# Bounding Reactions in the  $\pi$ -calculus using Interpretations

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# **Outline**

## **[Motivations](#page-2-0)**











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# Implicit Computational Complexity

ICC aims at studying "programs" resource consumption.

On sequential models:

- <sup>1</sup> Function algebra (Bellantoni, Cook, Leivant ...)
- <sup>2</sup> Lambda-calculi:
	- Light logics (Baillot, Dal Lago, Gaboardi, Girard, Lafont, Ronchi Della Rocca, ...)
- TRS:
	- Interpretations methods (Bonfante, Marion, Moyen, Péchoux, ...)
- **4** Imperative programs:
	- Matrices (Ben-Amram, Jones, Kristiansen, Moyen),
	- Tiered types (Hainry, Marion, Péchoux,...)

<span id="page-2-0"></span>and what for concurrent models ?

# Concurrent resources

Candidates for time:

- the reduction length
- the reduction length on a given set of channels

 $\bullet$  ...

Candidates for space:

- the number of concurrent processes
- the size of sent values
- **•** the number of channel creations

 $\bullet$  ...

Candidates for complexity classes : Pspace, NP,...

- if all reduction length are polynomially bounded
- depending on the considered semantics

# State of the art: time

### If time = reduction length: a polynomial upper bound can be enforced

- using type systems
	- Demangeon, Hirschkoff and Sangiorgi
	- Deng and Sangiorgi
- using linear and light logics
	- Yoshida, Berger and Honda
	- Dal Lago, Martini and Sangiorgi
	- Madet and Amadio

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# State of the art: space

If space = the number of active processes: an upper bound can be obtained:

- using abstract interpretations and relational domains
	- Kobayashi et al
	- Féret
- using lattice ordered monoids
	- Konig

Other approaches (Hennessy, Pym et al) have tried to develop bisimilarity theory wrt a notion of resource process

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# Our approach

We make use of previous notions of interpretations on TRS to study processes.

- the pros:
	- a complete analysis wrt strongly normalizing processes
	- with a greater expressivity on both time and space
- the cons:
	- the analysis is undecidable and needs to be restricted to be automated
- Nice side effect:
	- It shows the portability of existing techniques on TRS

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# **Methodology**

A two steps static analysis:

$$
\textit{Processes} \overset{[-]}{\xrightarrow{\hspace*{1.5cm}}} (\mathcal{R},\gtrsim) \overset{[-]}{\xrightarrow{\hspace*{1.5cm}}} (\mathbb{N}^{\textit{Names}\cup\{*\}},\succeq)
$$



- $\bullet$  R is the set of resource processes, processes with no recursion and no replication abilities
- $\bullet \geq$  is a preorder on resource processes (e.g. simulation, bisimulation, ...)
- 2 A fixed semantics  $\llbracket \rrbracket$ 
	- \* is a special symbol not in Names, for recursion
	- $\bullet$   $\succ$  is the standard order on functions of codomain N

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# The considered process calculus

Standard  $\pi$ -calculus with inductive data:

$$
e ::= n | 0, 1, 2, ... | op(\overrightarrow{e})
$$
 (Expressions)  
\n
$$
v ::= x | e
$$
 (Values)  
\n
$$
P ::= 0 | x(y).P | \overline{x}v.P | P | P
$$
 (Processes)  
\n
$$
| (vx)P | P + P | F(\overrightarrow{v})
$$

but with no replication : replaced by process calls and process definitions:

<span id="page-8-0"></span>
$$
F(\overrightarrow{X}) = \text{Case} \quad \overrightarrow{X} \text{ of } \overrightarrow{v_1} \rightarrow P_1, \ldots, \overrightarrow{v_k} \rightarrow P_k
$$

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# Why not considering replication ?

