

# Computational Semantics

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- 3 Types and Model Structure
- 4 Montague Semantics
- 5 Phenomena at the Syntax-Semantics Interface
- 6 Abstract Categorical Grammars
- 7 Underspecification
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# Computational Semantics?

What is computational semantics?

- A suitable interpretation level
- A way to relate semantic structures and syntax (interpretation or realization)

## 1 Introduction

## 2 Meaning

- Sense and Denotation
- Models and Truth-Conditionality Criterion [Winter, 2010]
- Building Denotations
- Structural Ambiguity

## 3 Types and Model Structure

## 4 Montague Semantics

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# Sense and Denotation

## Gottlob Frege

- Sense = mode of presentation
- Denotation = the object it refers to

Ex.:  $1 + 1$  and  $2$  have the same denotation but not the same sense

## Meaning as an Observable Property [Winter, 2010]

- Select a specific property of language, stable across speakers and situations
- Extra-linguistic and intra-linguistic semantic phenomena

### Example (Extra-linguistic)

- What is common to the objects that people categorize as being red?
- What the effect of asking *please pick a blue card from this pack?*

### Example (Intra-Linguistic)

- How do speaker identify relations between pairs of words like *red-color*, *dog-animal*?
- What are the relations between the sentences *please pick a blue card from this pack* and *please pick a card from this pack?*
- Contrasts

### Example

- Red is a color / ?Red is an animal
- Every red thing has a color / ?Every red thing has an animal

# Entailment

## Example

- Tina is tall and thin
- Tina is thin

## Example

- Tina is tall and thin  $\Rightarrow$  Tina is thin
  - A dog entered the room  $\Rightarrow$  An animal entered the room
  - John picked a blue card from this pack  $\Rightarrow$  John picked a card from this pack
- 
- Stability of judgments
  - Indefeasible reasoning (vs. *Tina is a bird. Tina can fly*)
  - Entailment judgements  $\equiv$  grammaticality judgments
  - Models and denotation



# Models and Truth-Conditionality Criterion

## Aim

Establishing a relation between language expressions and objects in models.

- Formal semantics
- Applied semantics

Linking  $exp$  and  $\llbracket exp \rrbracket^M$  where  $M$  is a model.

Denotations of sentences are **truth-values** 1 (for “true”) and 0 (for “false”)

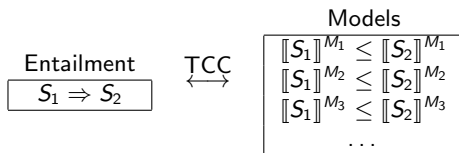
## Definition (TCC)

A semantic theory  $T$  satisfies the **truth-conditionality criterion** (TCC) if for all sentences  $S_1$  and  $S_2$  the following conditions are equivalent:

- 1 Sentences  $S_1$  intuitively entails sentence  $S_2$
- 2 For all models  $M$  in  $T$   $\llbracket S_1 \rrbracket^M \leq \llbracket S_2 \rrbracket^M$

- 1 is an **empirical** statement
- 2 is a **theoretical** statement

## Models and Truth-Conditionality Criterion (cont'd)



## Building Denotations

For every model  $M$ :

- In addition to truth-values, there exists  $E_M$  an arbitrary non-empty set of **entities in  $M$**
- *Tina* denotes an arbitrary entity **tina** =  $\llbracket Tina \rrbracket^M$  in  $E_M$
- *tall* and *thin* denote arbitrary **sets** **tall** =  $\llbracket tall \rrbracket^M$  and **thin** =  $\llbracket thin \rrbracket^M$  of entities in  $E_M$

What does it mean that *Tina is thin* is true?

- **tina**  $\in$  **thin**
- $\text{is}(x, A) = \begin{cases} 1 & \text{if } x \in A \\ 0 & \text{if } x \notin A \end{cases}$
- $\llbracket Tina \text{ is thin} \rrbracket^M = \text{is}(\mathbf{tina}, \mathbf{thin})$

### Constants

**is** is **constant** across models!

Exercise: What's the denotation of *Tina is tall and thin*?

## *Tina is tall and thin*

- $\text{and}(A, B) = A \cap B$
- $\llbracket \textit{Tina is tall and thin} \rrbracket^M = \text{is}(\text{tina}, \text{and}(\text{tall}, \text{thin}))$

### Denotations

Expressions	Category	Type	Abstract denotation
<i>Tina</i>	<i>NP</i>	entity	<b>tina</b>
<i>tall</i>	<i>A</i>	set of entities	<b>tall</b>
<i>thin</i>	<i>A</i>	set of entities	<b>thin</b>
<i>tall and thin</i>	<i>AP</i>	set of entities	<b>and(tall, thin)</b>
<i>Tina is thin</i>	<i>S</i>	truth-value	<b>is(tina)</b>
<i>Tina is tall and thin</i>	<i>S</i>	truth-value	<b>is(tina, and(tall, thin))</b>

### Example

We assume our semantic theory satisfies the TCC. Show that the interpretations given so far account for the following facts:

- $\textit{Tina is tall and thin} \Rightarrow \textit{Tina is thin}$
- $\textit{Tina is thin} \not\Rightarrow \textit{Tina is tall and thin}$

See [Hopcroft et al., 2003, first chapter, and in particular Section 1.2.2 “Reduction to Definitions”] for an introduction to rigorous (formal) proofs.

## Involving Syntactic Structures

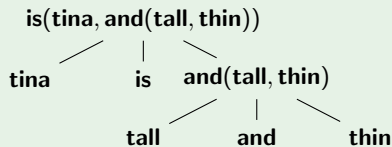
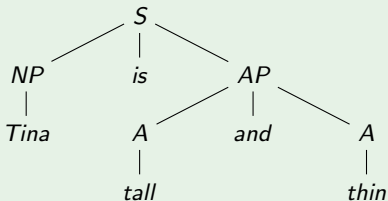
### Example

- All pianists are composers and Tina is a pianist.
- All composers are pianists and Tina is a pianist.
- $\stackrel{?}{\Rightarrow}$  Tina is a composer.

### Compositionality

The denotation of a complex expression is determined by the denotations of its immediate parts and the ways they combine with each other.

## Example



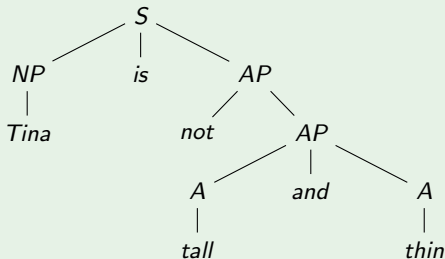
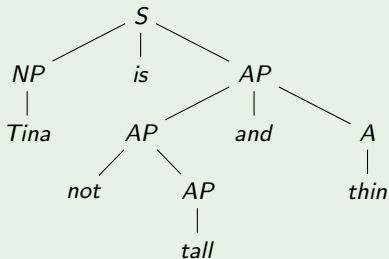
## About Compositionality

- Direct compositionality
- Some syntactic assumptions
- How the denotations of functions know what their arguments are?

# Structural Ambiguity

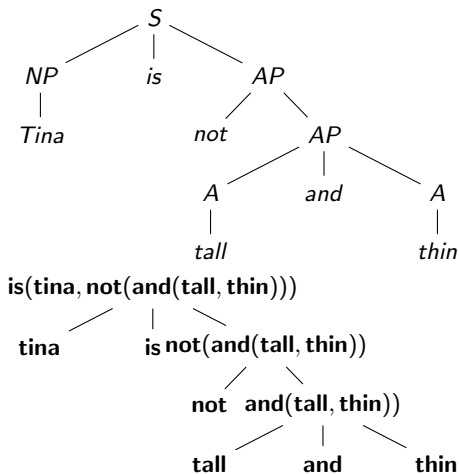
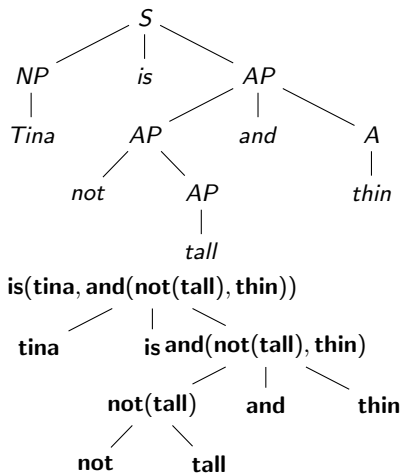
## Example (Tina is not tall and thin)

*Tina is not tall and thin*  $\stackrel{?}{\Rightarrow}$  *Tina is thin*



- What makes the syntactic ambiguity a semantic ambiguity? **Compositionality**
- What's the denotation of *not*?
- What are the denotations of the two structures?

## Structural Ambiguity (cont's)





## Exercise [Winter, 2010] I

Two sentences are called *equivalent* if they entail each other as in the following example:

- *Tina is tall and thin*  $\Leftrightarrow$  *Tina is both tall and thin*

- 1 Give more examples for pairs of sentences that you consider intuitively for equivalent sentences
- 2 State the condition that the TCC requires for equivalent sentences
- 3 Assuming the structure [*both*[*tall and thin*]], how can we define  $[[\textit{both}]]^M$ ? Is it a constant?
- 4 Consider the ungrammaticality of the following strings:
  - \**Tina is both tall*
  - \**Tina is both not tall*
  - \**Tina is both tall or thin*

Let's assume that *both* only appears in adjective phrases as adjacent to *and* conjunctions.

- 1 Analyze the truth-values assigned to:
  - *Tina is both not tall and thin*
  - *Tina is not both tall and thin*
- 2 Show that this accounts for:
  - *Tina is both not tall and thin*  $\Rightarrow$  *Tina is thin*
  - *Tina is not both tall and thin*  $\nRightarrow$  *Tina is thin*

## Exercise [Winter, 2010] II

5 Consider the following sentences:

- *Tina is not tall and not thin*
- *Tina is neither tall nor thin*

Assume that  $\llbracket \textit{neither} \rrbracket^M = \llbracket \textit{both} \rrbracket^M$ ? What should then the denotation of *nor* be to have these two sentences equivalent?

## Exercise [Winter, 2010]

Two sentences are called *contradictory* in a given theory if whenever one of them denotes 1 in the theory, the other denotes 0 (e.g. *Mary is not tall* and *Mary is tall*). We may also talk about two contradictory readings/structures of sentences, which is especially useful when sentences are structurally ambiguous.

- 1 Give more examples for contradictory sentences/structures
- 2 Consider the sentences *The bottle is empty* and *The bottle is full*. Can you think of a theoretical assumption that would render these sentences contradictory?
- 3 Give an entailment using this assumption

- 1 Introduction
- 2 Meaning
- 3 **Types and Model Structure**
  - Domains
  - Types
  - Type Theory and  $\lambda$ -Calculus
  - Higher-Order Logic
- 4 Montague Semantics
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## Types and Domains

## Denotations

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<i>Tina is thin</i>	<i>S</i>	truth-value	<b>is(tina, thin)</b>
<i>is</i>	?	function from entities and set of entities to truth-values	<b>is</b>
<i>and</i>	?	function from pairs of set of entities to set of entities	<b>and</b>
<i>not</i>	?	function from set of entities to set of entities	<b>not</b>

- Systematic relation between expressions of a given syntactic category to a type of denotation
  - Distinction between the objects of the model (entities, set of entities, functions, etc.)
- ⇒ **Domains:** parts of the model that gathers objects with the same structure
- The property for objects to belong to the same domain is expressed by having same **type**

## Types and Domains (cont'd)

## Definition (Characteristic Function)

Let  $A$  be a subset of  $B$ . A function  $F_A$  from  $B$  to  $\{0, 1\}$  the set of truth-values is called the *characteristic function of  $A$  in  $B$*  if it satisfies for every  $x \in B$ :

$$F_A(x) = \begin{cases} 1 & \text{if } x \in A \\ 0 & \text{if } x \notin A \end{cases}$$

## Types and Domains

Basic types	
Type	Domain
$e$	$E = D_e$
$t$	$\{0, 1\} = D_t$

Complex types	
Type	Domain
$e \rightarrow t$	$D_t^{D_e}$
...	...

## Example

Let  $M$  be a model such that  $D_e = \{\mathbf{t}, \mathbf{j}, \mathbf{m}\}$ . How many possible denotations for *tall* are there?

# Types

## Definition (Types)

The set of **types**  $\mathcal{T}$  over the basic types  $e$  and  $t$  is the smallest set such that:

- $\{e, t\} \subseteq \mathcal{T}$
- If  $\tau \in \mathcal{T}$  and  $\sigma \in \mathcal{T}$  then  $\tau \rightarrow \sigma \in \mathcal{T}$

## Example

- What's the type of an adjective?
- What's the type of **not**?
- What's the type of **is**?

## Two-Place Relation

## One-Place Predicate

*Tina smiled* is true whenever **tina**  $\in$  **smiled**  
 whenever  $F_{\mathbf{smiled}}(\mathbf{tina}) = 1$   
 whenever  $\mathbf{smiled}(\mathbf{tina}) = 1$

$\mathbf{smiled} \in F_{e \rightarrow t} = D_t^{D_e}$  or  $\mathbf{smiled} : e \rightarrow t$

## Two-Place Relation

*Tina praised Mary* is true whenever **tina** belongs to the set of entities that  
*praised Mary*  
 whenever  $\llbracket \text{praised Mary} \rrbracket(\mathbf{tina}) = 1$

$\llbracket \text{praised Mary} \rrbracket \in D_t^{D_e}$  or  $\llbracket \text{praised Mary} \rrbracket : e \rightarrow t$

$\llbracket \text{praised} \rrbracket \in D_t^{D_e D_e}$  or  $\llbracket \text{praised} \rrbracket : e \rightarrow e \rightarrow t$

## Note

- $\llbracket \text{Tina} [\text{praised Mary}] \rrbracket = (\mathbf{praised}(\mathbf{mary}))(\mathbf{tina})$
- Characteristic function of a binary relation  $R$ :  $(F_R(y))(x) = 1$  iff  $\langle x, y \rangle \in R$
- Currying:  $f : A \times B \rightarrow C$  and  $g : A \rightarrow B \rightarrow C$  such that  $f(a, b) = (g(a))(b)$



## Lexicon [Winter, 2010]

## Definition (Frame)

Let  $E = D_e$  a set of entities. We define the **frame**  $\mathcal{F}^E$  as:

$$\mathcal{F}^E \triangleq \bigcup_{\tau \in \mathcal{T}} D_\tau$$

## Definition (Lexicon)

Let  $\Sigma$  be a finite vocabulary. A **lexical typing function**  $T_{\mathcal{L}}$  of  $\Sigma$  is any function from  $\Sigma$  to  $\mathcal{T}$ .

Given a lexical typing function  $T_{\mathcal{L}}$ , a corresponding **lexical interpretation function** over  $\Sigma$  and a non-empty set of entities  $E$  is any function  $I_{\mathcal{L}}$  from  $\Sigma$  to  $\mathcal{F}^E$  such that:

$$\forall w \in \Sigma \quad I_{\mathcal{L}}(w) : T_{\mathcal{L}}(w)$$

## Definition (Model)

Let  $\Sigma$  be a finite vocabulary with  $T_{\mathcal{L}}$  a lexical typing function over  $\Sigma$ . A **model** over  $\Sigma$  is a pair  $\langle E, I_{\mathcal{L}} \rangle$  where  $E$  is a non-empty set of entities and  $I_{\mathcal{L}}$  is a lexical interpretation function over  $\Sigma$  and  $E$ .

