

# Quasi-interpretation Synthesis by Decomposition

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# Motivations

- Resource control of functional programs
  - ▶ Using Quasi-interpretations (QI) [Bonfante, Marion, Moyen 00]
    - ★ Synthesis problem is decidable [Amadio04,BMMP05](Exponential in the size of the program)
- Modularity as a way to decompose programs
  - ▶  $P(\mathbf{p}_1)$  and  $P(\mathbf{p}_2)$  iff  $P(\mathbf{p}_1 \cup \mathbf{p}_2)$
  - ▶ Decrease the time complexity of QI search (Divide-and-conquer strategy)
  - ▶ Increase the number of algorithms captured
  - ▶ Adapt QI to higher-order programs

# Modularity

- Termination is not a modular property of TRS [Toyama 87]:

$$\begin{array}{ll} f(a, b, x) \rightarrow f(x, x, x) & g(a, b) \rightarrow a \\ & g(a, b) \rightarrow b \end{array}$$

$$\begin{aligned} f(a, b, g(a, b)) &\rightarrow f(g(a, b), g(a, b), g(a, b)) \rightarrow \dots \\ &\rightarrow f(a, b, g(a, b)) \end{aligned}$$

- In the literature [Gramlich, Klop, Ohlebusch], 3 decompositions are considered:
  - ▶ Disjoint union
  - ▶ Constructor-sharing union
  - ▶ Hierarchical union

# First Order Language: syntax

## Definition

Constructors:  $Cns$ , functions:  $Fct$ , variables:  $\mathcal{X}$ .

(Patterns)  $\mathcal{P} \ni p ::= \mathbf{c}(p_1, \dots, p_n) \mid x$

(Rules)  $\mathcal{R} \ni r ::= \mathbf{f}(p_1, \dots, p_n) \rightarrow t$

(Programs)  $\mathcal{P} \ni p ::= \langle \mathcal{X}, Cns, Fct, \mathcal{R} \rangle$

(Values)  $\mathcal{V} \ni v ::= \mathbf{c}(v_1, \dots, v_n) \mid \mathbf{c}$

# First Order Language: semantics

## Definition

Define the semantics  $\llbracket - \rrbracket$  by:  $\llbracket f(v_1, \dots, v_n) \rrbracket = w$  iff  $f(v_1, \dots, v_n) \xrightarrow{*} w$  with  $w \in \mathcal{V}$ .

## Example (Logarithm)

$$\begin{array}{l|l} \text{half}(\mathbf{0}) & \rightarrow \mathbf{0} \\ \text{half}(\mathbf{S}(\mathbf{0})) & \rightarrow \mathbf{0} \\ \text{half}(\mathbf{S}(\mathbf{S}(y))) & \rightarrow \mathbf{S}(\text{half}(y)) \end{array} \quad \left| \quad \begin{array}{l} \log(\mathbf{0}) & \rightarrow \mathbf{0} \\ \log(\mathbf{S}(\mathbf{0})) & \rightarrow \mathbf{0} \\ \log(\mathbf{S}(\mathbf{S}(y))) & \rightarrow \mathbf{S}(\log(\mathbf{S}(\text{half}(y)))) \end{array}$$

We have:

- $\llbracket \log(\underline{n}) \rrbracket = \lfloor \log(n) \rfloor$
- $\llbracket \text{half}(\underline{n}) \rrbracket = \lfloor n/2 \rfloor$  with  $\underline{n} = \underbrace{\mathbf{S}(\dots \mathbf{S}(\mathbf{0}) \dots)}_{n \text{ times } \mathbf{S}}$ .

# Additive and polynomial quasi-interpretations (QI)

## Definition

A quasi-interpretation is a total assignment defined by:

- $\forall \mathbf{c} \in Cns, \llbracket \mathbf{c} \rrbracket (X_1, \dots, X_n) = \sum_{i=1}^n X_i + \alpha$  with  $\alpha \geq 1$
- $\forall f \in Fct$  of arity  $n$ ,  $\llbracket f \rrbracket$  is a max-polynomial from  $(\mathbb{R}^+)^n \rightarrow \mathbb{R}^+$ .
- $\forall f \in Fct, \llbracket f \rrbracket (X_1, \dots, X_n) \geq X_i$  (*Subterm Property*)
- $\forall f \in Fct, X_i \leq Y_i \Rightarrow \llbracket f \rrbracket (X_1, \dots, X_n) \leq \llbracket f \rrbracket (Y_1, \dots, Y_n)$   
(*Monotonicity*)
- $\forall x \in \mathcal{X}, \llbracket x \rrbracket = X$
- $\forall l \rightarrow r \in \mathcal{R}$ , we have:

$$\llbracket l \rrbracket \geq \llbracket r \rrbracket$$

# Quasi-interpretation of logarithm

## Example

$$\begin{array}{lcl} \text{half}(\mathbf{0}) & \rightarrow & \mathbf{0} \\ \text{half}(\mathbf{S}(\mathbf{0})) & \rightarrow & \mathbf{0} \\ \text{half}(\mathbf{S}(\mathbf{S}(y))) & \rightarrow & \mathbf{S}(\text{half}(y)) \end{array} \quad \left| \quad \begin{array}{lcl} \log(\mathbf{0}) & \rightarrow & \mathbf{0} \\ \log(\mathbf{S}(\mathbf{0})) & \rightarrow & \mathbf{0} \\ \log(\mathbf{S}(\mathbf{S}(y))) & \rightarrow & \mathbf{S}(\log(\mathbf{S}(\text{half}(y)))) \end{array}$$

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$$\begin{aligned} \langle \mathbf{0} \rangle &= 0, \quad \langle \mathbf{S} \rangle(X) = X + 1, \\ \langle \log \rangle(X) &= X, \quad \langle \text{half} \rangle(X) = X \end{aligned}$$

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$$\begin{aligned} \langle \text{half}(\mathbf{S}(\mathbf{S}(y))) \rangle &= \langle \text{half} \rangle(\langle \mathbf{S} \rangle(\langle \mathbf{S} \rangle(Y))) \\ &= Y + 2 \\ &\geq Y + 1 = \langle \mathbf{S}(\text{half}(y)) \rangle \end{aligned}$$

# Characterizations

## Theorem (BMM07)

*The set of functions computed by programs having an additive and polynomial QI and:*

- *ordered by  $\prec_{rpo}$  where each function symbol has a status  $p$  is exactly the set of  $\text{FP}_{\text{TIME}}$  functions.*
- *ordered by  $\prec_{rpo}$  is exactly the set of  $\text{FP}_{\text{SPACE}}$  functions.*

## Lemma (Fundamental Lemma)

*Given a program admitting an additive and polynomial quasi-interpretation  $\llbracket - \rrbracket$ , there is a polynomial  $P$  such that if  $\llbracket f(v_1, \dots, v_n) \rrbracket$  is defined then:*

$$\|\llbracket f(v_1, \dots, v_n) \rrbracket\| \leq P(\max_{i=1..n} |v_i|)$$



## A simple case : Disjoint union

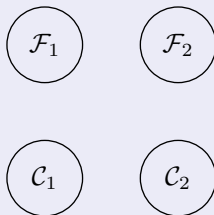
### Definition

- Two programs  $\langle \mathcal{X}_1, Cns_1, Fct_1, \mathcal{R}_1 \rangle$  and  $\langle \mathcal{X}_2, Cns_2, Fct_2, \mathcal{R}_2 \rangle$  are disjoint if:

$$Fct_1 \cap Fct_2 = Cns_1 \cap Cns_2 = Fct_1 \cap Cns_2 = Fct_2 \cap Cns_1 = \emptyset$$

- $\langle \mathcal{X}_1, Cns_1, Fct_1, \mathcal{R}_1 \rangle \uplus \langle \mathcal{X}_2, Cns_2, Fct_2, \mathcal{R}_2 \rangle$  is defined by:

$$\langle \mathcal{X}_1 \cup \mathcal{X}_2, Cns_1 \cup Cns_2, Fct_1 \cup Fct_2, \mathcal{R}_1 \cup \mathcal{R}_2 \rangle$$



Disjoint union

# Modularity of Disjoint union

## Example

$$\text{double}(\mathbf{0}) \rightarrow \mathbf{0}$$

$$\text{double}(\mathbf{S}(x)) \rightarrow \mathbf{S}(\mathbf{S}(\text{double}(x)))$$