- There is a need of well-founded structures to apply TRS techniques.
- Replication is not suitable for resource control as:

!*P* can be considered as equivalent to *P*| . . . |*P* |!*P*, ∀*n*  $\overline{\phantom{a}}_{n}$ 

*n*

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process calls and definitions are standard :

recursion + pattern matching

• Replication !*P* can be easily encoded in our fragment by:

$$
F() = F() \, | P
$$

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# Standard operational semantics

$$
\frac{}{x(y).P \stackrel{xw}{\rightarrow} P\{w/y\}} (\text{In}) \qquad \qquad \frac{}{xw.P \stackrel{\overline{xw}}{\rightarrow} P} (\text{Out})
$$

$$
\frac{P \stackrel{\alpha}{\rightarrow} P' \quad \textit{bn}(\alpha) \cap \textit{fn}(Q) = \emptyset}{P \mid Q \stackrel{\alpha}{\rightarrow} P' \mid Q} \text{ (Par)} \quad \frac{P \stackrel{\alpha}{\rightarrow} P'}{P + Q \stackrel{\alpha}{\rightarrow} P'} \text{ (Sum)}
$$

$$
\frac{P \stackrel{\alpha}{\rightarrow} P' \quad x \notin n(\alpha)}{(\nu x)P \stackrel{\alpha}{\rightarrow} (\nu x)P'} \text{ (Res)}
$$

$$
\frac{P^{\frac{\overline{X}W}{\rightarrow}}P' \quad x \neq w}{(\nu w)P^{(\nu w)\overline{X}W} P'} \text{ (Open)}
$$

$$
\frac{P \stackrel{\alpha}{\rightarrow} P' \quad Q \equiv P \quad P' \equiv Q'}{Q \stackrel{\alpha}{\rightarrow} Q'} \text{ (Var)}
$$

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## Annotated operational semantics

We just keep into account the name of the reacting channel  $\tau(x)$  or the application of a process call  $x = *$ .

$$
\frac{P \stackrel{\overline{x}W}{\rightarrow} P' \quad Q \stackrel{xW}{\rightarrow} Q'}{P \mid Q \stackrel{\tau(x)}{\rightarrow} P' \mid Q'}
$$
 (Com)

$$
\frac{P \stackrel{(\nu W)\overline{X}W}{\rightarrow} P' \quad Q \stackrel{XW}{\rightarrow} Q' \quad W \notin \mathit{fn}(Q)}{P \mid Q \stackrel{\tau(X)}{\rightarrow} (\nu W)(P' \mid Q')}
$$
 (Close)

$$
\frac{\overrightarrow{V_i}\sigma = \overrightarrow{V} \quad F(\overrightarrow{V_i}) = P_i}{F(\overrightarrow{V}) \stackrel{\tau(*)}{\rightarrow} P_i \sigma}
$$
 (App)

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## Example: factorial

$$
fac(n, r) = \text{Case } n \text{ of}
$$
  
\n
$$
0 \rightarrow \overline{r}\langle 1 \rangle
$$
  
\n
$$
m + 1 \rightarrow (\nu r') (fac(m, r') | r'(x). \overline{r}\langle x \times (m + 1) \rangle)
$$

$$
fac(3, a) \stackrel{\tau(*)}{\rightarrow} (\nu r')(fac(2, r') | r'(x).\overline{a}\langle x \times 3 \rangle)
$$

$$
\stackrel{\tau(*)}{\rightarrow} \cdots \stackrel{\tau(*)}{\rightarrow} \cdots \stackrel{\tau(r')}{\rightarrow} \cdots \stackrel{\tau(r')}{\rightarrow} \overline{a}\langle 6 \rangle
$$

$$
\stackrel{3 \text{ times}}{\rightarrow} \cdots \stackrel{3 \text{ times}}{\rightarrow} \cdots
$$

The internal channels are abstracted as a whole.