## Example

<b>Vocabulary</b>	$\Sigma = \{Tina, Mary, smiled, praised\}$
<b>Typing</b>	$T_{\mathcal{L}} : \Sigma \longrightarrow \mathcal{T}$ $Tina \longrightarrow e$ $Mary \longrightarrow e$ $smiled \longrightarrow e \rightarrow t$ $praised \longrightarrow e \rightarrow e \rightarrow t$
<b>Interpretation</b>	$I_{\mathcal{L}} : \Sigma \longrightarrow \mathcal{F}^E$ $Tina \longrightarrow \mathbf{tina}$ $Mary \longrightarrow \mathbf{mary}$ $smiled \longrightarrow \left\{ \begin{array}{l} \mathbf{tina} \longrightarrow 1 \\ \mathbf{mary} \longrightarrow 0 \end{array} \right.$ $praised \longrightarrow \left\{ \begin{array}{l} \mathbf{tina} \longrightarrow \left\{ \begin{array}{l} \mathbf{tina} \longrightarrow 1 \\ \mathbf{mary} \longrightarrow 1 \end{array} \right. \\ \mathbf{mary} \longrightarrow \left\{ \begin{array}{l} \mathbf{tina} \longrightarrow 1 \\ \mathbf{mary} \longrightarrow 0 \end{array} \right. \end{array} \right.$
<b>Lexicon</b>	$\langle \Sigma, T_{\mathcal{L}} \rangle$
<b>Model</b>	$\langle E, I_{\mathcal{L}} \rangle$

- What's the denotation of *Mary smiled*?
- What's the denotation of *Mary praised Mary*?

## About the Lexicon

### Definition (Restricting Functor)

Let  $\Sigma$  be a finite vocabulary and  $T_{\mathcal{L}}$  be a lexical typing function from  $\Sigma$  to  $\mathcal{T}$ . Let  $E$  be a non-empty set.

A **restricting functor**  $\mathcal{RF}^E$  over  $\Sigma$  is a function that maps any word  $w \in \Sigma$  to a subset of the domain  $D_{T_{\mathcal{L}}(w)}$ .

For  $w \in \Sigma$ :

- if  $\mathcal{RF}^E(w) = D_{T_{\mathcal{L}}(w)}$  for every set  $E$ , we say that the denotation of  $w$  is **arbitrary**
- if  $\mathcal{RF}^E(w)$  is a singleton for every set  $E$ , we say that the denotation of  $w$  is **constant**

- Have we seen *constants*?
- What about “intermediary” kinds of lexical items?
  - $\mathcal{SYM}^E$  is the set of **symmetric** functions in  $D_{e \rightarrow e \rightarrow t}$  ( $f$  is symmetric iff  $f(x)(y) = f(y)(x)$ )
  - $\mathcal{RMOD}^E$  is the set of **restrictive modifiers** in  $D_{(e \rightarrow t) \rightarrow (e \rightarrow t)}$  where  $f \in \mathcal{RMOD}^E$  iff  $\forall g \in D_{e \rightarrow t} \forall x \in D_e$ , if  $(f(g))(x) = 1$  then  $g(x) = 1$  as well
- Do you have examples?
  - *resemble*
  - *very*
  - *charmingly*

# Theory and Models

## Definition (Intended Model)

Let  $\Sigma$  be a finite vocabulary with  $T_{\mathcal{L}}$  a lexical typing function over  $\Sigma$ . Let  $E$  be a non-empty set and  $\mathcal{RF}^E$  a restricting functor over  $\Sigma$ . A model  $\langle E, I_{\mathcal{L}} \rangle$  over  $\Sigma$  is an **intended model** if for every word  $w \in \Sigma$   $I_{\mathcal{L}}(w) \in \mathcal{RF}^E(w)$ .

## Definition (Truth-Conditionality Criterion)

A semantic theory  $T$  that specifies a typing function  $T_{\mathcal{L}}$  and a restricting functor  $\mathcal{RF}^E$  over  $\Sigma$  satisfies the **truth-conditionality criterion** (TCC) if for all structures  $S_1$  and  $S_2$  the following conditions are equivalent:

- 1 Structure  $S_1$  intuitively entails structure  $S_2$
- 2 For all *intended* models  $M$  in  $T$   $\llbracket S_1 \rrbracket^M \leq \llbracket S_2 \rrbracket^M$

## Reminder

Definition ( $\lambda$ -Calculus)

**Syntax**  $\mathcal{V} = \{x, y, \dots\}$  and  $T ::= \mathcal{V} | \lambda \mathcal{V}. T | (T T)$

**Free Variables** Let  $t \in \mathcal{T}$

- $FV(x) = \{x\}$
- $FV(\lambda x. u) = FV(u) \setminus \{x\}$
- $FV(t u) = FV(t) \cup FV(u)$

If  $FV(t) = \emptyset$   $t$  is **closed**.

## Example

What are the free variables of

- $x y z$
- $\lambda x. x y$
- $(\lambda x. x x)(\lambda y. y y)$

## Reminder (cont'd)

## Definition (Substitution)

For  $t, u \in T$  and  $x \in \mathcal{V}$ , the **substitution of  $u$  for  $x$  in  $t$** , written  $t[x := u] \in T$ , is defined as follows ( $x \neq y$ ):

- $x[x := u] = u$
- $y[x := u] = y$
- $(v w)[x := u] = v[x := u] w[x := u]$
- $(\lambda x. v)[x := u] = \lambda x. v$
- $(\lambda y. v)[x := u] = \lambda y. v[x := u]$  with  $y \notin \text{FV}(u)$

## Example

Compute  $((\lambda x. x y z)(\lambda y. x y z)(\lambda z. x y z))[x := y]$

## Reminder (cont'd)

## Definition (Reduction)

Reduction  $(\lambda x.t) u \rightarrow_{\beta} t[x := u]$

Church-Rosser Theorem For all  $\lambda$ -terms  $t$ ,  $u$  and  $v$  such that

$$t \rightarrow_{\beta}^* u \text{ and } t \rightarrow_{\beta}^* v$$

there exists  $w$  such that

$$u \rightarrow_{\beta}^* w \text{ and } v \rightarrow_{\beta}^* w$$

## Example

Let  $\delta = \lambda x.x x$ . Reduce  $\Omega = \delta \delta$ .

## Reminder (cont'd)

Definition (Simply Typed  $\lambda$ -Calculus)

$\Gamma = x_1 : A_1, \dots, x_n : A_n$  is a context.

$$\frac{}{\Gamma, x : A \vdash x : A} \text{Axiom}$$

$$\frac{\Gamma, x : A \vdash t : B}{\Gamma \vdash \lambda x. t : A \rightarrow B} \text{Abs.} \quad \frac{\Gamma \vdash t : A \rightarrow B \quad \Gamma \vdash u : A}{\Gamma \vdash (t u) : B} \text{App.}$$

## Example

- What about the types of  $\lambda x. x$ ? Of  $\lambda xy. x$ ? Of  $\lambda x. \lambda y. \lambda z. x z (y z)$ ?
- Can you type  $\delta = \lambda x. x x$ ?



# Syntactic Structures

## Definition (Binary Structure)

Given a vocabulary  $\Sigma$ , a **binary structure** over  $\Sigma$  is one of the following:

- ① An occurrence of a word  $w \in \Sigma$ .
- ② A sequence  $[S_1 \ S_2]$  where  $S_1$  and  $S_2$  are binary structures over  $\Sigma$ .

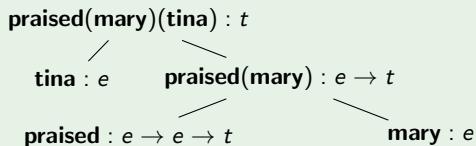
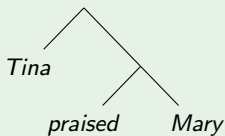
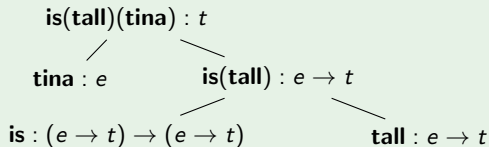
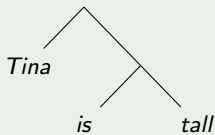
## Definition (Denotation of a Structure)

Let  $\Sigma$  be a vocabulary,  $E$  be a non-empty set of entities,  $T_{\mathcal{L}}$  be a lexical typing function over  $\Sigma$  and  $I_{\mathcal{L}}$  be a corresponding lexical denotation function over  $\Sigma$ . Then for every binary structure  $S$  over  $\Sigma$ , the **syntactic typing and denotation functions**  $T_s$  and  $I_s$  extend  $T_{\mathcal{L}}$  and  $I_{\mathcal{L}}$  as follows:

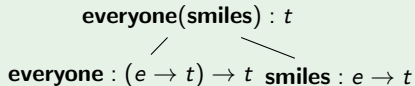
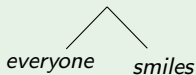
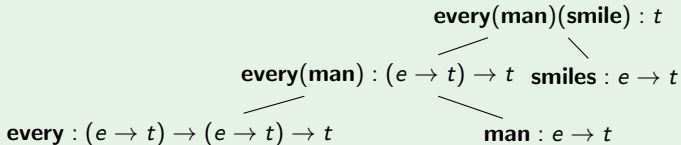
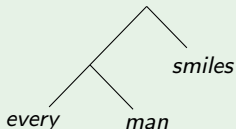
$$\bullet \ T_s(S) = \begin{cases} T_L(w) & \text{if } S \text{ is a word } w \in \Sigma \\ \beta & \text{if } S = [S_1 \ S_2] \text{ and } T_s(S_1) = \alpha \rightarrow \beta \text{ and } T_s(S_2) = \alpha \\ \beta & \text{if } S = [S_1 \ S_2] \text{ and } T_s(S_1) = \alpha \text{ and } T_s(S_2) = \alpha \rightarrow \beta \\ \text{undefined} & \text{otherwise} \end{cases}$$

$$\bullet \ I_s(S) = \begin{cases} I_L(w) & \text{if } S \text{ is a word } w \in \Sigma \\ (t \ u) & \text{if } S = [S_1 \ S_2] \text{ and } I_s(S_1) = t : \alpha \rightarrow \beta \text{ and } I_s(S_2) = u : \alpha \\ (t \ u) & \text{if } S = [S_1 \ S_2] \text{ and } I_s(S_1) = u : \alpha \text{ and } I_s(S_2) = t : \alpha \rightarrow \beta \\ \text{undefined} & \text{otherwise} \end{cases}$$

## Examples

Example (*Tina praised Mary*)[*Tina*[*praised* *Mary*]]Example (*Tina is tall*)

## Quantification

Example (*Everyone smiles*)Example (*Every man smiles*)

- *Shake and bake* semantics
- What's the denotation of *everyone*?
- What's the denotation of *every*?

# Computing Denotations with Functions or Logical Formulas

So far

**tall** as:

- Set
- (Characteristic) Function
- Symbol of relation

⇒ Move to logic

What kind of logic?

# Higher-Order Logic

## HOL

- Two atomic types:  $e$  and  $t$  (or  $\iota$  and  $o$ )
- Logical constants:

$$\perp : t$$

$$\Rightarrow : t \rightarrow t \rightarrow t$$

$$\forall_{\alpha} : (\alpha \rightarrow t) \rightarrow t \text{ for each type } \alpha$$

- Formulas: well-typed terms of type  $t$
- Contrast with first order logic
- Build a HOL formula which is not FOL

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# Motivations

*There is in my opinion no important theoretical difference between natural languages and the artificial languages of logicians; indeed, I consider it possible to comprehend the syntax and semantics of both kinds of languages within a single natural and mathematically precise theory. On this point I differ from a number of philosophers (...).* [Montague, 1970]

## Montague Semantics [Montague, 1974]

*The aim of this paper is to present in a rigorous way the syntax and semantics of a certain fragment of a certain dialect of English.* [Montague, 1974]

- Fragment
- Semantic types as homomorphic image of syntactic types
- Semantic representation as translation of syntactic operations
- Semantic representation through a "*certain artificial language*", a logical language



# The Original Version

## Categories of English

- Basic types:  $e$  and  $t$
- Type constructors:  $A/B$  and  $A//B$
- Some definitions:
  - $IV$ , or the category of intransitive verb phrases, is to be  $t/e$ .
  - $T$ , or the category of terms, is to be  $t/IV$ ,
  - $TV$ , or the category of transitive verb phrases, is to be  $IV/T$ .
  - $CN$ , or the category of common noun phrases, is to be  $t//e$ .
  - ...
- $B_A$  the set of basic expressions of the category  $A$ .  $P_A$  is the set of *phrases* of the category  $A$ .
  - $love \in B_{TV}$
  - $Mary \in B_T, he_0 \in B_T$
  - $man \in B_{CN}$

# Syntactic Rules

## Basic Rules

S1  $B_A \subset P_A$  for every category  $A$ .

S2 If  $\zeta \in P_{CN}$ , then  $F_0(\zeta), F_1(\zeta), F_2(\zeta) \in P_T$  where:

- $F_0(\zeta) = \text{every } \zeta$
- $F_1(\zeta) = \text{the } \zeta$
- $F_2(\zeta)$  is *a*  $\zeta$  or *an*  $\zeta$  according as the first word in  $\zeta$  takes *a* or *an*

...

## Syntactic Rules

## Rules of functional application

- S4 If  $\alpha \in P_{t/IV}$  and  $\delta \in P_{IV}$ , then  $F_4(\alpha, \delta) \in P_t$ , where  $F_4(\alpha, \delta) = \alpha\delta'$  and  $\delta'$  is the result of replacing the first *verb* in  $\delta$  by its third person singular present
- S5 If  $\delta \in P_{IV/T}$  and  $\beta \in P_T$ , then  $F_5(\delta, \beta) \in P_{IV}$ , where  $F_5(\delta, \beta) = \delta\beta$  if  $\beta$  does not have the form  $he_n$  and  $F_5(\delta, he_n) = \delta him_n$

...

## Syntactic Rules

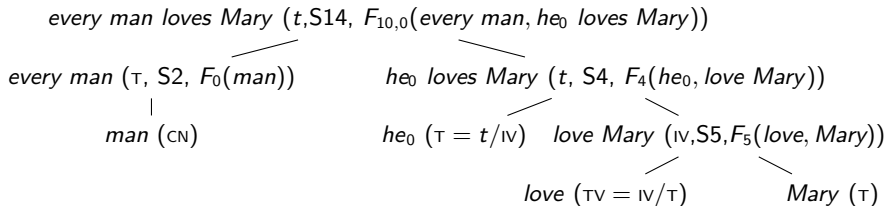
## Rules of quantification

S14 If  $\alpha \in P_T$  and  $\phi \in P_t$ , then  $F_{10,n}(\alpha, \phi) \in P_t$ , where either:

- ①  $\alpha$  does not have the form  $he_k$ , and  $F_{10,n}(\alpha, \phi)$  comes from  $\phi$  by replacing the first occurrence of  $he_n$  or  $him_n$  by  $\alpha$  and all other occurrences of  $he_n$  or  $him_n$  by  $\left\{ \begin{array}{l} he \\ she \\ she \end{array} \right\}$  or  $\left\{ \begin{array}{l} him \\ her \\ it \end{array} \right\}$  respectively, according as the gender of the first  $B_{CN}$  or  $B_T$  in  $\alpha$  is  $\left\{ \begin{array}{l} \text{masc.} \\ \text{fem.} \\ \text{neuter} \end{array} \right\}$ , or
- ②  $\alpha = he_k$ , and  $F_{10,n}(\alpha, \phi)$  comes from  $\phi$  by replacing all occurrences of  $he_n$  or  $him_n$  by  $he_k$  or  $him_k$  respectively

...