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$$\text{triple}(\mathbf{0}') \rightarrow \mathbf{0}'$$

$$\text{triple}(\mathbf{S}'(x)) \rightarrow \mathbf{S}'(\mathbf{S}'(\mathbf{S}'(\text{triple}(x))))$$

$$\llbracket \text{triple} \rrbracket(X) = 3 \times X, \quad \llbracket \text{double} \rrbracket(X) = 2 \times X,$$

$$\llbracket \mathbf{0} \rrbracket = \llbracket \mathbf{0}' \rrbracket = 0, \quad \llbracket \mathbf{S} \rrbracket(X) = \llbracket \mathbf{S}' \rrbracket(X) = X + 1$$

- If we cut a program into  $k$  programs having  $n_j$  variables complexity decreases from  $\alpha^{\sum_{i=1}^k n_i}$  to  $\sum_{i=1}^k \alpha^{n_i}$ .

# Modularity of Disjoint union

## Proposition

*RPO is modular as a consequence of [Kurihara-Ohuchi92].*

## Proposition

*Quasi-interpretations are modular.*

## Lemma (Fundamental Lemma)

*The fundamental lemma **holds**.*

## Theorem

*The set of functions computed by **Disjoint Union** of programs having an additive and polynomial QI and*

- ordered by  $\prec_{rpo}$  where each function symbol has a status  $p$  is exactly the set of  $\text{FP}^{\text{TIME}}$  functions.*
- ordered by  $\prec_{rpo}$  is exactly the set of  $\text{FP}^{\text{SPACE}}$  functions.*

# Constructor-sharing union

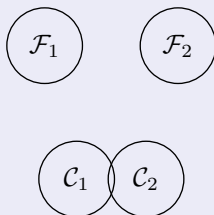
## Definition

- Two programs  $\langle \mathcal{X}_1, Cns_1, Fct_1, \mathcal{R}_1 \rangle$  and  $\langle \mathcal{X}_2, Cns_2, Fct_2, \mathcal{R}_2 \rangle$  are constructor-sharing if:

$$Fct_1 \cap Fct_2 = Fct_1 \cap Cns_2 = Fct_2 \cap Cns_1 = \emptyset \text{ and } Cns_1 \cap Cns_2 \neq \emptyset$$

- $\langle \mathcal{X}_1, Cns_1, Fct_1, \mathcal{R}_1 \rangle \sqcup \langle \mathcal{X}_2, Cns_2, Fct_2, \mathcal{R}_2 \rangle$  is defined by:

$$\langle \mathcal{X}_1 \cup \mathcal{X}_2, Cns_1 \cup Cns_2, Fct_1 \cup Fct_2, \mathcal{R}_1 \cup \mathcal{R}_2 \rangle$$



Constructor sharing union

# Modularity of constructor-sharing union

## Example

$$\text{minus}(x, \mathbf{0}) \rightarrow x$$

$$\text{minus}(\mathbf{0}, \mathbf{S}(y)) \rightarrow \mathbf{0}$$

$$\text{minus}(\mathbf{S}(x), \mathbf{S}(y)) \rightarrow \text{minus}(x, y)$$

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$$\text{add}(x, \mathbf{0}) \rightarrow x$$

$$\text{add}(\mathbf{0}, y) \rightarrow y$$

$$\text{add}(\mathbf{S}(x), \mathbf{S}(y)) \rightarrow \mathbf{S}(\mathbf{S}(\text{add}(x, y)))$$

$$(\mathbf{0})_1 = 0, \quad (\mathbf{0})_2 = 0,$$

$$(\mathbf{S})_1(X) = X + 1, \quad (\mathbf{S})_2(X) = X + 1,$$

$$(\text{minus})_1(X, Y) = X + Y \quad (\text{add})_2(X, Y) = X + Y$$

# Modularity of constructor-sharing union

## Proposition

*RPO is modular.*

## Proposition

*Quasi-interpretations are **not** modular.*

## Lemma (Fundamental Lemma)

*The fundamental lemma **holds**.*

## Theorem

*The set of functions computed by **constructor-sharing union** of programs having an additive and polynomial QI and*

- ordered by  $\prec_{rpo}$  where each function symbol has a status  $p$  is exactly the set of  $\text{FP}^{\text{TIME}}$  functions.*
- ordered by  $\prec_{rpo}$  is exactly the set of  $\text{FP}^{\text{SPACE}}$  functions.*