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# Strongly normalizing channels and processes

For any substitution (in the usual sense)  $\sigma$ ,

$$
fac(n, r)\sigma = fac(k, a) \underbrace{\overset{\tau(*)}{\rightarrow} \dots \overset{\tau(*)}{\rightarrow} \dots \overset{\tau(r')}{\rightarrow} \dots \overset{\tau(r')}{\rightarrow} \overset{\tau(r')}{\rightarrow} \overset{\tau(r')}{\rightarrow}}_{k \text{ times}} \overline{a}\langle k! \rangle
$$

We write :

- $fac(n, r) \in SN_{\tau(*)}(k + 1),$
- *fac*(*n*, *r*)  $\in$  *SN*<sub> $\tau$ (*r'*)</sub>(*k*),
- *fac*(*n*, *r*)  $\in$  *SN*<sub> $\tau$ (*r*)</sub>(0)
- and  $fac(n, r) \in SN$

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## Resource processes

• Resource processes are trivial processes with no recursion and no replication:

 $\mathcal{R} \ni R, \mathcal{S} ::= 0 \mid \tau_{\chi}^{\mathit{n}}.R \mid \mu.R \mid R | R \mid R+R \mid (\nu \texttt{x}) R$ 

- where  $\tau_{\chi}^{\eta}.$ *R* will be able to perform *n* transitions labeled by  $\tau(\chi)$
- structural congruence is extended by:  $\tau_{\chi}^0 . \textit{R} \equiv \textit{R}$
- <span id="page-14-0"></span>• operational semantics is extended by:

$$
\frac{}{\tau_{\chi}^{n+1}.R \stackrel{\tau(\chi)}{\rightarrow} \tau_{\chi}^n. R} (n \geq 0)
$$

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# **Assignments**

Let  $D(P) = \{F_1, \dots, F_m\}$  be the definitions of P

### Definition (Assignment)

Given a process *P* s.t.  $D(P) = \{F_1, \dots, F_m\}$ , an assignment  $[-]$  is a total map from  $D(P)$  to *Values*  $\rightarrow \mathcal{R}$ .

i.e. [*F<sup>i</sup>* ] is a total function of arity *n* and *v*<sub>1</sub>,  $\cdots$  , *v*<sub>*n*</sub>  $\in$  *Values* implies that  $[F_i](v_1, \cdots, v_n) \in \mathcal{R}$ .

### Definition (Process assignment)

Given an assignment [−] and a process *P*, the process assignment [*P*] is the canonical extension of [−] to *P*:

$$
[P|Q] = [P][[Q] \qquad [F(\overrightarrow{V})] = [F](\overrightarrow{V}) \qquad \ldots
$$

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# Interpretation

### Definition (Interpretation)

Given a process *P*, an assignment [−] is an interpretation of *P* if for each process definition  $F \in D(P)$  of the shape:

$$
F(\overrightarrow{X}) = \text{Case} \quad \overrightarrow{X} \text{ of } \quad \overrightarrow{v_1} \to P_1, \ldots, \overrightarrow{v_k} \to P_k
$$

for each ground substitution  $\sigma$  the following holds:

 $\forall i \in \{1, \ldots, k\}, \; [F(\overrightarrow{V_i}) \sigma] \gtrsim \tau_*^1.[P_i \sigma]$ 

where the partial preorder  $\geq$  on resource processes is the standard simulation relation defined by:

 $R \gtrsim R'$  if  $\forall S'$  s.t.  $R' \stackrel{\alpha}{\rightarrow} S', \exists S$  s.t.  $R \stackrel{\alpha}{\rightarrow} S$  and  $S \gtrsim S'$ 

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# Example of interpretation

$$
fac(n, r) = \text{Case } n \text{ of}
$$
  
\n
$$
0 \rightarrow \overline{r}\langle 1 \rangle
$$
  
\n
$$
m + 1 \rightarrow (\nu r') (fac(m, r') | r'(x). \overline{r} \langle x \times (m + 1) \rangle)
$$
  