## Every man loves Mary



# Translating English into (Intensional) Logic

## Categories to Semantic Types

$f$  is a function such that

- $f(e) = e$
- $f(t) = t$
- $f(A/B) = f(A//B) = f(B) \rightarrow f(A)$  where  $A, B$  are categories

## Translation rules: the $\overline{\quad}$ function

**T1** If  $\alpha$  is in the domain of  $g$ , then  $\overline{\alpha} = g(\alpha)$  [interpretation of constants].

$$\overline{he_n} = \lambda P.P x_n \dots$$

**T2** if  $\zeta \in P_{CN}$  then  $\overline{\text{every } \zeta} = \lambda P.\forall x.\overline{\zeta}(x) \Rightarrow P(x), \dots$

...

**T4** if  $\delta \in P_{t/IV}$ ,  $\beta \in P_{IV}$  then  $\overline{F_4(\delta, \beta)} = \overline{\delta}(\overline{\beta})$

**T5** if  $\delta \in P_{IV/T}$ ,  $\beta \in P_T$  then  $\overline{F_5(\delta, \beta)} = \overline{\delta}(\overline{\beta})$

...

**T14** If  $\alpha \in P_T$ ,  $\phi \in P_t$  then  $\overline{F_{10,n}(\alpha, \phi)} = \overline{\alpha}(\lambda x_n.\overline{\phi})$

...

## Every man loves Mary

*every man loves Mary* ( $t, S_{14}, F_{10,0}(\text{every man}, he_0 \text{ loves Mary})$ )

*every man* ( $\tau, S_2, F_0(\text{man})$ )

*man* (CN)  
MAN

*he<sub>0</sub> loves Mary* ( $t, S_4, F_4(\text{he}_0, \text{love Mary})$ )

*he<sub>0</sub>* ( $\tau = t/IV$ )  
 $\lambda P.P x_0$

*love Mary* ( $IV, S_5, F_5(\text{love}, \text{Mary})$ )

*love* ( $\tau_V = IV/\tau$ )  
 $\lambda \alpha x.o (\lambda y.LOVE(x, y))$

*Mary* ( $\tau$ )  
 $\lambda P.P \text{ MARY}$

## Remarks

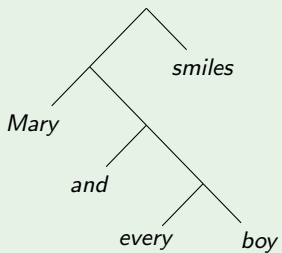
- Type homomorphism
  - Translation
- ⇒ What about widespread syntactic formalisms?



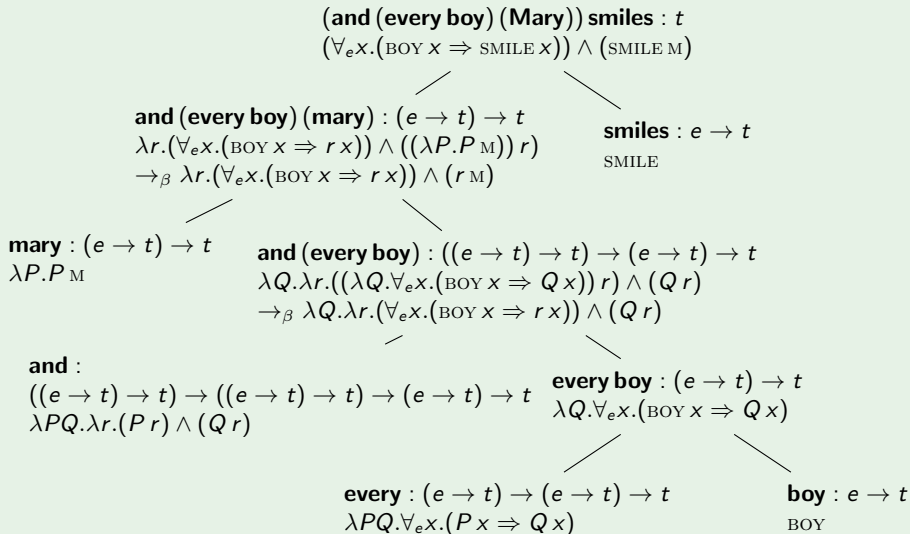
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## Conjunction I

Example (*Mary and every boy smiles*)



## Conjunction II

Example (*Mary and every boy smiles*)

## Quantification and Object Position I

How can we have both the *NP* subject and the *NP* object be arguments of a transitive verb?

- Allow for *abstraction* (see later)
- Change the denotation of transitive verbs:
  - $\llbracket e \rrbracket = e$
  - $\text{IV} = t/e$
  - $\llbracket \text{IV} \rrbracket = e \rightarrow t$
  - $\llbracket \text{T} \rrbracket = (e \rightarrow t) \rightarrow t$
  - $\text{TV} = \text{IV}/\text{T}$
  - $\llbracket \text{TV} \rrbracket = ((e \rightarrow t) \rightarrow t) \rightarrow e \rightarrow t$
  - $\text{IV}' = t/\text{T}$
  - $\text{TV}' = \text{IV}/\text{T} = (t/\text{T})/\text{T}$
  - $\llbracket \text{TV}' \rrbracket = ((e \rightarrow t) \rightarrow t) \rightarrow ((e \rightarrow t) \rightarrow t) \rightarrow t$
  - $\llbracket \textit{smiles} \rrbracket = \lambda s.s(\lambda x.\text{SMILE } x)$
  - $\llbracket \textit{loves} \rrbracket = \lambda o.s(\lambda x.o(\lambda y.\text{LOVE } x y))$

## Quantification and Object Position II

## CFG Based Approach

$S$	$\rightarrow$	$NP$	$VP$	$[[S]]$	$=$	$[[VP]]$	$[[NP]]$
$VP$	$\rightarrow$	$tV$	$NP$	$[[VP]]$	$=$	$[[tV]]$	$[[NP]]$
$NP$	$\rightarrow$	$Det$	$N$	$[[NP]]$	$=$	$[[Det]]$	$[[N]]$
$Det$	$\rightarrow$	$a$		$[[Det]]$	$=$	$\lambda PQ.\exists x.P x \wedge Q x$	
$Det$	$\rightarrow$	$every$		$[[Det]]$	$=$	$\lambda PQ.\forall x.P x \Rightarrow Q x$	
$tV$	$\rightarrow$	$loves$		$[[tV]]$	$=$	$\lambda os.s(\lambda x.o(\lambda y.LOVE x y))$	
$N$	$\rightarrow$	$man$		$[[N]]$	$=$	MAN	
$N$	$\rightarrow$	$woman$		$[[N]]$	$=$	WOMAN	
$NP$	$\rightarrow$	$Mary$		$[[NP]]$	$=$	$\lambda P.P_M$	
$NP$	$\rightarrow$	$John$		$[[NP]]$	$=$	$\lambda P.P_J$	
$NP$	$\rightarrow$	$NP_1$	$Conj$ $NP_2$	$[[NP]]$	$=$	$\lambda r.[[Conj]] ([[NP_1]] r) ([[NP_2]] r)$	
$Conj$	$\rightarrow$	$and$		$[[Conj]]$	$=$	$\lambda s_1 s_2.s_1 \wedge s_2$	

- $[[John\ loves\ a\ woman]] = ?$
- $[[Every\ man\ loves\ some\ woman]] = ?$
- How do you get an object wide scope reading?

## Adjectives

## Grammar

$S$	$\rightarrow$	$NP$	$VP$	$[[S]]$	$=$	$[[VP]]$	$[[NP]]$
$NP$	$\rightarrow$	$Det$	$N$	$[[NP]]$	$=$	$[[Det]]$	$[[N]]$
$Det$	$\rightarrow$	$a$		$[[Det]]$	$=$	$\lambda PQ. \exists x. P x \wedge Q x$	
$Det$	$\rightarrow$	$every$		$[[Det]]$	$=$	$\lambda PQ. \forall x. P x \Rightarrow Q x$	
$VP$	$\rightarrow$	$smiles$		$[[VP]]$	$=$	$\lambda s. s(\lambda x. SMILE x)$	
$N$	$\rightarrow$	$man$		$[[N]]$	$=$	$MAN$	
$NP$	$\rightarrow$	$Mary$		$[[NP]]$	$=$	$\lambda P. P_M$	
$NP$	$\rightarrow$	$John$		$[[NP]]$	$=$	$\lambda P. P_J$	
$N$	$\rightarrow$	$Adj$	$N$	$[[N]]$	$=$	$\lambda x. ([[Adj]] [[N]]) x$	
$Adj$	$\rightarrow$	$big$		$[[Adj]]$	$=$	$\lambda N. \lambda x. BIG x \wedge N x$	

- $[[big\ man]] = \lambda x. (BIG\ x) \wedge (MAN\ x)$  **intersective adjectives**
- $[[A\ big\ man\ smiles]] = ?$
- $[[beautiful\ dancer]] = ?$  **subsective adjectives**
- $[[former\ student]] = ?$  **non-intersective and non-subsective adjectives**

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  - Architecture of Grammatical Formalisms
  - $\lambda$ -terms in the Syntax... and Everywhere
  - Principles and Definition
  - ACG Composition: The Picture
  - About Word Order
  - Providing a Syntax-Semantics Interface to Context-Free Grammars
  - Modularity of the Components
  - A Functional View on TAG
  - TAG as ACG
  - The CG Approach to Scope Ambiguity
  - Removing Ambiguity From Syntax

## Some Observations on Various Grammatical Formalisms

*Syntactic Objects (trees, proofs, f-structures) are somehow prior and semantics must be parasitic on those syntactic objects*

*[Muskens, 2001]*

*Changing the syntactic analysis to simplify one mapping makes the other mapping more complex. A third possibility is to keep both correspondences simple by localizing the complexity in the syntactic component itself.(...) [T]here is a mismatch between phonology and meaning, which has to be encoded somewhere in the mapping among the levels of structure. If this mismatch is eliminated at one point in the system, it pops up elsewhere.*

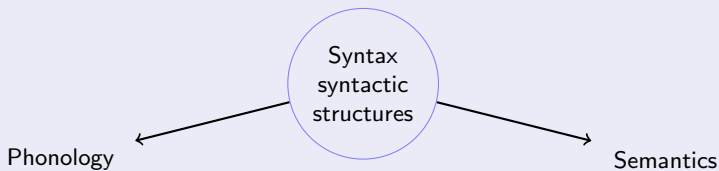
*[Jackendoff, 2002, p.15]*



# Mainstream Architectures

## On the Place of the Syntactic Component

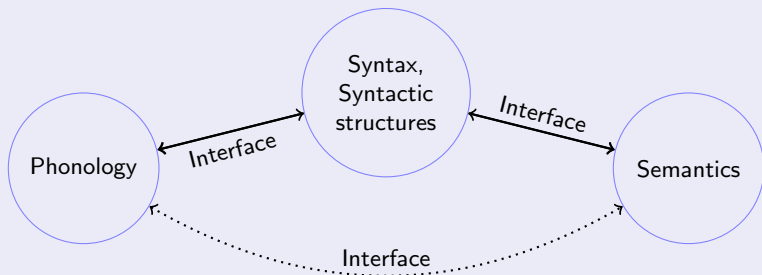
### Three Components



- Generative theory: "Free combinatoriality of language is due to a single source, localized in syntactic structure"
- **Syntactocentric** formalisms = **function** from the syntactic component to the other ones

# A Tripartite Parallel Architecture

## Three Components



**Weakly** Syntactocentric formalisms = **relation** between the syntactic component and the other ones

*Language comprises a number of independent combinatorial systems which are aligned with each other by means of a collection of interface systems. Syntax is among the combinatorial systems, but far from the only one. [Jackendoff, 2002]*

## $\lambda$ -terms in the Syntax... and Everywhere

### What are $\lambda$ -terms useful for?

- Montague-like semantics
- Generalization of trees and strings
- Any kind of signatures (atomic types and typed constants): FOL propositions, descriptions (LFG f-structures, URL), other logics
- Very well studied generative system
- Variable binding system

### Not that New in Syntax

- [Ranta, 1994],[Oehrle, 1994, Oehrle, 1995], [Muskins, 2001], [Muskins, 2003], [Kracht, 2003], [Pollard, 2004], [Pollard, 2008]...
- Movements in GB/MG to get S-structures.
- Index Transfer syntactic rule in Binding Theory
- TAG  $\rightarrow$  MCTAG

# The Tectogrammatical and Phenogrammatical Distinction

## On the Grammar Architecture [Curry, 1961]

- Tectogrammatical: abstract combinatorial structure of the grammar
- Phenogrammatical: concrete operations on syntactic data structures (strings, trees, descriptions)
- Contrary to the view that:
  - Syntactic objects are the main objects
  - Semantics (and phonology, and ...) are by-products

## Related Works

[Montague, 1974], [Dowty, 1982], [Ranta, 1994], [Oehrle, 1994, Oehrle, 1995],  
[Muskens, 2001], [Muskens, 2003], [Kracht, 2003], [Pollard, 2004], [Pollard, 2008]...

