$ -terms built upon a higher-order signature  $\Sigma$ . The abstract language generated by  $\mathcal{G}$  ( $\mathcal{A}(\mathcal{G})$ ) is defined as follows:

$$\mathcal{A}(\mathcal{G}) = \{t \in \Lambda^0(\Sigma_1) \mid \vdash_{\Sigma_1} t : s \text{ is derivable}\}$$

The object language generated by  $\mathcal{G}$  ( $\mathcal{O}(\mathcal{G})$ ) is defined to be the image of the abstract language by the term homomorphism induced by the lexicon  $\mathcal{L}$ :

$$\mathcal{O}(\mathcal{G}) = \{t \in \Lambda^0(\Sigma_2) \mid \exists u \in \mathcal{A}(\mathcal{G}). t = \mathcal{L}(u)\}$$

# Syntax/semantics Interface

- 1 Compositionality
- 2 Context-free grammars
  - Example
  - Abstract syntax as heterogeneous algebra
  - Homomorphism
- 3 Higher-order abstract syntax
  - Higher-order signature
  - Examples
  - Higher-order homomorphism
- 4 **Abstract categorial grammars**
  - Definition
  - Generated languages
  - **Example**
  - Language-theoretic example
  - Expressive power

# Signatures

$\Sigma_0$ :  $N, NP, S$  : type  
 $J$  :  $NP$   
 $U$  :  $N$   
 $A$  :  $N \multimap ((NP \multimap S) \multimap S)$   
 $S$  :  $((NP \multimap S) \multimap S) \multimap (NP \multimap S)$

$\Sigma_1$ :  $/a/, /John/, /seeks/, /unicorn/$  :  $STRING$

$\Sigma_2$ :  $\iota, o$  : type  
 $\wedge$  :  $o \multimap (o \multimap o)$   
 $\exists$  :  $(\iota \rightarrow o) \multimap o$   
 $\mathbf{j}$  :  $\iota$   
 $\mathbf{unicorn}$  :  $\iota \multimap o$   
 $\mathbf{find}$  :  $\iota \multimap (\iota \multimap o)$   
 $\mathbf{try}$  :  $\iota \multimap ((\iota \multimap o) \multimap o)$

## Lexicons

$$\mathcal{L}_1 : \Sigma_0 \rightarrow \Sigma_1$$

$$\begin{aligned} N, NP, S &:= \text{STRING} \\ J &:= \text{/John/} \\ U &:= \text{/unicorn/} \\ A &:= \lambda x. \lambda p. p (\text{/a/} + x) \\ S &:= \lambda p. \lambda x. p (\lambda y. x + \text{/seeks/} + y) \end{aligned}$$

$$\mathcal{L}_2 : \Sigma_0 \rightarrow \Sigma_2$$

$$\begin{aligned} N &:= \iota \rightarrow o \\ NP &:= \iota \\ S &:= o \\ J &:= \mathbf{j} \\ U &:= \lambda x. \mathbf{unicorn} x \\ A &:= \lambda p. \lambda q. \exists x. p x \wedge q x \\ S &:= \lambda p. \lambda x. \mathbf{try} x (\lambda y. p (\lambda z. \mathbf{find} y z)) \end{aligned}$$

# Syntax/semantics transfer

We have that:

$$\mathcal{L}_1(\mathbf{S}(\mathbf{A} \mathbf{U}) \mathbf{J}) = /John/ + /seeks/ + /a/ + /unicorn/$$

$$\mathcal{L}_2(\mathbf{S}(\mathbf{A} \mathbf{U}) \mathbf{J}) = \mathbf{try} \mathbf{j}(\lambda x. \exists y. \mathbf{unicorn} \ y \wedge \mathbf{find} \ x \ y)$$

$$\mathcal{L}_1(\mathbf{A} \mathbf{U}(\lambda x. \mathbf{S}(\lambda k. k \ x) \mathbf{J})) = /John/ + /seeks/ + /a/ + /unicorn/$$

$$\mathcal{L}_2(\mathbf{A} \mathbf{U}(\lambda x. \mathbf{S}(\lambda k. k \ x) \mathbf{J})) = \exists y. \mathbf{unicorn} \ y \wedge \mathbf{try} \ \mathbf{j}(\lambda x. \mathbf{find} \ x \ y)$$