# Modularity of constructor-sharing union

## Example (Non modular Quasi-interpretation)

$$\begin{aligned} f_0(\mathbf{a}(x)) &\rightarrow f_0(f_0(x)) \\ f_0(\mathbf{b}(x)) &\rightarrow \mathbf{a}(\mathbf{a}(f_0(x))) \end{aligned}$$

$$\begin{aligned} \langle \mathbf{a} \rangle_0(X) &= X + 1 \\ \langle \mathbf{b} \rangle_0(X) &= X + 2 \\ \langle f_0 \rangle_0(X) &= X \end{aligned}$$

$$\begin{aligned} f_1(\mathbf{b}(x)) &\rightarrow f_1(f_1(x)) \\ f_1(\mathbf{a}(x)) &\rightarrow \mathbf{b}(\mathbf{b}(f_1(x))) \end{aligned}$$

$$\begin{aligned} \langle \mathbf{a} \rangle_1(X) &= X + 2 \\ \langle \mathbf{b} \rangle_1(X) &= X + 1 \\ \langle f_1 \rangle_1(X) &= X \end{aligned}$$

- If we cut a program into  $k$  programs having  $n_i$  variables complexity decreases from  $\alpha^{\sum_{i=1}^k n_i}$  to  $\sum_{i=1}^k \alpha^{n_i}$ .

# Hierarchical union

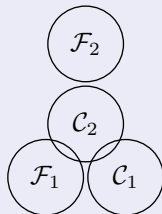
## Definition

- Two programs  $\langle \mathcal{X}_1, Cns_1, Fct_1, \mathcal{R}_1 \rangle$  and  $\langle \mathcal{X}_2, Cns_2, Fct_2, \mathcal{R}_2 \rangle$  are hierarchical if:

$$Fct_1 \cap Fct_2 = Fct_2 \cap Cns_1 = \emptyset \text{ and } Cns_1 \cap Cns_2 \neq \emptyset \text{ and } Fct_1 \cap Cns_2 \neq \emptyset$$

- $\langle \mathcal{X}_1, Cns_1, Fct_1, \mathcal{R}_1 \rangle \ll \langle \mathcal{X}_2, Cns_2, Fct_2, \mathcal{R}_2 \rangle$  is defined by:

$$\langle \mathcal{X}_1 \cup \mathcal{X}_2, Cns_1 \cup Cns_2 - Fct_1, Fct_1 \cup Fct_2, \mathcal{R}_1 \cup \mathcal{R}_2 \rangle$$



Hierarchical union



# Modularity of hierarchical union

## Example

$$\text{add}(x, \mathbf{0}) \rightarrow x$$

$$\text{add}(\mathbf{0}, y) \rightarrow y$$

$$\text{add}(\mathbf{S}(x), \mathbf{S}(y)) \rightarrow \mathbf{S}(\mathbf{S}(\text{add}(x, y)))$$

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$$\text{mult}(\mathbf{0}, x) \rightarrow \mathbf{0}$$

$$\text{mult}(\mathbf{S}(x), y) \rightarrow \text{add}(y, \text{mult}(x, y))$$

$$(\mathbf{0})_1 = 0, \quad (\mathbf{0})_2 = 0,$$

$$(\mathbf{S})_1(X) = X + 1, \quad (\mathbf{S})_2(X) = X + 1,$$

$$(\text{add})_1(X, Y) = X + Y \quad (\text{add})_2(X, Y) = X + Y + 1$$

$$(\text{mult})_2(X, Y) = X \times (Y + 1)$$

# Modularity for hierarchical union

## Proposition

*RPO is modular.*

## Proposition

*Quasi-interpretations are **not** modular.*

## Lemma

*The fundamental lemma **does not** hold.*

## Example

$$\begin{aligned} \text{double}(\mathbf{S}(x)) &\rightarrow \mathbf{S}(\mathbf{S}(\text{double}(x))) \\ \text{double}(\mathbf{0}) &\rightarrow \mathbf{0} \\ \llbracket \mathbf{0} \rrbracket_1 &= 0 \\ \llbracket \mathbf{S} \rrbracket_1(X) &= X + 1 \\ \llbracket \text{double} \rrbracket_1(X) &= 2 \times X \end{aligned}$$