# ACG: a Grammatical Framework

## Main Features

- ACG is a (grammatical) **framework**
- An ACG  $\mathcal{G}$  generates **two** languages:
  - The **abstract** language  $\mathcal{A}(\mathcal{G})$
  - The **object** language  $\mathcal{O}(\mathcal{G})$

**Abstract language:** Admissible *structures* (as in syntactic structures)

**Object language:** *Realizations* of the admissible structures

- Both languages are the same objects: sets of (linear)  $\lambda$ -terms

## ACG: Formal Properties

Generative Power [de Groote and Pogodalla, 2004, Salvati, 2006, Kanazawa and Salvati, 2007, Kanazawa, 2009]

	String language	Tree language
$ACG_{(1,n)}$	finite	finite
$ACG_{(2,1)}$	regular	regular
$ACG_{(2,2)}$	context-free	linear context-free
$ACG_{(2,3)}$	non-duplicating macro well-nested multiple context-free	$\subset$ 1-visit attribute grammar
$ACG_{(2,4)}$	mildly context-sensitive (multiple context-free)	hyperedge replacement gram.
$ACG_{(2,4+n)}$	$ACG_{(2,4)}$	$ACG_{(2,4)}$
$ACG_{(3,n)}$	MELL decidability	MELL decidability

## Complexity

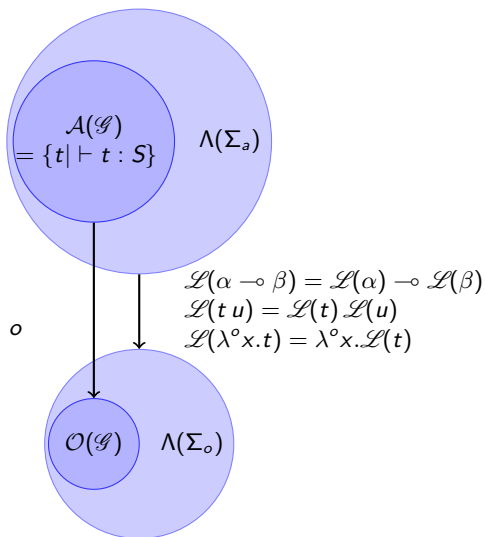
- $ACG_{(2,n)}$  parsing is polynomial, equivalent to datalog querying [Salvati, 2007, Kanazawa, 2007]
- Reduces to best cases with standard techniques (magic set rewriting) with correct prefix Earley algorithms [Kanazawa, 2008]

ACG Definition  $\mathcal{G} = \langle \Sigma_a, \Sigma_o, \mathcal{L}, S \rangle$ 

$\Sigma_a$   
 $NP, S$ : type  
 $CHRIS$ :  $NP$   
 $MET$ :  $NP \multimap NP \multimap S$

$\downarrow$   
 $\mathcal{L}(NP) = \sigma$   
 $\mathcal{L}(S) = \sigma$   
 $\mathcal{L}(CHRIS) = Chris$   
 $\mathcal{L}(MET) = \lambda o s. s + met + o$

$\Sigma_o$   
 $\sigma$ : type  
 $Chris$ :  $\sigma$   
 $met$ :  $\sigma$   
 $+$ :  $\sigma \multimap \sigma \multimap \sigma$



# Example

My First “Chris met Sandy” ACG Program

$$\begin{array}{ll} \Sigma_a : & \\ NP, S : & \text{type} \\ CHRIS, SANDY : & NP \\ MET : & NP \multimap NP \multimap S \end{array}$$

$$\begin{array}{ll} NP := \sigma & CHRIS := Chris \\ S := \sigma & SANDY := Sandy \\ & MET := \lambda^o os.s + met + o \end{array}$$

$$\begin{array}{ll} \Sigma_o : & \\ \sigma : & \text{type} \\ + : & \sigma \multimap \sigma \multimap \sigma \\ Chris, Sandy : & \sigma \\ met : & \sigma \end{array}$$

MET SANDY CHRIS : S

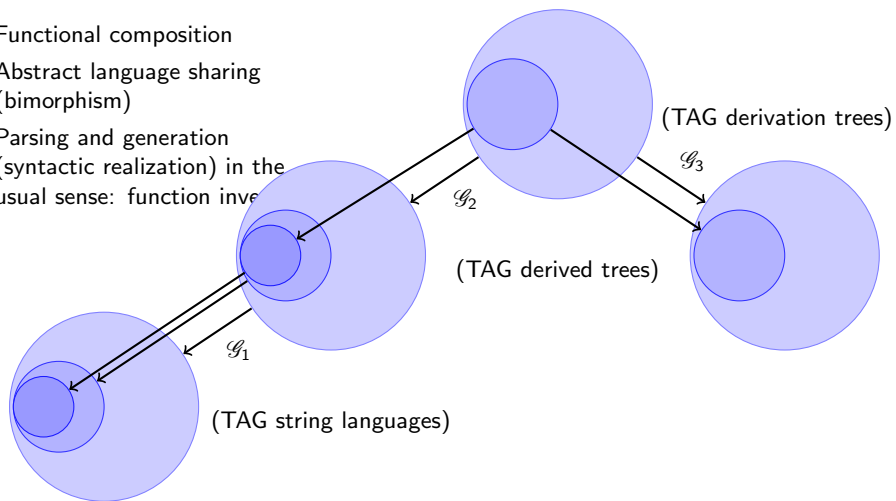
$$\begin{aligned} & \mathcal{L}(\text{MET SANDY CHRIS}) \\ &= \mathcal{L}(\text{MET}) \mathcal{L}(\text{SANDY}) \mathcal{L}(\text{CHRIS}) \\ &= (\lambda^o os.s + met + o)(Sandy)(Chris) \\ &= (\lambda^o s.s + met + Sandy)(Chris) \\ &= Chris + met + Sandy \end{aligned}$$



## ACG Architecture

## Composition Ability

- Functional composition
- Abstract language sharing (bimorphism)
- Parsing and generation (syntactic realization) in the usual sense: function inverse



## Intermediate Conclusion

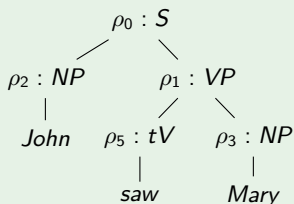
### So far...

- Discussion on possible architectures of grammatical formalisms
- Discussion on function-compositional properties of ACG and *modularity*:
  - Abstract structures are mapped to object structures
  - Object structures: Strings, Simple semantic objects, Complex semantic objects:
    - Continuized semantics:  $S := (t \multimap t) \multimap t$
    - Results of ACG composition
    - Dynamic semantics:  $S := \gamma \multimap (\gamma \multimap t) \multimap t$  [de Groote, 2006]  
ARC CAuLD: Construction Automatique de représentations Logiques du Discours,  
<http://www.loria.fr/~pogodalla/cauld/>
  - Underspecified representations
- Algorithms for parsing and generation (in the usual sense) are essentially the same: **ACG parsing : finding the abstract antecedent of an object**
- Abstract structures?

## CFG into ACG Encoding

## Example (CFG)

$\rho_0 : S \rightarrow NP VP$   
 $\rho_1 : VP \rightarrow tV NP$   
 $\rho_2 : NP \rightarrow John$   
 $\rho_3 : NP \rightarrow Mary$   
 $\rho_4 : VP \rightarrow left$   
 $\rho_5 : tV \rightarrow saw$



## CFG as ACG

	$\Sigma_{Rules}$	$\mathcal{L}_{CFG}$	$\Sigma_{Strings}$
$\rho_0$	$: NP \multimap VP \multimap S$	$:=$	$\lambda xy.x + y : \sigma \multimap \sigma \multimap \sigma$
$\rho_1$	$: tV \multimap NP \multimap VP$	$:=$	$\lambda xy.x + y : \sigma \multimap \sigma \multimap \sigma$
$\rho_2$	$: NP$	$:=$	$John : \sigma$
$\rho_3$	$: NP$	$:=$	$Mary : \sigma$
$\rho_4$	$: VP$	$:=$	$left : \sigma$
$\rho_5$	$: tV$	$:=$	$saw : \sigma$

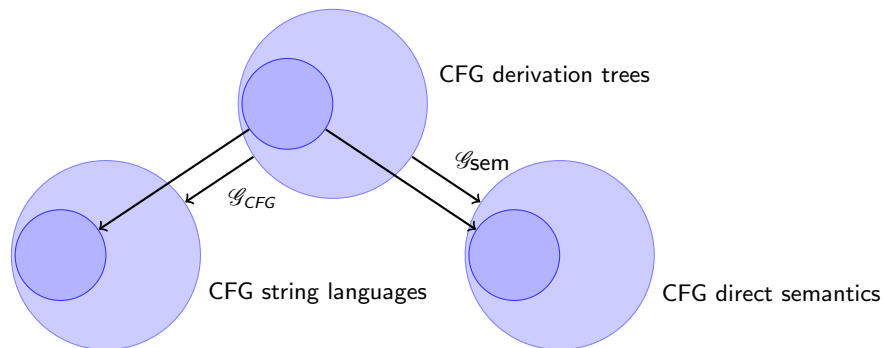
## CFG into ACG Encoding (cont'd)

## CFG as ACG

	$\Sigma_{Rules}$	$\mathcal{L}_{CFG}$	$\Sigma_{Strings}$
$\rho_0$	$: NP \multimap VP \multimap S$	$:=$	$\lambda xy.x + y : \sigma \multimap \sigma \multimap \sigma$
$\rho_1$	$: tV \multimap NP \multimap VP$	$:=$	$\lambda xy.x + y : \sigma \multimap \sigma \multimap \sigma$
$\rho_2$	$: NP$	$:=$	$John : \sigma$
$\rho_3$	$: NP$	$:=$	$Mary : \sigma$
$\rho_4$	$: VP$	$:=$	$left : \sigma$
$\rho_5$	$: tV$	$:=$	$saw : \sigma$

$$\begin{aligned}
 \mathcal{L}_{CFG}(\rho_0 \rho_2 (\rho_1 \rho_5 \rho_3) : S) &= (\lambda xy.x + y) John ((\lambda xy.x + y) saw Mary) \\
 &\rightarrow_{\beta} (\lambda y. John + y) ((\lambda y. saw + y) Mary) \\
 &\rightarrow_{\beta} (\lambda y. John + y) (saw + Mary) \\
 &\rightarrow_{\beta} John + (saw + Mary)
 \end{aligned}$$

## CFG Encoding



## A Direct Semantics

## Sharing Abstract Languages

## CFG syntax as ACG

	$\Sigma_{Rules}$	$\mathcal{L}_{CFG}$	$\Sigma_{Strings}$
$\rho_0$	$: NP \multimap VP \multimap S$	$:=$	$\lambda xy.x + y : \sigma \multimap \sigma \multimap \sigma$
$\rho_1$	$: tV \multimap NP \multimap VP$	$:=$	$\lambda xy.x + y : \sigma \multimap \sigma \multimap \sigma$
$\rho_2$	$: NP$	$:=$	<b>John</b> : $\sigma$
$\rho_3$	$: NP$	$:=$	<b>Mary</b> : $\sigma$
$\rho_4$	$: VP$	$:=$	<b>left</b> : $\sigma$
$\rho_5$	$: tV$	$:=$	<b>saw</b> : $\sigma$

## CFG (direct) semantics as ACG

	$\Sigma_{Rules}$	$\mathcal{L}_{sem}$	$\Sigma_{Log}$
$\rho_0$	$: NP \multimap VP \multimap S$	$:=$	$\lambda sP.P s : e \multimap (e \multimap t) \multimap t$
$\rho_1$	$: tV \multimap NP \multimap VP$	$:=$	$\lambda Pos.P s o : (e \multimap e \multimap t) \multimap e \multimap e \multimap t$
$\rho_2$	$: NP$	$:=$	<b>John</b> : $e$
$\rho_3$	$: NP$	$:=$	<b>Mary</b> : $e$
$\rho_4$	$: VP$	$:=$	<b>left</b> : $e \multimap t$
$\rho_5$	$: tV$	$:=$	<b>saw</b> : $e \multimap e \multimap t$

## A Direct Semantics (cont'd)

## CFG (direct) semantics as ACG

$\Sigma_{Rules}$	$\mathcal{L}_{sem}$	$\Sigma_{Log}$
$\rho_0 : NP \multimap VP \multimap S$	$:= \lambda s P.P s$	$: e \multimap (e \multimap t) \multimap t$
$\rho_1 : tV \multimap NP \multimap VP$	$:= \lambda Pos.P s o$	$: (e \multimap e \multimap t) \multimap e \multimap e \multimap t$
$\rho_2 : NP$	$:= \mathbf{John}$	$: e$
$\rho_3 : NP$	$:= \mathbf{Mary}$	$: e$
$\rho_4 : VP$	$:= \mathbf{left}$	$: e \multimap t$
$\rho_5 : tV$	$:= \mathbf{saw}$	$: e \multimap e \multimap t$

$$\begin{aligned}
 \mathcal{L}_{sem}(\rho_0 \rho_2 (\rho_1 \rho_5 \rho_3) : S) &= (\lambda s P.P s) \mathbf{John} ((\lambda Pos.P s o) \mathbf{saw} \mathbf{Mary}) \\
 &\rightarrow_{\beta} (\lambda P.P \mathbf{John}) ((\lambda os.\mathbf{saw} s o) \mathbf{Mary}) \\
 &\rightarrow_{\beta} (\lambda P.P \mathbf{John}) (\lambda^{\circ} s.\mathbf{saw} s \mathbf{Mary}) \\
 &\rightarrow_{\beta} (\lambda^{\circ} s.\mathbf{saw} s \mathbf{Mary}) \mathbf{John} \\
 &\rightarrow_{\beta} \mathbf{saw} \mathbf{John} \mathbf{Mary}
 \end{aligned}$$

## A Continued (Higher-Order) Semantics

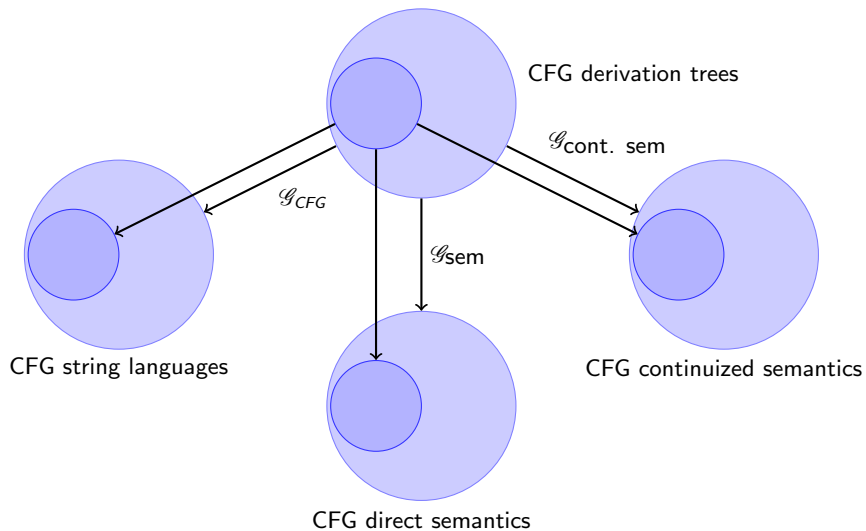
## CFG continued semantics as ACG [Barker, 2002]

	$\Sigma_{Rules}$	$\mathcal{L}_{sem}$	$\Sigma_{Log}$
$S$		$:=$	$(t \multimap t) \multimap t$
$NP$		$:=$	$(e \multimap t) \multimap t$
$VP$		$:=$	$((e \multimap t) \multimap t) \multimap t$
$tV$		$:=$	$((e \multimap e \multimap t) \multimap t) \multimap t$
$N$		$:=$	$((e \multimap t) \multimap t) \multimap t$
$Det$		$:=$	$((((e \multimap t) \multimap t) \multimap t) \multimap t) \multimap (e \multimap t) \multimap t$
$\rho_0$	$: NP \multimap VP \multimap S$	$:=$	$\lambda^\circ svp.v(\lambda^\circ P.s(\lambda^\circ x.p(Px)))$
$\rho_1$	$: tV \multimap NP \multimap VP$	$:=$	$\lambda^\circ voP.v(\lambda^\circ R.o(\lambda^\circ y.P(Ry)))$
$\rho_2$	$: NP$	$:=$	$\lambda^\circ P.P \text{ John}$
$\rho_5$	$: tV$	$:=$	$\lambda^\circ P.P \text{ saw}$
$\rho_{every}$	$: Det$	$:=$	$\lambda^\circ KP.K(\lambda^\circ Q.\forall x.(Qx) \Rightarrow (Px))$