# Syntax/semantics Interface

- 1 Compositionality
- 2 Context-free grammars
  - Example
  - Abstract syntax as heterogeneous algebra
  - Homomorphism
- 3 Higher-order abstract syntax
  - Higher-order signature
  - Examples
  - Higher-order homomorphism
- 4 **Abstract categorial grammars**
  - Definition
  - Generated languages
  - Example
  - **Language-theoretic example**
  - Expressive power

# Context-free grammars

$$S \rightarrow \epsilon$$

$$S \rightarrow aSb$$

Abstract vocabulary :

$$S : \text{type}$$

$$A : S$$

$$B : S \multimap S$$

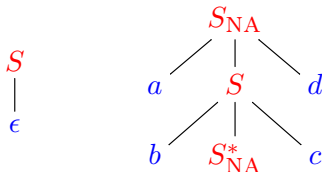
Lexicon :

$$S := \text{string}$$

$$A := \epsilon$$

$$B := \lambda x. a + x + b$$

# Tree-adjoining grammars



Abstract vocabulary :

$S, S', S''$  : type

$A : (S'' \multimap S') \multimap S$

$B : S'' \multimap (S'' \multimap S') \multimap S'$

$C : S'' \multimap S'$

Lexicon :

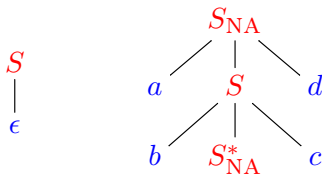
$S, S', S'' := string$

$A := \lambda f. f \epsilon$

$B := \lambda x. \lambda g. a + g(b + x + c) + d$

$C := \lambda x. x$

# Tree-adjoining grammars revisited



Abstract vocabulary :

$T, S$  : type

$A : T \multimap S$

$B : T \multimap T$

$C : T$

Lexicon :

$S := \text{string}$

$T := \text{string} \multimap \text{string}$

$A := \lambda f. f \epsilon$

$B := \lambda g. \lambda x. a + g(b + x + c) + d$

$C := \lambda x. x$

# Syntax/semantics Interface

- 1 Compositionality
- 2 Context-free grammars
  - Example
  - Abstract syntax as heterogeneous algebra
  - Homomorphism
- 3 Higher-order abstract syntax
  - Higher-order signature
  - Examples
  - Higher-order homomorphism
- 4 Abstract categorical grammars
  - Definition
  - Generated languages
  - Example
  - Language-theoretic example
  - Expressive power

# Abstract categorial hierarchy

Let  $\mathcal{G} = \langle \Sigma, \Xi, \mathcal{L}, s \rangle$ . Define the *order* and the *complexity* of  $\mathcal{G}$ :

- $\text{ord}(\mathcal{G}) = \max\{\text{ord}(\tau_\Sigma(c)) \mid c \in C_\Sigma\}$ .
- $\text{comp}(\mathcal{G}) = \max\{\text{ord}(\mathcal{L}(a)) \mid a \in A_\Sigma\}$ .

Define:

- $\mathbf{G}(m, n) = \{\mathcal{G} \mid \text{ord}(\mathcal{G}) \leq m \text{ and } \text{comp}(\mathcal{G}) \leq n\}$
- $\mathcal{L}(m, n) = \{\mathcal{O}(\mathcal{G}) \mid \mathcal{G} \in \mathbf{G}(m, n)\}$

For all  $m, n \geq 1$ ,  $\mathcal{L}(m, n + 1) \subset \mathcal{L}(m + 1, n)$ .

For all  $m, n \geq 1$ ,  $\mathcal{L}(m + 3, n) \subset \mathcal{L}(m + 2, n + 1)$ .

# Second-order hierarchy of string languages

$\mathcal{L}(2, 1)$	regular languages
$\mathcal{L}(2, 2)$	context-free languages
$\mathcal{L}(2, 3)$	well-nested mildly context-sensitive languages
$\mathcal{L}(2, 4)$	mildly context-sensitive languages
$\mathcal{L}(2, 4 + n)$	$\mathcal{L}(2, 4)$

# Membership

## General case

- (Universal) membership is decidable if and only if the multiplicative-exponential fragment of linear logic is decidable.

## Lexicalized case

- (Universal) membership is NP-complete.

## Second-order case

- Universal membership is NP-complete, and membership is polynomial.