$$\begin{aligned} \text{exp}(\mathbf{S}(x)) &\rightarrow \text{double}(\text{exp}(x)) \\ \text{exp}(\mathbf{0}) &\rightarrow \mathbf{S}(\mathbf{0}) \\ \llbracket \mathbf{0} \rrbracket_2 = 0, & \quad \llbracket \mathbf{S} \rrbracket_2(X) = X + 1 \\ \llbracket \text{exp} \rrbracket_2(X) &= X + 1 \\ \llbracket \text{double} \rrbracket_2(X) &= X + 1 \end{aligned}$$

## Restriction on QI ?

Does the problem of previous counter-example come from the distinct kind of polynomials ? ( $\llbracket \text{double} \rrbracket_1(X) = 2 \times X$  and  $\llbracket \text{double} \rrbracket_2(X) = X + 1$ )

Answer: consider the following example:

### Example (Exponential)

$$\begin{array}{l} g(t) \rightarrow \mathbf{S}(\mathbf{S}(t)) \\ \hline \mathbf{f}(\mathbf{S}(x), \mathbf{0}, t) \rightarrow \mathbf{f}(x, t, t) \\ \mathbf{f}(x, \mathbf{S}(z), t) \rightarrow \mathbf{f}(x, z, g(t)) \\ \mathbf{f}(\mathbf{0}, \mathbf{0}, t) \rightarrow t \end{array}$$

admit the following quasi-interpretations :

$$\begin{array}{l} \llbracket \mathbf{S} \rrbracket_1(X) = X + 1 \\ \llbracket g \rrbracket_1(X) = X + 2 \\ \hline \llbracket \mathbf{0} \rrbracket_2 = 0 \\ \llbracket \mathbf{S} \rrbracket_2(X) = \llbracket g \rrbracket_2(X) = X + 1 \\ \llbracket \mathbf{f} \rrbracket_2(X, Y, Z) = \max(X, Y, Z) \end{array}$$

However, for  $\mathbf{p}_1 \ll \mathbf{p}_2$ , we have  $\llbracket \mathbf{f} \rrbracket(\underline{n}, \underline{m}, \underline{p}) = \underline{3^n \times (2 \times m + p)}$

# Restrictions on hierarchical unions

## Definition (Restrictions on Quasi-interpretation)

We say that  $\llbracket - \rrbracket_1$  and  $\llbracket - \rrbracket_2$  are **Kind preserving** if  $\forall b \in Cns_2 \cap Fct_1$ :

- 1 for every monomial  $m$ ,  $m \sqsubseteq \llbracket b \rrbracket_1 \Leftrightarrow m \sqsubseteq \llbracket b \rrbracket_2$
- 2 for every monomial  $m$ ,  $\text{coef}_{\llbracket b \rrbracket_2}(m) = 1 \Leftrightarrow \text{coef}_{\llbracket b \rrbracket_1}(m) = 1$

## Definition (Syntactical restrictions)

The hierarchical union  $\langle \mathcal{X}_1, Cns_1, Fct_1, \mathcal{R}_1 \rangle \ll \langle \mathcal{X}_2, Cns_2, Fct_2, \mathcal{R}_2 \rangle$  is called **stratified** if:

- For each recursive call  $f(p) \rightarrow f(e)$  then  $\forall b$  symbol of  $e$ ,  $b \notin Fct_1$ 
  - ▶ **NOT**  $\mathcal{R}_2 = \{f(\mathbf{S}(x)) \rightarrow f(g(x))\} \mid \mathcal{R}_1 = \{g(x) \rightarrow x\}$
- For each rule  $f(p_1, \dots, p_n) \rightarrow e$  of  $\mathcal{R}_2$ ,  $e$  has no nesting of function symbols.
  - ▶ **NOT**  $\mathcal{R}_2 = \{f(\mathbf{S}(x)) \rightarrow f(g(x)), g(x) \rightarrow x\}$

# Modularity for Hierarchical union

## Lemma (Fundamental Lemma)

Given the stratified union  $\mathbf{p}_1 \ll \mathbf{p}_2$  of two programs having additive Kind preserving QIs  $(\lfloor - \rfloor)_1$  and  $(\lfloor - \rfloor)_2$ , the Fundamental Lemma holds.  $\exists P$  polynomial s.t.  $\| \llbracket f(v_1, \dots, v_n) \rrbracket \| \leq P(\max_{i=1..n} |v_i|)$