- Scope ambiguity
- Scope displacement
- NP as a scope island

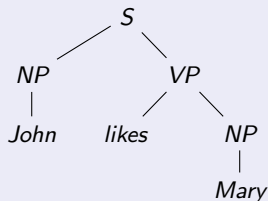


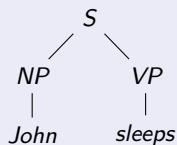
## CFG Encoding



Trees as  $\lambda$ -Terms

## Trees Build on a Ranked Alphabet



$$S_2(NP_1 John)(VP_2 likes(NP_1 Mary))$$


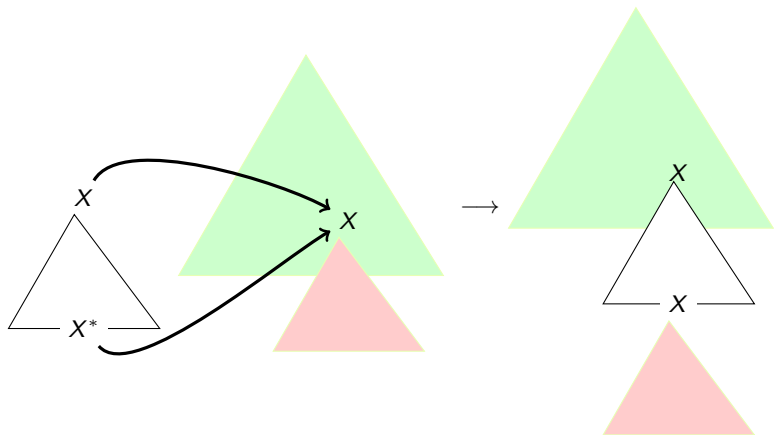
$$S_2(NP_1 John)(VP_1 sleeps)$$

- *S* of arity 2 (non-terminal)
- *NP* of arity 1 (non-terminal)
- *VP*? *VP*<sub>1</sub> of arity 1 and *VP*<sub>2</sub> of arity 2 (non-terminals)
- *John* of arity 0 (terminal)

- $S_2 : \tau \multimap \tau \multimap \tau$
- $NP_1 : \tau \multimap \tau$
- $VP_1 : \tau \multimap \tau$ ,  $VP_2 : \tau \multimap \tau \multimap \tau$
- $John : \tau$

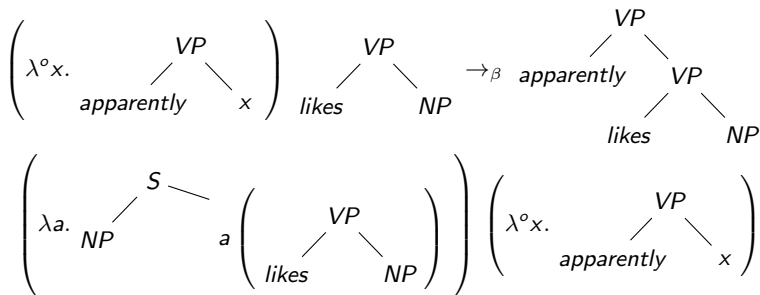
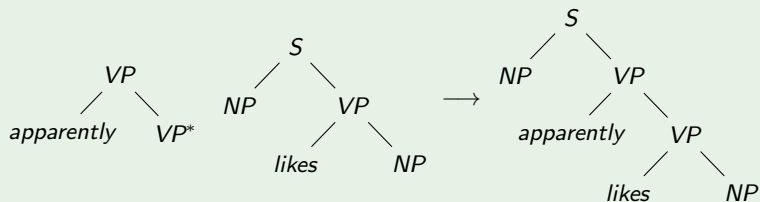
## A Functional View on TAG

Tree Adjunction:

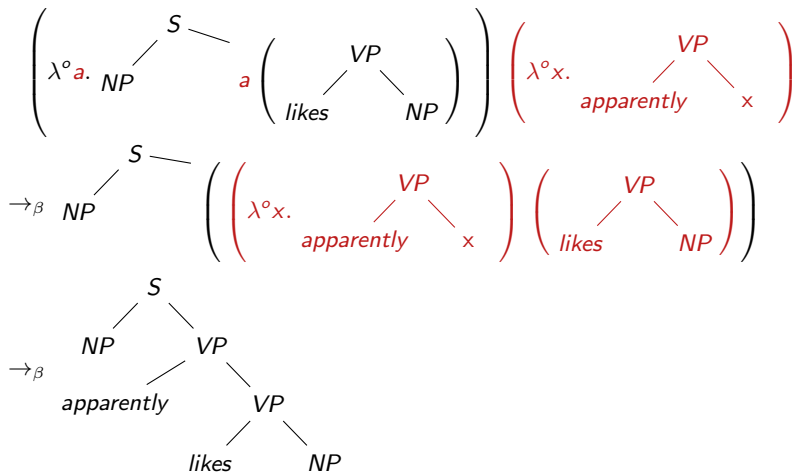


## Auxiliary Trees as Functions

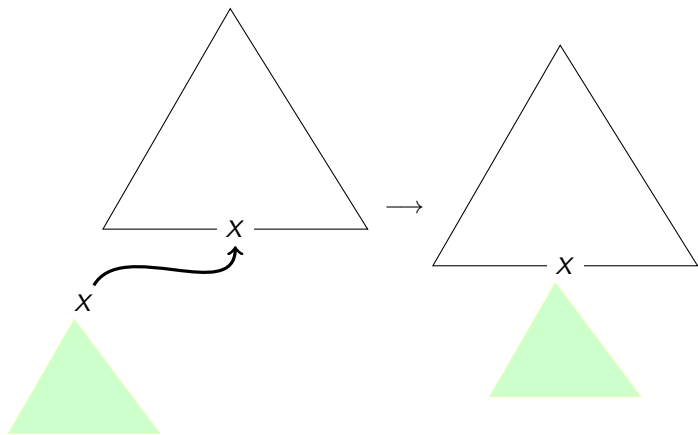
## Example



## Adjunction as Functional Application

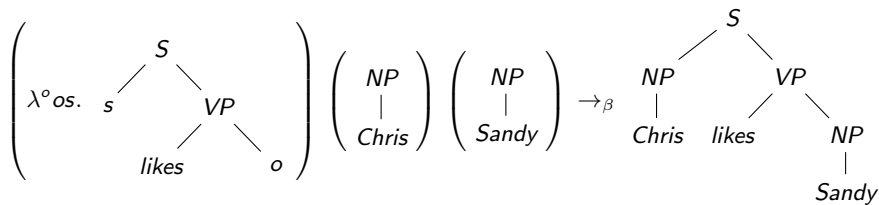
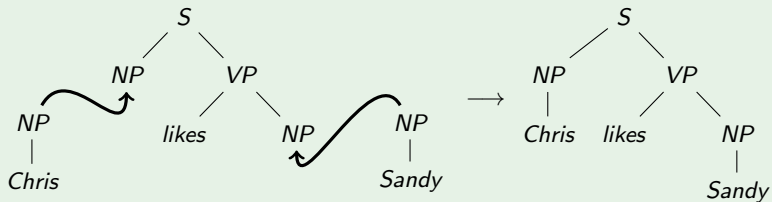
 $\gamma'_{likes} \gamma'_{apparently} =$ 


# Substitution Operation



## Substitution as Functional Application

## Example



## Putting Everything Together

 $\Sigma_{\text{trees}}$ : $\tau$  : type

$$\gamma_{\text{apparently}} = \lambda^{\circ} a x. a \left( \begin{array}{c} \text{VP} \\ / \quad \backslash \\ \text{apparently} \quad x \end{array} \right) \quad : (\tau \multimap \tau) \multimap \tau \multimap \tau$$

$$I = \lambda^{\circ} x. x \quad : \tau \multimap \tau$$

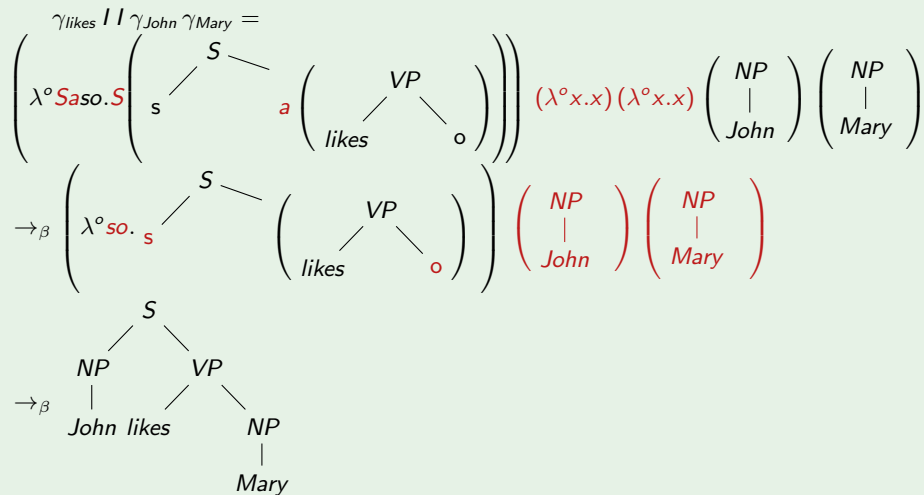
$$\gamma_{\text{John}} = \begin{array}{c} \text{NP} \\ | \\ \text{John} \end{array} \quad : \tau$$

$$\gamma_{\text{likes}} = \lambda^{\circ} S a s o. S \left( \begin{array}{c} S \\ / \quad \backslash \\ s \quad a \left( \begin{array}{c} \text{VP} \\ / \quad \backslash \\ \text{likes} \quad o \end{array} \right) \end{array} \right) \quad : \begin{array}{l} (\tau \multimap \tau) \\ \multimap (\tau \multimap \tau) \\ \multimap \tau \multimap \tau \multimap \tau \end{array}$$



## TAG Derivation as Term Application

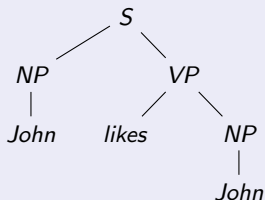
## Example



## Yield as an ACG

## Derived Tree Signature

- $S_2 : \tau \multimap \tau \multimap \tau$
- $NP_1 : \tau \multimap \tau$
- $VP_1 : \tau \multimap \tau, VP_2 : \tau \multimap \tau \multimap \tau$
- $John : \tau$



$$S_2(NP_1 John)(VP_2 likes(NP_1 Mary))$$

## String signature (as before):

$\sigma$  : type  
 $John, likes \dots$  :  $\sigma$

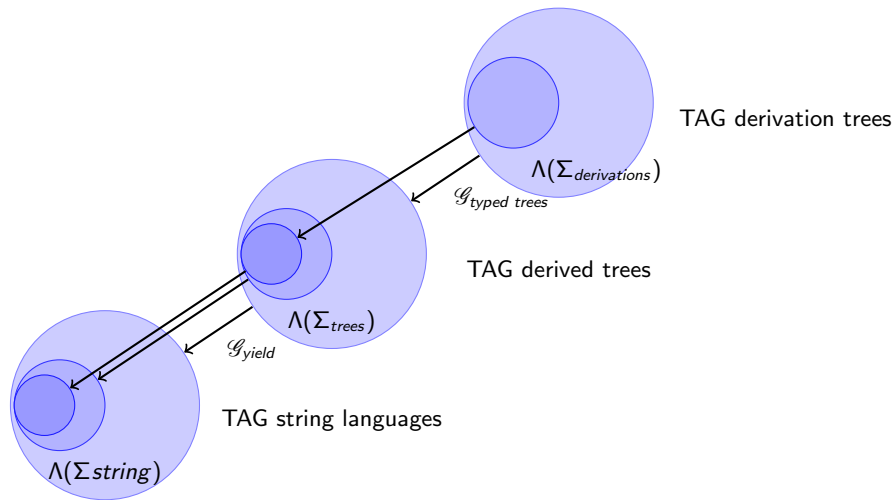
 $\mathcal{G}_{Yield}$ 

$\tau$  :=  $\sigma$       $John$  :=  $John$   
 $X_1$  :=  $\lambda x.x$       $X_2$  :=  $\lambda xy.x + y$   
 ...

$$S_2(NP_1 John)(VP_2 likes(NP_1 Mary)) := John + (likes + Mary)$$

## TAG as ACG

## The Current Picture



$\mathcal{A}(\mathcal{G}_{yield}) = \text{TAG Derived Trees?}$ 
 $\Sigma_{trees}$ :

 $\tau$  : type

 $\gamma_{\text{apparently}} = \lambda^{\circ} a x . a \left( \begin{array}{c} \text{VP} \\ / \quad \backslash \\ \text{apparently} \quad x \end{array} \right) : (\tau \multimap \tau) \multimap \tau \multimap \tau$ 
 $I = \lambda^{\circ} x . x : \tau \multimap \tau$ 
 $\gamma_{\text{John}} = \begin{array}{c} \text{NP} \\ | \\ \text{John} \end{array} : \tau$ 
 $\gamma_{\text{apparently}} I \gamma_{\text{John}} = \begin{array}{c} \text{VP} \\ / \quad \backslash \\ \text{apparently} \quad \text{NP} \\ | \\ \text{John} \end{array}$

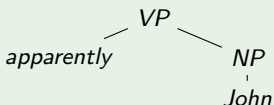
## TAG as ACG

## Category Induced Constraints

- The site of an adjunction has the same category as the root (and foot) node of the auxiliary tree
- The site of a substitution has the same category as the root node of the substituted tree

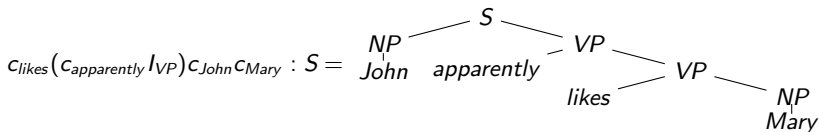
$$\begin{array}{llll}
 & \Sigma_{\text{derivations}} & \xrightarrow{\mathcal{L}_{\text{typed trees}}} & \Sigma_{\text{trees}} \\
 c_{\text{John}} & : NP & := & \gamma_{\text{John}} : \tau \\
 c_{\text{apparently}} & : (VP \multimap VP) \multimap VP \multimap VP & := & \gamma_{\text{apparently}} : (\tau \multimap \tau) \multimap \tau \\
 & & & \multimap \tau \\
 NP, VP, S \dots & : \text{types} & := & \sigma
 \end{array}$$

## Example

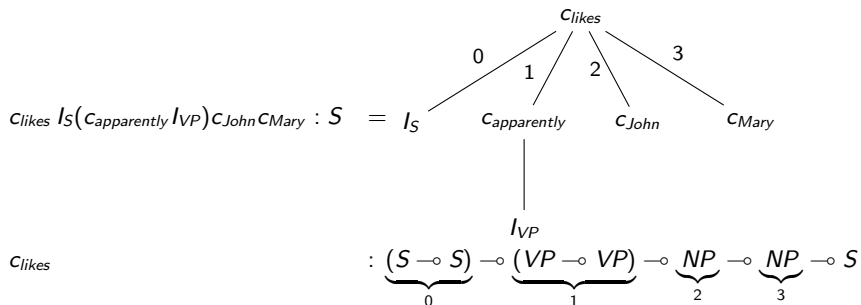
There is no  $t : VP \in \Lambda(\Sigma_{\text{derivations}})$  such that  $t :=$  

## Control on the Derived Trees

$$\mathcal{G}_{\text{typed trees}} = \langle \Sigma_{\text{derivations}}, \Sigma_{\text{trees}}, \mathcal{L}_{\text{typed trees}}, S \rangle$$