\nSetting  $[fac](n, r) = (\nu r') \tau_{r'}^n |\tau_{*}^{n+1} | \overline{r} \langle n! \rangle$ 

$$
[fac](0, r) = (\nu r')\tau_{r'}^0 |\overline{r}\langle 0!\rangle |\tau_*^1 \equiv \overline{r}\langle 1\rangle |\tau_*^1
$$
  

$$
\gtrsim \tau_*^1 \cdot \overline{r}\langle 1\rangle = \tau_*^1 \cdot [\overline{r}\langle 1\rangle]
$$

$$
[fac](m+1,r) = (\nu r')\tau_{r'}^{m+1}|\tau_{*}^{m+2}|\overline{r}\langle(m+1)!\rangle
$$
  
\n
$$
\gtrsim \tau_{*}^{1} \cdot (\nu r')(((\nu r')\tau_{r'}^{m}|\tau_{*}^{m+1}|\overline{r'}\langle m! \rangle)| (r'(x).\overline{r}\langle x \times (m+1) \rangle)
$$
  
\n
$$
= \tau_{*}^{1} \cdot [(\nu r')(fac(m,r')|r'(x).\overline{r}\langle x \times (m+1) \rangle)]
$$

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# Resource algebra and semantics

Consider the max-plus algebra (N *Names* , ⊗, ⊕) defined by  $\forall \delta, \delta' \in \mathbb{N}^{\textit{Names}}, \chi \in \mathsf{N}:$ 

$$
(\delta \otimes \delta')(\chi) = \delta(\chi) + \delta'(\chi)
$$
  

$$
(\delta \oplus \delta')(\chi) = \max(\delta(\chi), \delta'(\chi))
$$

Define  $Reach^{\chi}(R) = \{ S \mid R \stackrel{\tau(\chi)}{\rightarrow} S \}.$ 

### Definition (Resource Process semantics)

The resource process semantics  $\llbracket - \rrbracket$  is defined by:

$$
\llbracket R \rrbracket = \oplus \{1_{\{\chi\}} \otimes \llbracket S \rrbracket \mid \forall \chi \in \mathbb{D}, \ \forall S \in \textit{Reach}^{\chi}(R) \}
$$

<span id="page-18-0"></span>provided that  $\oplus \emptyset = \delta_0$ .

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[Resource upper](#page-18-0) bounds

# **Results**

Define  $\delta \succeq \delta'$  iff  $\delta \oplus \delta' = \delta$ .

## Lemma (Resource consumption)

*For each process P of interpretation* [−]*, if P*  $\stackrel{\tau(x)}{\rightarrow}$  *P'*,  $\chi \in \mathbb{D}$ , *then*  $\llbracket [P] \rrbracket \succeq 1_{\chi} \otimes \llbracket [P'] \rrbracket$ .

### Theorem (Soundness)

*For each process P of interpretation* [−]*, we have:*

 $\forall \chi \; P \in \mathsf{SN}_{\tau(\chi)}([\llbracket P \rrbracket](\chi))$ 

### Theorem (Completeness)

*A process P has interpretation* [−] *if and only if P* ∈ *SN.*

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[Resource upper](#page-18-0) bounds

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# **Extensions**

The methodology is not restricted to "finite time". We are able to infer other results by playing on  $(\gtrsim, \llbracket -\rrbracket)$ :

- space :
	- upper bounds on the maximal value sent on a channel (see the paper)
	- upper bounds on the numbers of active channels and channel creations can be obtained (not in the paper)

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time :

- upper bounds even for non terminating processes
- <span id="page-20-0"></span>• e.g.  $P = |a(x).\overline{b}x||\overline{a}v|b(x)$ 
	- $\bullet \in SN_{\tau(b)}(1)$
	- even if neither  $P \in SN_{\tau(\bm{a})},$
	- nor  $P \in SN_{\tau(*)}$  hold.

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**[Extensions](#page-20-0)** 

# Conclusion

Nice adaptation of an existing technique to another domain:

- relating interpretation and simulation
- that can be adapted to control several notions of resources on processes
- $\bullet$  that can handle all inductive data types (lists, ...)

<span id="page-21-0"></span>The synthesis problem is clearly undecidable (but guessed to be decidable on restricted spaces).

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**[Conclusion](#page-21-0)** 

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