## Theorem

The set of functions computed by a hierarchical union of two programs  $\mathbf{p}_1$  and  $\mathbf{p}_2$  such that

- 1  $\mathbf{p}_1 \ll \mathbf{p}_2$  is a stratified union,
- 2  $\mathbf{p}_1$  and  $\mathbf{p}_2$  admit the respective additive Kind preserving QIs,
- 3  $\mathbf{p}_1$  and  $\mathbf{p}_2$  are ordered by  $\prec_{rpo}$  and each function symbol has a product status,

is exactly the set of functions computable in  $\text{FP}_{\text{TIME}}$ .

If (3) is replaced by:  $\mathbf{p}_1$  and  $\mathbf{p}_2$  are ordered by  $\prec_{rpo}$  then we characterize  $\text{FP}_{\text{SPACE}}$ .

# Example

## Example

The hierarchical union of  $\mathbf{p}_1$  and  $\mathbf{p}_2$ :

$$\text{double}(\mathbf{S}(x)) \rightarrow \mathbf{S}(\mathbf{S}(\text{double}(x)))$$

$$\text{double}(\mathbf{0}) \rightarrow \mathbf{0}$$

$$\text{sq}(\mathbf{S}(x)) \rightarrow \mathbf{S}(\text{add}(\text{sq}(x), \text{double}(x)))$$

$$\text{sq}(\mathbf{0}) \rightarrow \mathbf{0}$$

$$\text{add}(\mathbf{S}(x), y) \rightarrow \mathbf{S}(\text{add}(x, y))$$

$$\text{add}(\mathbf{0}, y) \rightarrow y$$

is stratified ( $\mathbf{p}_2$  is flat and the argument of the recursive call  $\text{sq}(x)$  is a variable).

$\langle - \rangle_1$  and  $\langle - \rangle_2$  are additive Kind preserving quasi-interpretations:

$$\langle \mathbf{0} \rangle_1 = 0$$

$$\langle \mathbf{S} \rangle_1(X) = X + 1$$

$$\langle \text{double} \rangle_1(X) = 2 \times X$$

$$\langle \text{add} \rangle_1(X, Y) = X + Y$$

$$\langle \mathbf{0} \rangle_2 = 0$$

$$\langle \mathbf{S} \rangle_2(X) = X + 1$$

$$\langle \text{double} \rangle_2(X) = 2 \times X$$

$$\langle \text{add} \rangle_2(X, Y) = X + Y + 1$$

$$\langle \text{sq} \rangle_2(X) = 2 \times X^2$$

# Application to higher-order programs

## Example

Consider the following higher-order program  $\mathbf{p}$ :

$$\begin{aligned}\text{fold}(\lambda x.f(x), \mathbf{nil}) &\rightarrow \mathbf{0} \\ \text{fold}(\lambda x.f(x), \mathbf{c}(y, l)) &\rightarrow f(\text{fold}(\lambda x.f(x), l)) \\ \mathbf{h}(l) &\rightarrow \text{fold}(\lambda x.g(x), l)\end{aligned}$$

From  $\mathbf{p}$ , we obtain  $\hat{\mathbf{p}}$  by defunctionalization:

$$\begin{aligned}\mathbf{q}_1 &= \begin{cases} \text{fold}(\mathbf{nil}) \rightarrow \mathbf{0} \\ \text{fold}(\mathbf{c}(y, l)) \rightarrow \text{app}(\mathbf{c}_0, \text{fold}(l)) \\ \hat{\mathbf{h}}(l) \rightarrow \text{fold}(l) \end{cases} \quad \mathbf{c}_0 \text{ is a new constructor} \\ \mathbf{q}_2 &= \{ \text{app}(\mathbf{c}_0, x) \rightarrow g(x) \end{aligned}$$

# Results

- We decrease the complexity of the synthesis procedure with flexible decompositions
- We increase the number of captured algorithms
- These results are implemented in CROCUS  
<http://libresource.inria.fr/projects/crocus>
  - ▶ experiments show that the synthesis is reasonable (1 s for 50 lines of code)