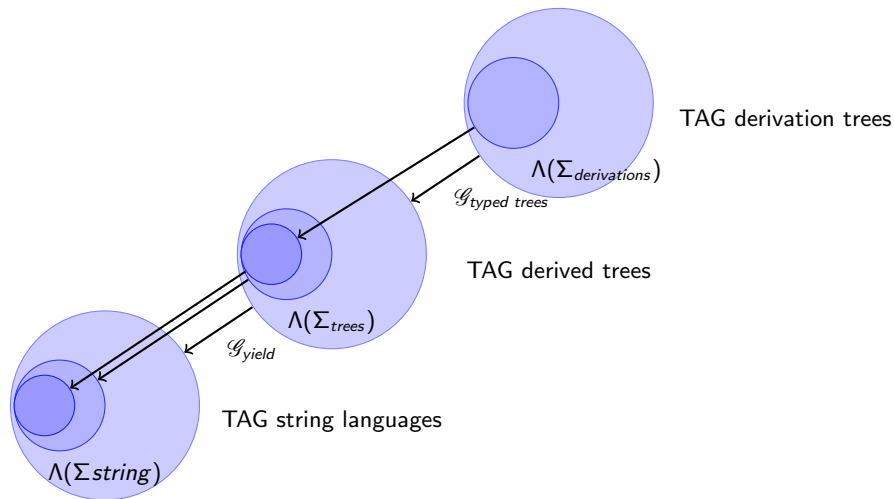
 $NP, VP, S \dots$ 
 $:= \sigma$ 
 $c_{\text{John}} : NP$ 
 $:= \begin{array}{c} NP \\ | \\ \text{John} \end{array}$ 
 $c_{\text{apparently}} : (VP \multimap VP) \multimap VP \multimap VP$ 
 $:= \lambda^{\circ} ax.a \left( \begin{array}{c} VP \\ | \quad \backslash \\ \text{apparently} \quad x \end{array} \right)$ 
 $c_{\text{likes}} : (S \multimap S) \multimap (VP \multimap VP) \\ \multimap NP \multimap NP \multimap S$ 
 $:= \lambda^{\circ} Saso.S \left( \begin{array}{c} s \quad S \\ \backslash \quad / \\ \quad \quad a \left( \begin{array}{c} VP \\ | \quad \backslash \\ \text{likes} \quad o \end{array} \right) \end{array} \right)$ 


## TAG Derivation Trees as Abstract Terms



## TAG as ACG

## Intermediate Picture





# Let's Build Some Semantic Representation

Forgetting few seconds about TAG, we have:

- A higher-order signature  $\Sigma_{derivations}$  :
 

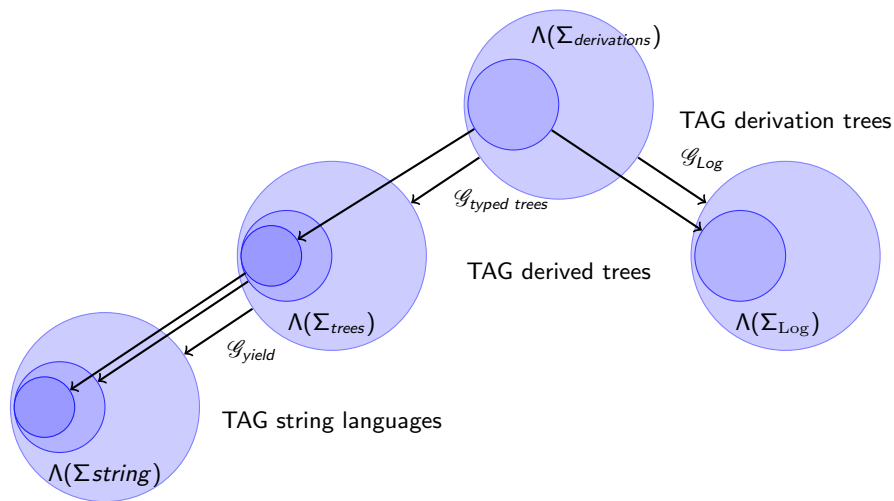
$VP, NP, S$	: types
$c_{john}, c_{mary}$	: $NP$
$C_{apparently}$	: $VP \multimap VP$
$C_{likes}$	: $(VP \multimap VP) \multimap NP \multimap S$
- Some knowledge about Montague-like semantics?

## A standard interpretation

$S$	$:= t$	$NP$	$:= (e \multimap t) \multimap t$
$VP$	$:= e \multimap t$		
$c_{john}$	$:= \lambda^o P.P j$	$C_{apparently}$	$:= \lambda^o aP.a(\lambda x.\mathbf{apparently}(P x))$
$I_{VP}$	$:= \lambda x.x$	$C_{likes}$	$:= \lambda aos.s(a(\lambda x.o(\lambda y.\mathbf{like} x y)))$

How to get the object wide scope reading?

## TAG with Semantics



## Intermediate Conclusion

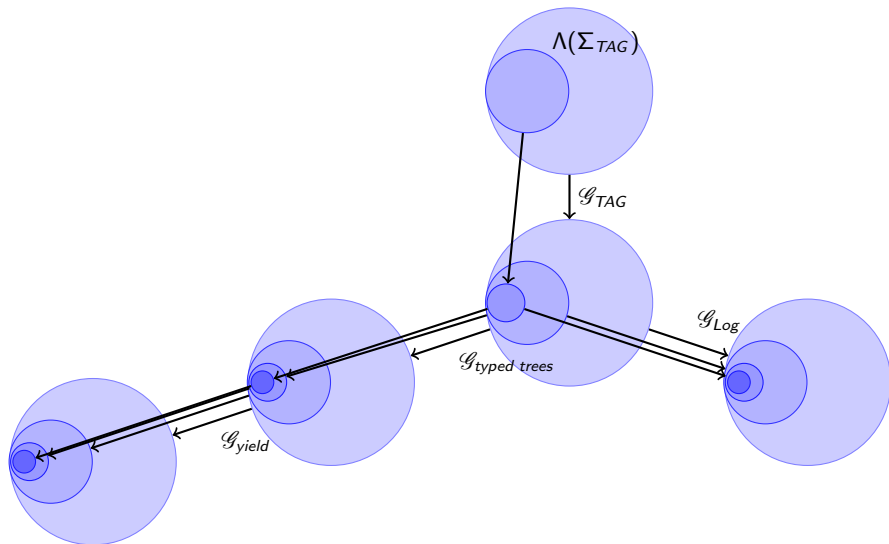
### So far

- Trees as  $\lambda$ -terms
- Yield as an ACG
- Typing control: ACG from derivation trees to derived trees
- Some semantics added. Is it a function from syntax?

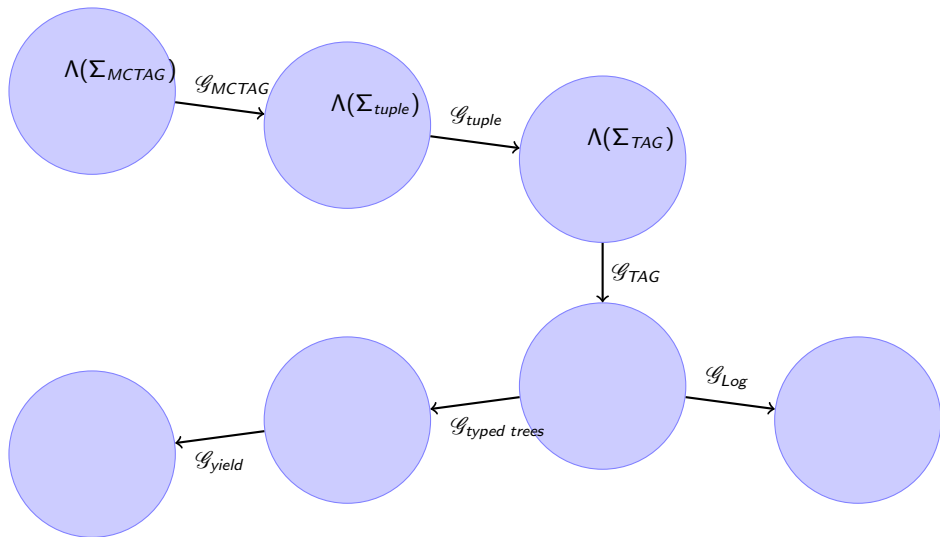
### Questions?

- Any TAG **feature** missing?
- Order of  $\mathcal{G}_{\text{typed trees}}$ ? (*Clitics* :  $(VP \multimap VP) \multimap NP \multimap S$ )

## The Actual Picture



## The Final Picture



## Scope Ambiguities

*every man loves some woman*  $\rightarrow \begin{cases} \forall x.\mathbf{man} x \rightarrow (\exists y.\mathbf{woman} y \wedge \mathbf{love} x y) \\ \exists y.\mathbf{woman} y \wedge (\forall x.\mathbf{man} x \rightarrow \mathbf{love} x y) \end{cases}$

## CFG-like systems

$$\llbracket \mathit{loves} \rrbracket = \lambda o s.s(\lambda x.o(\lambda y.LOVE(x, y)))$$

$$\llbracket \mathit{loves} \rrbracket = \lambda o s.o(\lambda y.s(\lambda x.LOVE(x, y)))$$

Underspecified framework (see [the section on underspecification](#))

*every man loves some woman*  $\rightarrow \triangle \rightarrow \square ? \rightarrow \begin{cases} \forall x.\mathbf{man} x \rightarrow (\exists y.\mathbf{woman} y \wedge \mathbf{love} x y) \\ \exists y.\mathbf{woman} y \wedge (\forall x.\mathbf{man} x \rightarrow \mathbf{love} x y) \end{cases}$

## Type Logical framework

*every man loves some woman*  $\rightarrow \triangle \triangle \rightarrow \begin{cases} \forall x.\mathbf{man} x \rightarrow (\exists y.\mathbf{woman} y \wedge \mathbf{love} x y) \\ \exists y.\mathbf{woman} y \wedge (\forall x.\mathbf{man} x \rightarrow \mathbf{love} x y) \end{cases}$

## Strengths and Weaknesses

### Underspecified framework

#### Pros:

- One syntactic analysis
- Expressivity

#### Cons:

- Description language
- Ambiguity in the semantic recipe, not in the interface

### TL framework

#### Pros:

- No intermediate language
- Ambiguity handled by the process

#### Cons:

- Syntactic ambiguity

### Question

Is there an ACG way providing a proof-theoretic approach with only one syntactic structure and no intermediate language?

## Scope Ambiguity in Categorical grammars

## The standard way

$$\begin{array}{c}
 \text{one} \\
 \text{NP} \backslash S \\
 \hline
 \text{loves} \\
 \text{NP} \backslash S / \text{NP} \quad [NP] \\
 \hline
 \text{NP} \backslash S \\
 \hline
 S \\
 \hline
 S / \text{NP} \\
 \hline
 C_{\text{someone}} (\lambda^o y. C_{\text{everyone}} (\lambda^o x. C_{\text{loves}} y x))
 \end{array}
 \qquad
 \begin{array}{c}
 \text{everyone} \\
 S / (\text{NP} \backslash S) \\
 \hline
 \text{loves} \\
 \text{NP} \backslash S / \text{NP} \\
 \hline
 S / \text{NP} \\
 \hline
 S \\
 \hline
 \text{NP} \backslash S \\
 \hline
 C_{\text{everyone}} (\lambda^o x. C_{\text{someone}} (\lambda^o y. C_{\text{loves}} y x))
 \end{array}$$

## The ACG way

- Replace  $\backslash$  and  $/$  by  $\multimap$
- $C_{\text{everyone}} : (\text{NP} \multimap S) \multimap S$



## Scope Ambiguity in ACG: The Semantics

$\begin{array}{l} \Sigma_{CG} \\ C_{loves} : NP \multimap NP \multimap S \\ C_{everyone} : (NP \multimap S) \multimap S \\ C_{someone} : (NP \multimap S) \multimap S \end{array}$	$\xrightarrow{\quad}$	$\begin{array}{l} \Sigma_{log} \\ \text{LOVES} : e \multimap e \multimap t \\ \forall, \exists : (e \rightarrow t) \multimap \\ \wedge, \Rightarrow : t \multimap t \multimap t \end{array}$
$\mathcal{L}_{\text{amb-log}}$		
$\begin{array}{l} NP := e \\ S := t \\ C_{loves} := \lambda^o os. \text{LOVES}(s.o) \\ C_{everyone} := \lambda^o P. \forall x. \text{HUMAN}(x) \Rightarrow P x \\ C_{someone} := \lambda^o P. \exists y. \text{HUMAN}(y) \wedge P y \end{array}$		

$$\begin{aligned} & C_{everyone}(\lambda^o x. C_{someone}(\lambda^o y. C_{loves} x y)) \\ & :=_{\text{amb-log}} (\lambda^o P. \forall x. \text{HUMAN}(x) \Rightarrow P x) ((\lambda^o x. (\lambda^o P. \exists y. \text{HUMAN}(y) \wedge P y) (\lambda^o y. \text{LOVES}(x, y)))) \\ & \rightarrow_{\beta} (\lambda^o P. \forall x. \text{HUMAN}(x) \Rightarrow P x) ((\lambda^o x. (\exists y. \text{HUMAN}(y) \wedge \text{LOVES}(x, y)))) \\ & \rightarrow_{\beta} (\forall x. \text{HUMAN}(x) \Rightarrow (\exists y. \text{HUMAN}(y) \wedge \text{LOVES}(x, y))) \end{aligned}$$

## Scope Ambiguity in ACG: The Semantics (cont'd)

$\begin{array}{l} \Sigma_{CG} \\ C_{loves} : NP \multimap NP \multimap S \\ C_{everyone} : (NP \multimap S) \multimap S \\ C_{someone} : (NP \multimap S) \multimap S \end{array}$	$\xrightarrow{\quad}$	$\begin{array}{l} \Sigma_{log} \\ \text{LOVES} : e \multimap e \multimap t \\ \forall, \exists : (e \rightarrow t) \multimap \\ \wedge, \Rightarrow : t \multimap t \multimap t \end{array}$
$\mathcal{L}_{\text{amb-log}}$		
$\begin{array}{l} NP := e \\ S := t \\ C_{loves} := \lambda^o os. \text{LOVES}(s.o) \\ C_{everyone} := \lambda^o P. \forall x. \text{HUMAN}(x) \Rightarrow P x \\ C_{someone} := \lambda^o P. \exists y. \text{HUMAN}(y) \wedge P y \end{array}$		

$$\begin{aligned} & C_{someone}(\lambda^o y. C_{everyone}(\lambda^o x. C_{loves} x y)) \\ & :=_{\text{amb-log}} (\lambda^o P. \exists y. \text{HUMAN}(y) \wedge P y) ((\lambda^o x. (\lambda^o P. \forall x. \text{HUMAN}(x) \Rightarrow P y) (\lambda^o y. \text{LOVES}(x, y)))) \\ & \rightarrow_{\beta} (\lambda^o P. \exists y. \text{HUMAN}(y) \wedge P x) ((\lambda^o x. (\forall x. \text{HUMAN}(x) \Rightarrow \text{LOVES}(x, y)))) \\ & \rightarrow_{\beta} (\exists y. \text{HUMAN}(y) \wedge (\forall x. \text{HUMAN}(x) \Rightarrow \text{LOVES}(x, y))) \end{aligned}$$

## Scope Ambiguity in ACG

$$\begin{array}{l}
 \Sigma_{CG} \\
 C_{loves} : NP \multimap NP \multimap S \\
 C_{everyone} : (NP \multimap S) \multimap S \\
 C_{someone} : (NP \multimap S) \multimap S
 \end{array}
 \xrightarrow{\quad}
 \begin{array}{l}
 \Sigma_{string} \\
 loves : \sigma \\
 everyone : \sigma \\
 someone : \sigma
 \end{array}$$

 $\mathcal{L}_{amb-string}$ 

$$C_{loves} := \lambda^{\circ}os.s + loves + o$$

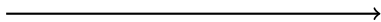
$$C_{everyone} := \lambda^{\circ}P.P everyone$$

$$C_{someone} := \lambda^{\circ}P.P someone$$

$$\begin{aligned}
 & C_{everyone}(\lambda^{\circ}x.C_{someone}(\lambda^{\circ}y.C_{loves} x y)) \\
 & :=_{amb-string} (\lambda^{\circ}P.P everyone)((\lambda^{\circ}x.\lambda^{\circ}P.P someone)(\lambda^{\circ}y.(\lambda^{\circ}os.s + loves + o) y x)) \\
 & \rightarrow_{\beta} (\lambda^{\circ}P.P everyone)((\lambda^{\circ}x.\lambda^{\circ}P.P someone)(\lambda^{\circ}y.x + loves + y)) \\
 & \rightarrow_{\beta} (\lambda^{\circ}P.P everyone)(\lambda^{\circ}x.(\lambda^{\circ}y.x + loves + y) someone) \\
 & \rightarrow_{\beta} (\lambda^{\circ}P.P everyone)(\lambda^{\circ}x.x + loves + someone) \\
 & \rightarrow_{\beta} (\lambda^{\circ}x.x + loves + someone) everyone \\
 & \rightarrow_{\beta} everyone + loves + someone
 \end{aligned}$$

## Scope Ambiguity in ACG (cont'd)

$$\begin{array}{l} \Sigma_{CG} \\ C_{loves} : NP \multimap NP \multimap S \\ C_{everyone} : (NP \multimap S) \multimap S \\ C_{someone} : (NP \multimap S) \multimap S \end{array}$$



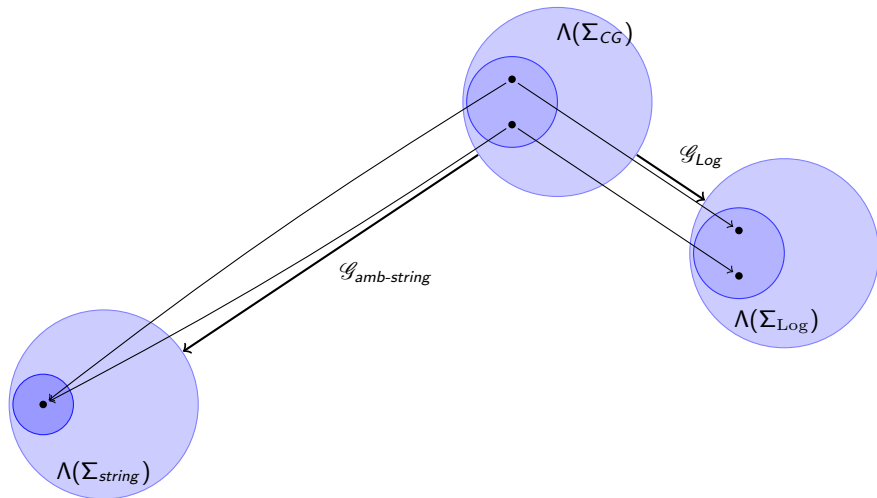
$$\begin{array}{l} \Sigma_{string} \\ loves : \sigma \\ everyone : \sigma \\ someone : \sigma \end{array}$$

$$\begin{array}{l} \mathcal{L}_{amb-string} \\ C_{loves} := \lambda^o os.s + loves + o \\ C_{everyone} := \lambda^o P.P everyone \\ C_{someone} := \lambda^o P.P someone \end{array}$$

$$\begin{aligned} & C_{someone}(\lambda^o y. C_{someone}(\lambda^o x. C_{loves} x y)) \\ & :=_{amb-string} (\lambda^o P.P someone)((\lambda^o y. \lambda^o P.P everyone)(\lambda^o x. (\lambda^o os.s + loves + o) y x)) \\ & \rightarrow_{\beta} (\lambda^o P.P someone)((\lambda^o y. \lambda^o P.P everyone)(\lambda^o x. x + loves + y)) \\ & \rightarrow_{\beta} (\lambda^o P.P someone)(\lambda^o y. (\lambda^o x. x + loves + y) everyone) \\ & \rightarrow_{\beta} (\lambda^o P.P someone)(\lambda^o y. everyone + loves + y) \\ & \rightarrow_{\beta} (\lambda^o y. everyone + loves + x) someone \\ & \rightarrow_{\beta} everyone + loves + someone \end{aligned}$$

# Scope Ambiguity

Non Injective Lexicon



## Scope Ambiguity in ACG (cont'd)

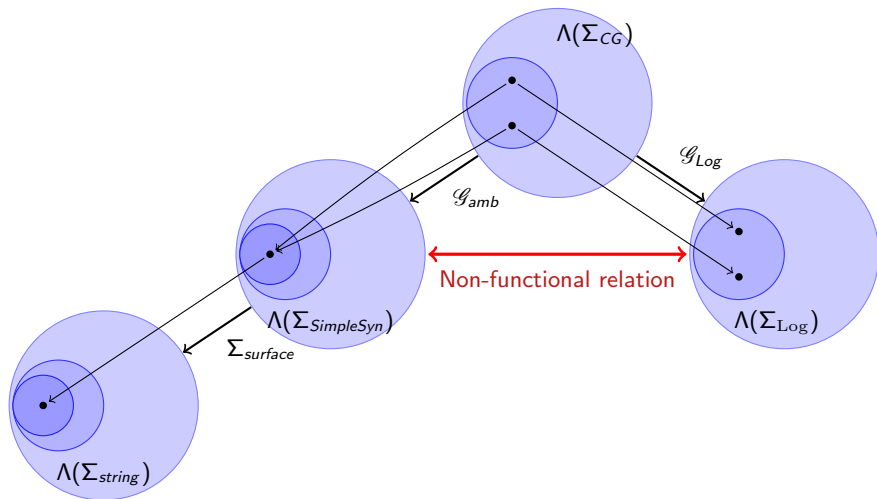
$$\begin{array}{ccc}
 \Sigma_{CG} & & \Sigma_{SimpleSyn} \\
 C_{loves} & : NP \multimap NP \multimap S & C_{loves} & : NP \multimap NP \multimap S \\
 C_{everyone} & : (NP \multimap S) \multimap S & C_{everyone} & : NP \\
 C_{someone} & : (NP \multimap S) \multimap S & C_{someone} & : NP
 \end{array}
 \longrightarrow$$

$$\begin{array}{l}
 \mathcal{L}_{amb} \\
 C_{loves} \quad := \lambda^{\circ} os. loves \circ s \lambda^{\circ} os. c_{loves} \circ s \\
 C_{everyone} \quad := \lambda^{\circ} P. P \text{ everyone } c_{everyone} \\
 C_{someone} \quad := \lambda^{\circ} P. P \text{ someone } c_{someone}
 \end{array}$$

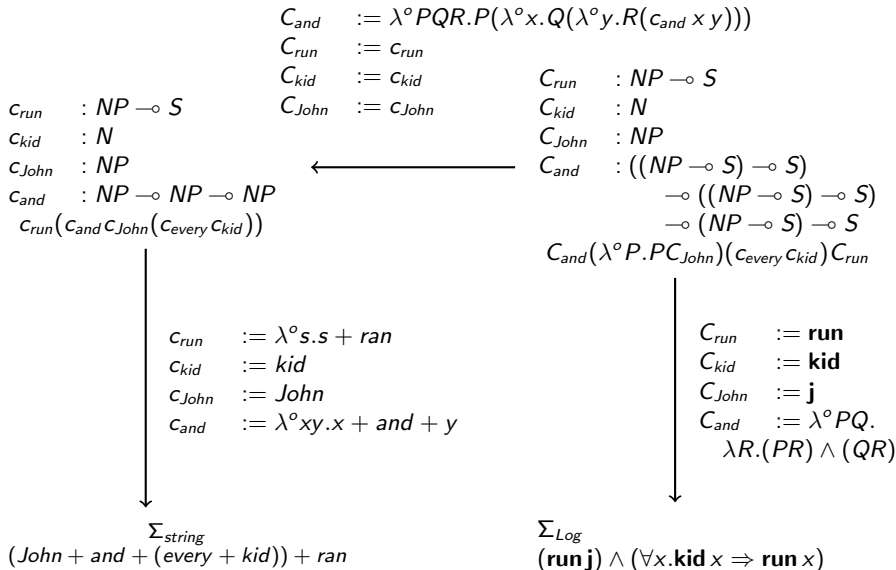
$$\begin{array}{l}
 C_{everyone}(\lambda^{\circ} x. C_{someone}(\lambda^{\circ} y. C_{loves} x y)) \quad :=_{amb} C_{loves} C_{someone} C_{everyone} \\
 C_{someone}(\lambda^{\circ} y. C_{someone}(\lambda^{\circ} x. C_{loves} x y)) \quad :=_{amb} C_{loves} C_{someone} C_{everyone}
 \end{array}$$

# Scope Ambiguity

Non Injective Lexicon



## Conjunction

*John and every kid ran*



## De re and De dicto Readings

$$C_{seek} := \lambda^{\circ} x P.P(\lambda^{\circ} y.C_{seek} x y)$$

$$C_{book} := C_{book}$$

$$C_{seek} : NP \multimap NP \multimap S$$

$$C_{book} : N$$

$$C_{seek} C_{John} (C_a C_{book})$$



$$C_{seek} := \lambda^{\circ} xy.x + seeks + y$$

$$C_{book} := book$$

 $\Sigma_{string}$ 
 $John + seeks + (a + book)$ 

$$C_{seek} : NP \multimap$$

$$((NP \multimap S) \multimap S) \multimap S$$

$$C_{book} : N$$

$$C_{seek} C_{John} (C_a C_{book})$$

$$(C_a C_{book})(\lambda^{\circ} y.C_{seek} C_{John}$$

$$(\lambda^{\circ} Q.Q y))$$



$$C_{seek} := \lambda^{\circ} x.o.$$

$$\mathbf{try} x (\lambda^{\circ} z.o$$

$$(\lambda^{\circ} y.\mathbf{find} z y))$$

$$C_{book} := \mathbf{book}$$

 $\Sigma_{Log}$ 
 $\exists y.(\mathbf{book} y) \wedge (\mathbf{try} j (\lambda^{\circ} x.\mathbf{find} x y))$ 
 $\mathbf{try} j (\lambda^{\circ} x.\exists y.(\mathbf{book} y) \wedge (\mathbf{find} x y))$

## And More...

## So far

- Conjunction
- *De re* and *de dicto* readings
- Coordination of quantified and non-quantified NPs
- VP ellipsis *John saw a kid and so did Bill*
- *de re* and *de dicto* readings
- Quantification and negation (*every kid did'nt run*)

## Generalization: the Scoping Constructor

$$\frac{\Gamma \vdash_{TL} t : \beta \uparrow \alpha \quad \Delta, x : \beta \vdash_{TL} u : \alpha}{\Gamma, \Delta \vdash_{TL} t(\lambda x.u) : \alpha} (E_{\uparrow}) \quad \frac{\Gamma \vdash_{TL} t : \beta}{\Gamma \vdash_{TL} \lambda x.(x t) : \beta \uparrow \alpha} (I_{\uparrow})$$

Syntactically behaves as a  $\beta$  and semantically as a  $(\beta \multimap \alpha) \multimap \alpha$

Given a lexical entre  $w : a \in TL(A)$ , we have  $c_w : a^{\text{syn}}$  and  $C_w : a^{\text{sem}}$  such that:

- if  $a \in A$  then  $a^{\text{syn}} = a$  and  $a^{\text{sem}} = a$
- if  $a = \alpha \multimap \beta$  then  $a^{\text{syn}} = \alpha^{\text{syn}} \multimap \beta^{\text{syn}}$  and  $a^{\text{sem}} = \alpha^{\text{sem}} \multimap \beta^{\text{sem}}$ .
- if  $a = \alpha \uparrow \beta$  then  $a^{\text{syn}} = \alpha^{\text{syn}}$  and  $a^{\text{sem}} = (\alpha^{\text{sem}} \multimap \beta^{\text{sem}}) \multimap \beta^{\text{sem}}$

## Conclusion on ACG

- A large number of grammatical formalisms can be encoded into (2nd order) ACG
- Semantic ambiguity in type-logical grammars arises from higher-order (3rd order) types
- Type-logical grammars can be provided a “simple syntactic” level of 2nd order
- We can apply the same higher-order technics to any 2nd order ACG!
- Encoding in a same framework: sharing and comparing analysis
- ACG composition modes: flexible and open architectures
- “Syntax”, “function”, “relation”, “compositionality”, “rule-to-rule” intuitions may be realized by different mathematical notions
- Shallow opposition between *syntactocentric* and *parallel* formalisms

- 1 Introduction
- 2 Meaning
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- 7 Underspecification**
- 8 Discourse
- 9 Selected Bibliography

## Main Features [Egg, 2010]

## Principles

**Principle** To omit some information from linguistic descriptions

**Aim** To capture alternative realisations in **one single representation**

**Aim** To avoid **enumeration** of the alternatives

## Example

- Morphological features in grammar rules:

$$S \rightarrow NP_{\text{nom}} VP$$

$$NP_{\text{nom}} \rightarrow John$$

$$NP_{\text{acc}} \rightarrow John$$

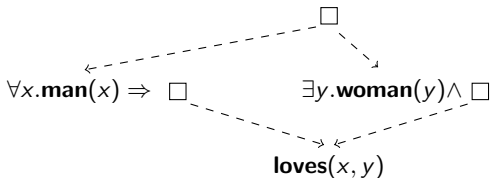
- Unification grammars:

$$S \rightarrow NP[\text{case} = \text{nom}] VP$$

$$NP \rightarrow John$$

## Features [Bos, 1995]

- Two levels of description:
  - an object-level of linguistic representations
  - a meta-level of describing these representations
- Fragments of object-level semantic representations
- Glue points (hole) in the fragments
- Relation between glue points and fragments



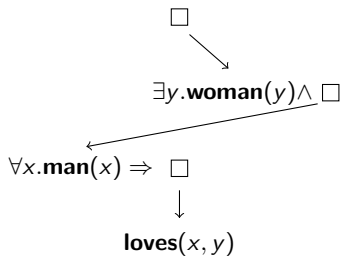
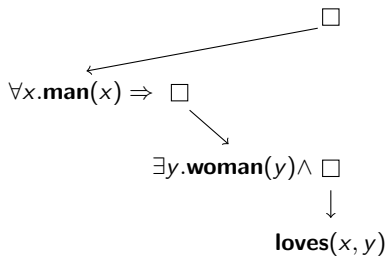
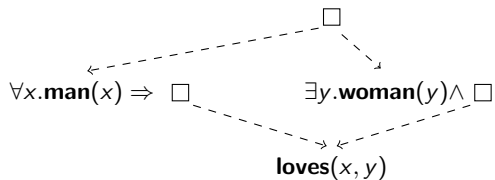
# Description and Models

## Getting the Readings

- Each hole gets filled by a fragment
- Respecting the description

We get a **model** a **description**

## Semantic Ambiguity: Example





- 1 Introduction
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- 7 Underspecification
- 8 **Discourse**
  - Challenges for Compositionality
  - Accessibility According to DRT
  - Accessibility According to Discourse Hierarchy
  - Dynamic Logic
  - Continuation Semantics

# Challenging the Compositionality Principle

From Sentence to Discourse

## Example (Anaphoric Pronouns and their Antecedents)

- She is extraordinarily beautiful
- Vincent looks at Mia. She dances.
- After he lost the match, Butch left town.

## Challenges

- How to resolve the pronouns
- How to represent the pronouns

# Pronoun Resolution

## Highly Visible Constraints

- Agreement (gender, number...)
- Enough for Resolution?

## Example

- Butch threw a **TV** at the **window**. **It** broke.
- Butch threw a **vase** at the **wall**. **It** broke.

# Pronoun Resolution

## More Examples

### Example

George Burns and Gracie Allen: The Salesgirl

**Gracie:** Oh, yeah. . . and then Mr. and Mrs. Jones were having matrimonial trouble, and my brother was hired to watch Mrs. Jones.

**George:** Well, I imagine she was a very attractive woman.

**Gracie:** She was, and my brother watched her day and night for six months.

**George:** Well, what happened?

**Gracie:** **She** finally got a divorce.

**George:** Mrs. Jones?

**Gracie:** No, my brother's wife.

# Pronoun Resolution

## Approaches

See for instance [Jurafsky and Martin, 2000]

- Heuristic and statistical approaches
- Focus-base approaches
- Centering

But how to represent them?

## A Bit of History

- Beginning of the 80's
- Discourse Representation Theory (DRT) [Kamp, 1981, Kamp and Reyle, 1993] and File Change Semantics [Heim, 1983]

# First-Order Logic and Discourse

## Example

- Mia is a woman
- **woman(Mia)**
- She loves Vincent.
- **love(x, Vincent)**
- Mia is a woman. She loves Vincent.
- **woman(Mia)  $\wedge$  love(x, Vincent)  $\wedge$  x = Mia**

## Example

- A woman snorts. She collapses.
- $\exists z. (\mathbf{woman\ } z \wedge \mathbf{snort\ } z) \wedge \mathbf{collapse}(x) \wedge x = z$

# Intra-Sentential Anaphora

## Example

### Donkey Sentences

- If John owns a donkey, he beats it.
- $\exists x.(\text{donkey}(x) \wedge \text{owns}(\text{John}, x) \Rightarrow \text{beats}(\text{John}, y) \wedge x = y)$
- $\forall x.(\text{donkey}(x) \wedge \text{owns}(\text{John}, x) \Rightarrow \text{beats}(\text{John}, y) \wedge x = y)$

# Accessibility

Anaphoric pronouns and their antecedents

## Example (Existentials, proper nouns, and negation)

- John owns a car. It is red.
- $\exists x \text{ car } x \wedge \text{own } j x \wedge \text{red } x$
- John doesn't own a car. \*It is red.
- $\neg(\exists x \text{ car } x \wedge \text{own } j x) \wedge \text{red } x$
- John doesn't own a car. He is ecology-minded.
- $\neg(\exists x \text{ car } x \wedge \text{own } j x) \wedge \text{ecolo } j$



## DRT and Context Change Potential

### Taking the Context into Account

- *A woman snorts*
- Statement about the world
- A **referent** is made available in the **context** for further reference

# Discourse Representation Structures

## Example

A woman snorts. She collapses.

$x, y$
<b>woman</b> ( $x$ )
<b>snort</b> ( $x$ )
<b>collapse</b> ( $y$ )
$y = x$

## DRSs

- Discourse Referents
- Conditions
- Conditions can be complex (with logical connectives, **except quantifiers**, and **other DRSs**)

# Discourse Representation Structures

- 1 If  $x_1, \dots, x_n$  ( $n \geq 0$ ) are discourse referents and  $\gamma_1, \dots, \gamma_m$  ( $m > 0$ ) are conditions then

$x_1, \dots, x_n$
$\gamma_1$
$\vdots$
$\gamma_n$

is a **DRS**

- 2 If  $R$  is a relation symbol of arity  $n$  and  $x_1, \dots, x_n$  are some discourse referents, then  $R(x_1, \dots, x_n)$  is a **condition**
- 3 if  $t_1$  and  $t_2$  are discourse referents or constants, then  $t_1 = t_2$  is a condition
- 4 if  $K_1$  and  $K_2$  are DRSs, then  $K_1 \Rightarrow K_2$  is a **condition**
- 5 if  $K_1$  and  $K_2$  are DRSs, then  $K_1 \vee K_2$  is a **condition**
- 6 if  $K$  is a DRSs, then  $\neg K$  is a **condition**
- 7 Nothing else is a condition or a DRS

# Accessibility Constraint

## Example

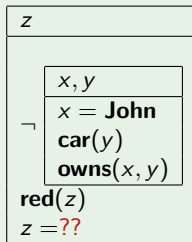
John has a car

$x, y$
$x = \mathbf{John}$
$\mathbf{car}(y)$
$\mathbf{owns}(x, y)$

# Accessibility Constraint

## Example

John doesn't have a car. It is red



## Accessibility and Subordination

- ①  $K_1$  is **immediately subordinate** to  $K_2$  iff  $\neg K_1$  (or  $K_1 \Rightarrow K$  for some  $K$ ) is a condition of  $K_2$ , or if  $K_2 \Rightarrow K_1$  is a condition of some  $K$
- ②  $K_1$  is **subordinate** to  $K_2$  ( $K_1 < K_2$ ) iff either
  - ①  $K_1$  is immediately subordinate to  $K_2$ , or
  - ② there is  $K_3$  such that  $K_3 < K_2$  and  $K_1$  is immediately subordinate to  $K_3$
- ③ For  $K$  a DRS,  $x$  a referent, and  $\gamma$  a condition,  $x$  is **accessible from  $\gamma$  in  $K$**  iff there are  $K_2 \leq K_1 \leq K$  such that  $x$  is in the universe of  $K_1$  and  $\gamma$  in the conditions of  $K_2$

## Interpreting DRSs

### Several Possibilities

- Kamp's original embedding semantics
- Groenendijk and Stokhof's dynamic semantics [Groenendijk and Stokhof, 1991]
- Translation into first-order logic [Blackburn and Bos, 2005]
- via  $\lambda$ -DRT [Muskens, 1991, Muskens, 1996]

And several drawbacks: destructive assignments.

### Available tools

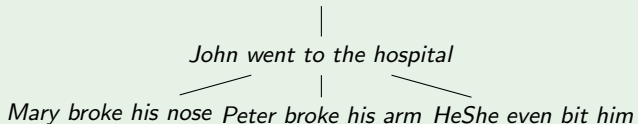
- BOXER [Bos, 2008] and C and C tools
- Grail [Moot, 1999]

# Accessibility

## Anaphoric Pronouns and Their Antecedents

### Example (Hierarchical structure of the discourse [Busquets et al., 2001])

- John went to the hospital.
- Mary broke his nose.
- Peter broke his arm
- He\*She even bit him.



### What we've learned from theories on rhetorical structure

- Segments of the discourse stand in relation to each other
- Depending on the relation (*coordinating*, *subordinating*), **discourse markers are accessible or not**

# Dynamic Logics

## Technical Issues

- Non-standard interpretation of formulas:
  - Interpretation as relations between assignment functions
  - $\llbracket \phi \rightarrow \psi \rrbracket = \{ \langle g, h \rangle \mid h = g \& \forall k. h \llbracket \phi \rrbracket k \Rightarrow \exists j. k \llbracket \psi \rrbracket j \}$
  - $(\exists x. \phi) \wedge \psi \Leftrightarrow \exists x. (\phi \wedge \psi)$  (scope theorem)
- Destructive assignment and variable clash
- $\llbracket \phi \Rightarrow_{\diamond} \psi \rrbracket = ?$

## Formal Semanticist or Logician?

- What are the useful data to feed the context with?
- How do discourse and sentences combine?
- What are the semantic recipes of the lexical items
- Should I design a new logic? **Continuation semantics**



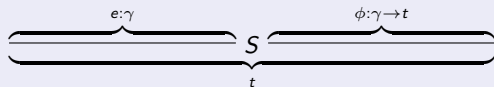
## Continuation Semantics

Accessibility: The Context (Accessible Discourse Referents) as an Argument

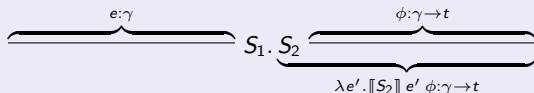
## Principles [de Groote, 2006]

$$\begin{aligned}
 \llbracket S \rrbracket &= \gamma \rightarrow (\gamma \rightarrow t) \rightarrow t && \triangleq \Omega \\
 \llbracket NP \rrbracket &= (e \rightarrow \llbracket S \rrbracket) \rightarrow \llbracket S \rrbracket \\
 \llbracket N \rrbracket &= e \rightarrow \llbracket S \rrbracket \\
 \llbracket S_1.S_2 \rrbracket &= \lambda e.\lambda\phi.\llbracket S_1 \rrbracket e (\lambda e'.\llbracket S_2 \rrbracket e' \phi)
 \end{aligned}$$

## Interpretation of sentences



## Composition of sentences



## CS: an Example

## Example

A man is sleeping.

 $\lambda e.\lambda\phi.\exists x. (\mathbf{man} \ x) \wedge (\mathbf{sleeping} \ x) \wedge (\phi \ (x :: e))$ 

He is snoring.

 $\lambda e.\lambda\phi.(\mathbf{snoring} \ (\mathbf{sel} \ e)) \wedge (\phi \ e)$ 

## Composition of sentences

$$\llbracket T.S \rrbracket = \lambda e.\lambda\phi.\llbracket T \rrbracket \ e \ (\lambda e'.\llbracket S \rrbracket \ e' \ \phi)$$

 $\lambda e \ \phi. [\lambda e \ \phi. \exists x. (\mathbf{man} \ x) \wedge (\mathbf{sleeping} \ x) \wedge \phi \ (x :: e)] \ e$ 
 $(\lambda e'. (\lambda e \ \phi. (\mathbf{snoring} \ (\mathbf{sel} \ e)) \wedge (\phi \ e)) \ e' \ \phi)$ 
 $\rightarrow_{\beta} \lambda e \ \phi. [\lambda \phi. \exists x. (\mathbf{man} \ x) \wedge (\mathbf{sleeping} \ x) \wedge (\phi \ (x :: e))]$ 
 $(\lambda e'. (\mathbf{snoring} \ (\mathbf{sel} \ e')) \wedge (\phi \ e'))$ 
 $\rightarrow_{\beta} \lambda e \ \phi. [\exists x. (\mathbf{man} \ x) \wedge (\mathbf{sleeping} \ x) \wedge ((\lambda e'. (\mathbf{snoring} \ (\mathbf{sel} \ e')) \wedge (\phi \ e')) \ (x :: e))]$ 
 $\rightarrow_{\beta} \lambda e \ \phi. [\exists x. (\mathbf{man} \ x) \wedge (\mathbf{sleeping} \ x) \wedge ((\mathbf{snoring} \ (\mathbf{sel} \ (x :: e))) \wedge (\phi \ (x :: e)))]$

# Accessibility

The Context (Accessible Discourse Referents) as an Argument

## Composition of sentences

$$\llbracket S_1.S_2 \rrbracket = \lambda e \phi. \llbracket S_1 \rrbracket e (\lambda e'. \llbracket S_2 \rrbracket e' \phi)$$

## Example

*John owns a car*

<b>j</b> y
<b>car</b> y
<b>own</b> j y

$$\lambda e \phi. \exists y. \mathbf{car} y \wedge \mathbf{own} j y \wedge \phi(y :: e)$$

*it*

z=?

$$\lambda P e \phi. P(\mathbf{sel} e) e \phi$$

*it is red*

z
<b>red</b> z
z =?

$$\lambda e \phi. \mathbf{red}(\mathbf{sel} e) \wedge \phi e$$

*John owns a car  
it is red*

<b>j</b> y z
<b>car</b> y
<b>own</b> j y
<b>red</b> z
z = y

$$\lambda e \phi. \exists y. \mathbf{car} y \wedge \mathbf{own} j y \wedge \mathbf{red}(\mathbf{sel} y :: e) \wedge \phi(y :: e)$$

## Lexical Semantics

## Lexicon

$$\begin{aligned}
\llbracket \text{John} \rrbracket &= \lambda P e \phi. P \mathbf{j} e \phi \\
\llbracket \text{owns} \rrbracket &= \lambda O S. S(\lambda x. O(\lambda y e' \phi'. \mathbf{own} \ x \ y \ \wedge \ \phi' \ e')) \\
\llbracket a \rrbracket &= \lambda P Q e \phi. \exists y. P \ y \ (y :: e) \ \phi \ \wedge \ Q \ y \ (y :: e) \ \phi \\
\llbracket \text{car} \rrbracket &= \lambda x e \phi. \mathbf{car} \ x
\end{aligned}$$

## Example

$$\begin{aligned}
\llbracket a \rrbracket \llbracket \text{car} \rrbracket &= \lambda Q e \phi. \exists y. \mathbf{car} \ y \ \wedge \ Q \ y \ (y :: e) \ \phi \\
\llbracket \text{owns} \rrbracket (\llbracket a \rrbracket \llbracket \text{car} \rrbracket) &= \lambda S. S(\lambda x. (\lambda Q e \phi. \exists y. \mathbf{car} \ y \ \wedge \ Q \ y \ (y :: e) \ \phi) \\
&\quad (\lambda y e' \phi'. \mathbf{own} \ x \ y \ \wedge \ \phi' \ e')) \\
&= \lambda S. S(\lambda x. (\lambda e \phi. \exists y. \mathbf{car} \ y \ \wedge \ (\lambda y e' \phi'. \mathbf{own} \ x \ y \ \wedge \ \phi' \ e')) \ y \ (y :: e) \ \phi)) \\
&= \lambda S. S(\lambda x. (\lambda e \phi. \exists y. \mathbf{car} \ y \ \wedge \ (\mathbf{own} \ x \ y \ \wedge \ \phi \ (y :: e)))) \\
\llbracket \text{owns} \rrbracket (\llbracket a \rrbracket \llbracket \text{car} \rrbracket) \llbracket \text{John} \rrbracket &= (\lambda P e \phi. P \mathbf{j} e \phi) (\lambda x. (\lambda e \phi. \exists y. \mathbf{car} \ y \ \wedge \ (\mathbf{own} \ x \ y \ \wedge \ \phi \ (y :: e)))) \\
&= (\lambda e \phi. (\lambda x. (\lambda e \phi. \exists y. \mathbf{car} \ y \ \wedge \ (\mathbf{own} \ x \ y \ \wedge \ \phi \ (y :: e)))) \mathbf{j} e \phi) \\
&= \lambda e \phi. \exists y. \mathbf{car} \ y \ \wedge \ (\mathbf{own} \ \mathbf{j} \ y \ \wedge \ \phi \ (y :: e))
\end{aligned}$$

## A New Dynamic Logic [de Groote, 2007]

## Logical connectives

<b>Conjunction:</b>	$A \sqcap B \triangleq \lambda e \phi. A e (\lambda e'. B e' \phi)$
<b>Existential quantification:</b>	$\Sigma x. P x \triangleq \lambda e \phi. \exists x. P x (x :: e) \phi$
<b>Negation:</b>	$\sim A \triangleq \lambda e \phi. \neg (A e (\lambda e. \top)) \wedge \phi e$
<b>Universal quantification:</b>	$\Pi x. P x \triangleq \sim (\Sigma x. \sim (P x))$

## Example

## Lexical semantics

	Montague semantics	Dynamics semantics
<i>a, some</i>	$\lambda P Q. \exists x. P x \wedge Q x$	$\lambda P Q. \Sigma x. P x \sqcap Q x$
<i>every</i>	$\lambda P Q. \forall x. P x \Rightarrow Q x$	$\lambda P Q. \Pi x. P x \sqsupset Q x$

# Discourse

See [Philippe de Groote's slide](#)

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